

Update July 2022 Concordat to Support the Career Development of Researchers Action plan (template from HR Excellence in Research Award) August 2020 to July 2024 Update July 2022 2021B:IL77B:HB:LB:KL77B:HL77B:HB:JB:IB:J

Institution name:	King's College London	The institutional audience** for this action pla	an includes <mark>(comple</mark>	te or delete, as appropriate):
Cohort number:	5	Audience	#	Comments
Date of submission:	27th July 2020	Research staff	1,800	of which all are included in the Concordat at King's
		Postgraduate researchers	2,400	of which none are included in the Concordat at King's unless they are staff who are registered for a Doctorate
		Research and teaching staff	1,800	of which a portion will be included in the Concordat if they are at an early stage of their career but not if they are academic staff
		Teaching-only staff	600	of which a portion will be included in the Concordat if they are at an early stage of their career but not if they are academic staff
		Technicians	400	of which all are included in the Concordat at King's
		Clinicians	unknown	of which none are included in the Concordat at King's
		Professional support staff	2,000	of which none are included in the Concordat at King's
		Other (please provide numbers and details):	N/A	

	Obligation	Action	Success measure (SMART)	Deadline	Responsibility	Progress update	The actual <u>impact</u> of the action (reporting against the success measure)	Outcome (ongoing/carried forward/no further action)
	ent and Culture							
Institution				Le Para Milana Alfred	ODOD During			14/ · · · · · · · · · · · · · · · · · · ·
ECI1.1	Ensure that all relevant staff are aware of the Concordat	Regular attendance of representative from the CRSD at faculty research staff representative committees, the RSRC, faculty research committees, Technicians' Away Day, and College Research Committee to update on Concordat actions	Discussion of relevant items at meetings and input from members, as noted in minutes.	In line with meeting occurrence	Staff Committees, Faculty Research Committees, Technicians	CRSD representative now present at research staff representative committee meetings in 4 (ABH, FoDOCS, NMES and SSPP) of the 9 faculties. Other faculties have yet to organise relevant meetings. One (FoLSM) is in the process of doing so. CRSD represented at the College Research Staff Representative Committee by secretary to meetings and appearances by other members at least every third meeting. Presentations about the revised Concordat delivered at 6 (A&H, FoDOCS, FoLSM, NMES, NMPC and SSPP) of the 9 Faculty Research Committee meetings CRSD present at various faculty and department meetings. Awareness raised of the Concordat in the context of mentoring and research staff representation (RSRC). CRSD present at Technicians Steering Group and ad hoc at Technicians' monthly Away Day. CRSD present as invited speaker at College Research Committee when need arises or represented by Research Tailent Director who is a committee member	Awareness of the Researcher Concordat has increased marginally according to the CROS 2019 and equivalent question asked in the King's Research Staff Survey (KReSS) in 2021. While overall awareness is still low our research staff are more aware of initiatives we are taking against the Research Concordat and are engaging with those. Of those completing the KReSS who were aware of the Researcher Concordat, 16% felt it had a positive imact on them, 84% didn't know what impact it had and 0.48% said a negative impact. There is regular discussion of how to address within most faculties with one faculty now using it in their general action plan It has also been incorporated into REF Environment Statements	We will continue to discuss the Researcher Concordat where appropriate but as this has now become business as usual we will no longer include it in the action plan.
ECI1.2	Ensure that all relevant staff are aware of the Concordat	literature about the Concordat	Number of items of literature taken (10% of participants take literature in person) Number of hits on the Concordat website (unique visitors to website in excess of 10% of total research staff population)	In line with event occurrence	CRSD	COVID-19 has prevented us holding events in person so we have not been able to distribute paper literature about the Researcher Concordat until our major event in June 2022 at which 22 leaflets were taken voluntarily (see ECI1.3). This represents 20% of attendees 304 unique visitors to 'How we work' internal webpage where Concordat is explained between Aug 2019-July 2021. This is roughly 15% of total research staff population 39.5% of respondents to the KReSS 2021 reported either some understanding or awareness of the existance of the Concordat, increased from 38.2% from the CROS in 2019.	See ECI1.1 above	See ECI1.1 above
ECI1.3	Ensure that all relevