

# **King's College London's Research Integrity Statement, 2021-2022<sup>1</sup>**

## **Introduction**

As a world-leading, research-intensive institution achieving [excellent results in REF 2021](#), King's College London is committed to ensuring that the research conducted by our staff and students is consistently of the highest quality and conforms to the most rigorous standards. The proper conduct of research requires all our researchers to uphold certain principles and professional responsibilities to ensure integrity in the work they do and in the behaviours they exemplify. This is important to instil confidence in academic communities, funding bodies, and the public that the data, findings, and results produced by our researchers are reliable and trustworthy.

The Research Integrity Office (RIO) is committed to the promotion of good conduct and integrity in research and to supporting the university's research community (to include any individual engaged in research in King's name) through the provision of training and guidance, as well as the development of policies and procedures, in order to safeguard public trust in all our research. We expect that all research undertaken at King's, whether by those at the outset of their academic journeys or by more experienced colleagues, is conducted with the core values of research integrity in mind, to produce research of the highest standards. The principles of honesty, rigour, transparency and open communication, care and respect, and accountability are key to maintaining research integrity at King's.

This statement on research integrity at King's College London relates to the period September 2021 to August 2022 and has been drafted to fulfil our obligation to commitment 5 of the [Concordat to Support Research Integrity](#).<sup>2</sup> Previous years' statements have focused primarily on the actions and activities undertaken to support and strengthen an understanding and the application of research integrity issues by the Research Integrity Office and, where relevant, the wider team of Research Governance, Ethics and Integrity (RGEI). In the 2020-21 annual statement, we included select initiatives brought to our attention through our local partnerships as part of a commitment to highlight the range of ways in which research integrity is embedded within various practices and cultures at King's. As identified in our [previous annual statement](#), this year we have adopted a more systematic approach for reporting to ensure an accurate reflection of the full range of activities that promote and embed research integrity across the College. However, this statement does not attempt to identify all research integrity related activity coordinated across the institution as a whole, but rather aims to capture the breadth and depth of our initiatives coordinated both centrally and locally.

## **Resource**

There has been significant increase in resource across King's to reflect an increased awareness and commitment to the broad principles of research integrity. RGEI has been successful in recruiting to a range of new roles. The Research Integrity Office has undergone a restructure, replacing the Research Integrity Officer post with a third Research Integrity Manager (RIM), who takes up post in September 2022. Each RIM will take the lead for research integrity in three faculties, with research misconduct investigations or more complex research conduct enquiries being divided equally. Further changes across the department were made, with a new Research Governance Manager taking up post in

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<sup>1</sup> Presented to the College Research Committee on 27 September 2022 with recommendations for additional considerations, leading to minor updates which were given Chair's approval on 11 October 2022. Current version presented to Audit, Risk and Compliance Committee on 2 November 2022 and approved by the College Council on 22 November 2022.

<sup>2</sup> King's College London is a member institution of Universities UK, a signatory of the Concordat, which we are committed to uphold.

November 2021 to support the Head of Research Ethics and Governance (appointed in February 2021) by managing the existing two Research Governance Coordinators and two new Clinical Research Governance Coordinators, who were appointed in January 2022. To address aspects of the Trusted Research agenda, a new International Regulations Manager has been in post since August 2022. A Research England Development Fund award granted in September 2021 as part of our institutional membership of the UK Reproducibility Network (UKRN) will be supporting a new 5-year 0.6FTE Project Coordinator role to strengthen our training around open research and reproducibility.

As reported in the 2020-21 statement, a university-wide Dean of Research Culture has been in post since September 2021, reflecting a wider institutional commitment to supporting research culture. Additional resource in this area has been allocated locally, with the Faculty of Arts and Humanities (A&H) creating a Pro Vice Dean (PVD) for Research Culture (since October 2021), who has been supported by a full-time Research Culture Manager since June 2022. These roles, among other, aim to further embed research integrity training across the faculty and to support the local Research Integrity Advisors in their work. For 2022-23, the time allocation for the PVD Research Culture will be increased to 0.4FTE.

Resource has been allocated to support aspects of research integrity in other areas. Within the Institute of Psychiatry, Psychology & Neuroscience (IoPPN), the staff-led Research & Innovation Committee (RIC) has made available a small fund to support events and finance the Open Research Awards, which were launched in May 2021. 0.1FTE of the RIC administrator's time has been allocated to provide dedicated support to the Research Integrity Champion and Research Integrity Advisors. The Faculty of Social Science and Public Policy (SSPP) has made available a publication subvention to promote open access research, whereby any researcher can apply for up to £2,500 to assist with OA costs. This is additional to any centrally allocated funds. There are also limited funds available from SSPP's Vice Dean of Research and Faculty Research Manager to support with strategic initiatives on good research practices. Within the Research Management and Innovation Directorate (RMID), there is a helpdesk which continues to provide guidance and signpost queries relating to research and innovation management to the appropriate team. The Pre-Award Office within the Research Grants and Contracts team has recruited a Costing Compliance Lead and a Senior Policy Funding Manager, roles that enable compliance and support policy developments in areas related to good research practice.

## **Online Presence**

Our external webpages provide enhanced visibility for our three teams and set out clearly the support offered by the College in the areas of: Research Governance, for all KCL sponsored research with a focus on data protection requirements under the UK GDPR and the DPA 2018; Research Ethics, to ensure that all research activities involving human participants have appropriate safeguards in place; and Research Integrity, in the promotion of the good conduct of research and researchers. The webpages clarify the College's expectations of researchers and describe where to find guidance and support. Pages are updated to reflect our continued commitment to national and international initiatives, such as the recent inclusion of the Sorbonne Declaration on Research Data Rights. Following the expansion of the Research Governance Office, the [external](#) and [internal](#) pages underwent a full review in March 2022, leading to the publication of new and expanded team goals and remit, specifically around issues of sponsorship and arrangements with our three King's Health Partner (KHP) trusts. Monitoring of our analytics shows that traffic to our webpages is consistent, with page views and visits remaining level thereby showing continued interest in our areas of work. Further support from other central teams includes the [Contracts Team](#) internal pages, where there is

information on industry and consultancy funding, data sharing, material transfer, and confidential information exchange. The [Pre-Award Team](#) provides key information on processes and issues for successful grant management. Libraries & Collections offer a [suite of webpages](#) to explain how researchers can engage with ‘open’ practices with their scholarly outputs.

In addition to the online content reported in last year’s statement, the following academic faculties have enhanced the visibility of research integrity. The Florence Nightingale Faculty of Nursing, Midwifery & Palliative Care (NM&PC) has a [page dedicated to research integrity](#), to introduce the topic, identify their local Champion and Advisors, and to highlight relevant sector information.

The joint King’s Business School/Dickson Poon School of Law Faculty Research teams have a Research Hub on SharePoint for academic staff across the two faculties. A section on research integrity will be added to this, primarily signposting to resources provided by the RIO and any relevant external information, such as that made available by the UK Research Integrity Office (UKRIO).

The A&H Faculty research pages are in the process of being redesigned, and these will include information on research integrity, also signposting to support available in this area.

## **Local Engagement**

The RIO is responsible for the management of institutional activity, but we rely on academic engagement to support good research practices and raise awareness of research integrity across King’s. Our [Research Integrity Champions \(RIChs\) and Research Integrity Advisors \(RIAds\) networks](#) continue to provide crucial local advocacy and support for research integrity in each of our nine faculties. Further details of all local role-holders are available [internally](#). We have also published role descriptions and the RIAd recruitment process and nomination form, the latter updated in March 2022 to allow for self-nomination (to include Faculty review). Annual training for advisors continues to be provided, adopting the format of an optional refresher session followed by time dedicated to a pertinent issue. Most recently, this has involved discussions around authorship disputes and consultation on our proposed authorship dispute resolution framework. Recruitment of RIAds is ongoing due to a relatively high turnover in this role. In King’s Business School, we have seen an increase in RIAds resulting from an incorporation of this role into the Research Lead position, created for each of the seven research groups in the Faculty. Our RIAds continue to support with informal dispute resolutions, often acting as the first point of contact for researchers, facilitating discussions and encouraging colleagues to contact the Research Integrity Office for further advice and guidance.

Our success in engaging with the academic community is reflected in RIO’s review of the UKRIO [guidance on Champions and Advisor networks](#) and the inclusion of our networks as a [published case study](#). Our RIAds have continued to support the RIO with local training (see below for more details) and have contributed to external consultations (as noted below). Faculty colleagues have promoted research integrity throughout the year in Faculty Research Committee meetings, in regular newsletter updates, in departmental/divisional meetings, at grant start-up meetings (A&H), and via social media.

Beyond the more formal networks facilitated by the RIO, there is a dedicated self-sustaining, volunteer-led group of researchers who created the [RIOT Science Club](#) in 2018. Initially based only at King’s, this forum for those interested in improving research reproducibility, interpretability, openness, and transparency has now extended to other institutions in the UK and Europe. Over the past year, the wider RIOTS team has organised almost 40 training sessions on a range of topics from open science and the decolonisation of knowledge to building sustainable science. Live participation at these events ranges from 20-100 attendees, with thousands more views on YouTube. Members of the Steering Group recently published Kowalczyk, O. S., Lautarescu, A., Blok, E., Dall’Aglia, L., &

Westwood, S. J., ‘What senior academics can do to support reproducible and open research: a short, three-step guide’, *BMC Research Notes* (2022), 15(1), 1-9.

## **Process Improvement**

Over the last academic year, the Department of Research Governance, Ethics and Integrity (RGEI) has made a number of process improvements informed by daily practices and experiences of dealing with research governance, ethics and integrity issues.

The Research Governance Office (RGO) has updated and created new guidance to support researchers in relation to governance or sponsorship requirements, including: an update of internal guidance for governance reviews of low- and high-risk healthy volunteer ethics applications (February 2022); the creation of data flow diagram guidance and template (March 2022); the finalisation of guidance on KCL lead sponsored NHS/HSC study funding/study extension (March 2022); the development of templates for KCL sole sponsored NHS/HSC research (March 2022); and an update of guidance on transfer of sponsorship guidance (June 2022). Work is ongoing to develop policy and guidance on Security Sensitive Research, guidance on the use of SITS (Student Information System) data, and guidance on the principles of KCL sponsorship and co-sponsorship with KHP trusts. In June 2022, responsibility for the review of any research Data Privacy Impact Assessments (DPIAs) was transferred from the Information Compliance team to the RGO.

The Research Ethics Office has developed and published the following guidance in the current reporting year: research in schools; updates to social media research; conducting focus groups; remote consent methods; snowballing sampling; and the development of a pre-existing data section in ethics application. Additionally, the College Research Ethics Committee and the Faculty of Social Science & Public Policy have convened a working group to identify opportunities to develop ethics processes and optimise compatibility with evolving social science research.

RIO has continued to liaise effectively with colleagues across the College. The Memorandum of Understanding (MoU) with HR was approved in August 2021. It is supported by a Proforma for reporting instances of bullying and harassment by HR to RIO to enable timely notification to research funders, where required. RIO has ensured that HR colleagues are continually aware of their obligations in respect of this by holding training sessions with the HR Case Management Team (November 2021) and the newly formed HR Employee Relations Teams (July 2022). Training was also delivered to the Student Conduct and Appeals Office (SCAO) in June 2022 and to the Research Grants and Contracts team in May 2022, reflecting a wider interest in research integrity across King’s and how it intersects with researcher behaviour and our obligations to research funders.

In consultation with RICHs and RIAds, the RIO developed an authorship dispute resolution framework to support the resolution of disputes that are not appropriate to be investigated under the College’s Procedure for investigating allegations of research misconduct. The framework ensures Faculties have ownership over a transparent and timely process for resolving pre-publication authorship disputes.

Additionally, working with our RIAds in the IoPPN has highlighted the need for authorship guidance targeted specifically for Principal Investigators on research grants, supported by training for all relevant staff.

In August 2022, RIO identified the need for improved communication with Libraries & Collections to ensure that the KCL online article repository (PURE) is updated to accurately reflect any article corrections or retractions arising from a research misconduct investigation or enquiry, whether conducted by King’s or another third party. Additional process gaps were identified, with resolution

in train, including: a more formalised procedure to handle informal conflict resolution, providing guidance to relevant parties on stages and timelines; and a College-level policy for ensuring the appropriate management of research outputs and communication with collaborators upon the departure of a colleague engaged in research (whether through retirement, dismissal, or other). Progress on these will be reported next year. Libraries & Collections are in the process of revising the Research Publications Policy, to include rights retentions in research publications, and the Research Data Management Policy.

Process improvement has also been implemented at Faculty level. In May 2022, the Faculty of Natural, Mathematical & Engineering Sciences (NMES) has created a [Research Grant Application Standard Operating Procedure \(SOP\)](#) in May 2022 to provide standardised guidance on applying for research grants. This is further supported by [RMID guidance on submitting an application](#).

In July 2021, the College published a [Declaration of Interest policy](#) and associated documents, which were drafted by RGEI in collaboration with Business Assurance. In this reporting period, colleagues have been made aware of this policy and a process to support it is due to be launched in the academic year 2022-23.

Locally, some faculties have now included research integrity as part of their postgraduate researcher (PGR), new staff or new Principal Investigator induction processes.

## **Training**

With Covid-19 restrictions easing considerably in the past academic year, some in-person training has been delivered. However, online sessions continue to be popular and so much training has continued online. Post-session feedback has remained positive, and is used for content and delivery improvement, where appropriate. Attendance at the RIO introductory Skills Forge session, ‘Research Integrity: the fundamentals of research excellence at King’s’ remains relatively high, with almost 100 participants joining four sessions throughout the year. Additionally, we have delivered focused training to subject areas in 15 subject areas to around 300 academic colleagues and in the region of 60 postgraduate research students. Many of these sessions have been developed in partnership with our Research Integrity Advisors. Across RGEI, a number of independent and joint research governance and research ethics training sessions have also been provided for students and academic colleagues. Collectively, within the reporting period teams within RGEI have delivered around 45 training sessions, to an estimated 1000 attendees. This reflects a considerable increase on last year.

Training in areas related to the integrity of research are delivered by other professional services teams across King’s, such as the Centre for Research Staff Development (CRSD) and the Centre for Doctoral Studies (CDS). Research integrity is also integrated within many PGR and Early Career Researcher (ECR) training programmes implemented locally by individual faculties. Libraries & Collections provide training on Open Access publishing and research data management on request, the latter delivered in some joint sessions with colleagues from RGEI. There is additional faculty-based training in good research practices.

In A&H, the PVD Research Culture requested that all departments within the Faculty organise training on research integrity in collaboration with the RIO. Between May and June 2022, training was delivered to almost 60% of departments with commitment from the remaining to schedule this in the following academic year. Initial feedback from these sessions indicates a strong desire for more discipline-specific training and this will be factored into planning for the academic year 2022-23.

The Law Faculty held a small research integrity seminar in June 2022 led by the Research Integrity Champion and RIO, which was attended by colleagues at various career stages. It provided an

introduction to the RIO and gave attendees the opportunity to discuss case studies relevant to law, proving an effective way to engage.

NM&PC held a Research Round Up session in November 2021, attended by about 45 staff and PhD students, at which research integrity was discussed along with the Faculty's Inclusive Research Practices Implementation Plan.

## **Research Culture**

RIO recognises that the integrity of research is impacted by the broader research environment. Colleagues within RIO have engaged in national and institutional conversations on research culture, most notably becoming members of KCL's Research Culture Committee (RCC), which met for the first time in November 2021 and has broad representation from the College in its membership. Chaired by the Dean of Research Culture, the primary responsibility of the Research Culture Committee is to advise, through the College Research Committee, on the development, implementation and effectiveness of College strategy and policy affecting research culture and on initiatives required to promote and support a positive research culture. The RCC aims to create a supportive research environment to facilitate: a diverse and inclusive workforce where researchers feel supported enough to reach their full potential; rewarding, purposeful and stable career paths; the value of high standards of research integrity; the support of open research and reproducibility of research; a recognition of the wide range of activities undertaken by researchers which contributes to a positive research environment; and a zero-tolerance approach to unacceptable behaviours, such as bullying and harassment, and fair and effective processes to deal with this when it does arise.

## **Internal Audits**

Demonstrative of our commitment to ensure compliance with Export Control regulations, the department of Business Assurance began an internal review in late May/early June 2022, and this will continue into the next reporting period. The review aims to identify any gaps in our processes and procedures and to implement a plan to ensure that the newly embedded International Regulations Manager has full oversight of all relevant research activity and can provide support and guidance as required.

The Research Governance Office (RGO) continued to conduct random audits of projects registered through the College's Minimal Ethical Risk Registration Process and deal with any breaches of good practice through our 'Supervisor breach of good practice process' and 'Procedure for research conducted without the appropriate ethical clearance'.

## **External Audit**

In the reporting period, KCL underwent an audit from the Wellcome Trust. RGEI contributed to this process in respect of: good research practice policy and training; reporting of bullying and harassment (in collaboration with HR); research misconduct policies, reporting, investigation and escalation framework, and communication to the Wellcome; and ethics approvals in relation to grant set-up. As a result of the audit, processes are being implemented to support strengthened communications between the RIO and our Pre-Award team in Research Grants and Contracts.

## **External Engagement**

The Research Integrity Office at King's has positioned itself as a trusted team within the wider research integrity landscape. We have contributed to UKRIO consultations on their revised research misconduct procedure and training toolkit. Members of RIO and other colleagues from the King's community attended the UKRIO annual conference in May 2022, with one of the Research Integrity

Managers contributing to a subscriber-only session on research misconduct, sharing best practice advice on this topic. RIO has recently taken on a reviewer role for training resources being developed by Epigeum for established research. Along with some of our Research Integrity Advisors, RIO has contributed to national conversations, including the House of Commons Science and Technology Select Committee Reproducibility enquiry and UKRI's Equality, Diversity & Inclusion draft strategy consultation, with institutional responses coordinated by RIO.

RIO continues to work closely with colleagues within the sector, acting as hosts for the proposed first in-person meeting of the Russell Group Research Integrity Forum since the outbreak of the pandemic. Planning for this has taken place in the reporting period, with the meeting scheduled for 8 September 2022. The main topics to be addressed at this meeting are research culture and the trusted research agenda, with KCL presenting on our MoU with HR and our authorship dispute resolution framework.

Engaging on an international level, the two Research Integrity Managers attended the 7<sup>th</sup> World Conference on Research Integrity in Cape Town, South Africa in May-June 2022. At this event, the team presented their work on liaising with HR through the Memorandum of Understanding (as discussed in last year's statement) and the success of our networks of Research Integrity Champions and Advisors.

In May 2022, the Research Integrity Office coordinated the submission of two applications to the Medical Research Council's Impact Prize through an internal call for nominations, allowing us to promote innovative and impactful research underpinned by principles of equality, diversity, and inclusion.

As an institutional member of the UK Reproducibility Network (UKRN), King's has continued to contribute to the national conversation on research improvement.

As has been previously reported, the Research Ethics Office acts in an advisory capacity to other universities who are in the early stages of establishing an electronic ethical clearance process. This collaboration within the sector has continued in the current reporting period.

## **Research Misconduct**

The College has a formal [procedure to investigate and resolve allegations of research misconduct](#) (the ‘Procedure’). The Procedure should be reviewed every three years. The current version was updated in December 2020 following approval by the Academic Board. Minor amendments to this were made in January 2022 to reflect more accurately the appeal process, ensuring independence from the Research Integrity Office and Responsible Officer, and to update the role title of the individual responsible for managing appeals. An outcome of the Wellcome Trust audit was to provide indicative timeframes for various parts of the Procedure. These have been added to a proposed update and this version will be submitted to the Academic Board for approval at its November 2022 meeting.

The Procedure is to be carried out in accordance with the principles of fairness, confidentiality, integrity, prevention of detriment, and balance, and these are defined with Annex 1 of the Procedure. There are appropriate mechanisms and safeguards in place within the Procedure to ensure adherence to these principles and that the process is transparent and robust. Accompanying guidance for managing an appeal, to promote a robust and fair process, has been made available in the event of an appeal.

The College makes every effort to meet its obligations to external bodies, including regulatory and professional bodies, regarding the initiation or completion of a formal investigation. To the knowledge of the Research Integrity Office, KCL has met such obligations.

### *Learnings from formal investigations*

The Research Integrity Office intends to update the Procedure further, following consultation with the Research Integrity Champions in 2019/20 over proposals to make the College’s response to allegations more proportionate and timelier. The proposals resulted from greater experience and knowledge gained from recent, complex cases. Involvement in recent cases has brought to light further key considerations for our new revised procedure. New clauses will be embedded within the procedure that will allow us to address these complexities, for example how to manage appropriately anonymous allegations of research misconduct, and how to work effectively when legal input is sought from any party.

### *How does King’s create or embed a research environment conducive to reporting misconduct?*

Information about the Procedure is available on our [Research Misconduct](#) webpage, along with advice and support, to all staff, students, and individuals external to the university who wish to raise an issue about the conduct of research undertaken in the College’s name.

The process for reporting concerns about research conducted in the College’s name is communicated to our research community through our training sessions and is also visible on our webpages. The Research Integrity Office provides assurance to researchers that they should feel safe to report poor research practices, either to us or at local level. The Research Integrity Office encourages researchers to approach us or local contacts (ordinarily the Research Integrity Advisors) if they feel that they or others have failed to meet the expected standards of good research practice, so that we can offer appropriate advice on how to mitigate any risk, and then advise on the next steps should it be appropriate to report research misconduct. In the course of an investigation, we may signpost to mental health support provided by the College to staff and students, where appropriate.

To demonstrate the College's commitment to appropriately signposting and handling all complaints of any nature, including those related to research, a webpage for all College complaints processes is in development and will be made available in the upcoming academic year.

Summary data on formal investigations of research misconduct

The following summary data relates to cases that have been undertaken by the College under the Procedure over the past 5 years. Other issues relating to research integrity and handled by the Research Integrity Office are not included in this information. Please note that the figures provided for research misconduct are provided for the financial year (August 2021-July 2022), to align with our internal reporting purposes. Data on investigations under the Procedure and issues of research integrity are reported to SMT quarterly within the audit and monitoring section.



Figure 1: Chart showing the number of closed research misconduct investigations by financial year (Aug-July)<sup>3</sup>

<sup>3</sup> Please note that the chart has been generated from a spreadsheet with the earliest record being the case closed in November 2018. As such, the earlier cases included in the table are not represented.

Date Completed	Source of Allegation	Respondent Status	Faculty	Allegation	Outcome
Ongoing	Staff	Former staff	IoPPN	Misrepresentation of data and/or interests and/or involvement, plagiarism	
Ongoing	External	Staff	IoPPN	Failure to follow accepted procedures (2 allegations)	<i>Pending</i>
Ongoing	External	Former staff	IoPPN	Failure to follow accepted procedures	<i>Pending</i>
04/2022	Staff	Former staff	IoPPN	Failure to follow accepted procedures (3 allegations)	Substance to allegation (x1); not research misconduct but poor research practice Unfounded (x2)
12/2021	Anonymous (internal)	(i) Former staff; (ii) former PhD student	IoPPN	Fabrication; falsification; misrepresentation of data and/or interests and/or involvement; and failure to follow accepted procedures (i. 15 allegations; ii. 3 allegations)	(i) Upheld (x8); substance to allegations, not research misconduct but poor practice in research with recommendation for training (x2) or correction of errors (x1); dismissed (x3); unfounded (x1) (ii) Training required (x1); Dismissed (x2)
07/2021	Anonymous (internal)	Staff x3	IoPPN	Misrepresentation of data and/or interests and/or involvement; and failure to follow accepted procedures (5 allegations)	Unfounded (x3); substance to allegations (x2), not research misconduct but poor research practice
08/2020	Anonymous (external)	Staff	n/a	Misrepresentation of data and/or interests and/or involvement	Unfounded
08/2020	Anonymous (external)	Staff	IoPPN	Misrepresentation of data and/or interests and/or involvement	Substance to allegation, not research misconduct but poor research practice, erratum published

08/2020	Anonymous (external)	Former staff	IoPPN	Misrepresentation of data and/or interests and/or involvement	Substance to allegation, not research misconduct but poor research practice, paper retracted
02/2020	Anonymous (external)	Former staff	IoPPN	Misrepresentation of data and/or interests and/or involvement	Unfounded
02/2020	Anonymous (external)	Former staff	IoPPN	Misrepresentation of data and/or interests and/or involvement	Substance to allegation, not research misconduct but poor research practice, erratum published
02/2020	Anonymous (external)	Staff	IoPPN	Misrepresentation of data and/or interests and/or involvement	Unfounded
10/2019	PhD student	Staff	IoPPN	Fabrication; misrepresentation of data and/or interests and/or involvement; and failure to follow accepted procedures (5 allegations)	Unfounded (x3); Substance to allegations (x2), not research misconduct but poor research practice, training required
10/2019	Anonymous (external)	Staff	FoDOCS	Misrepresentation of data and/or interests and/or involvement (3 allegations)	Substance to allegations (x3), not research misconduct but poor research practice, erratum submitted
10/2019	Anonymous (external)	Staff x2	FoLSM	Misrepresentation of data and/or interests and/or involvement (8 allegations)	Unfounded (x3); Substance to allegations (x5), not research misconduct but poor research practice, errata and training required
04/2019	Journal editor	(i) Staff; and (ii) Former PhD student	FoLSM	Misrepresentation of data and/or interests and/or involvement	Substance to allegation, not research misconduct but poor research practice

03/2019	External examiners	PhD student	A&H	Plagiarism	Upheld in part, not intentional but reckless
12/2018	External independent source	Former PhD student	KBS	Misrepresentation of data and/or interests and/or involvement	Unfounded
12/2018	External examiners	PhD student	SSPP	Plagiarism	Substance to allegation but not research misconduct and can be remedied at local level
11/2018	External examiners	PhD student	SSPP	Plagiarism	Substance to allegation but not research misconduct and can be remedied at local level
09/2018	External entity	Staff	SSPP	Plagiarism	Unfounded
04/2018	Former postdoctoral researcher	Staff	NMES	Misrepresentation of data and/or interests and/or involvement	Unfounded
04/2018	Journal editor	Staff	FoLSM	Misrepresentation of data and/or interests and/or involvement	Unfounded
10/2017	Internal Supervisor	PhD student	FoLSM	Misrepresentation of data and/or interests and/or involvement	Substance to allegation, not research misconduct but poor research practice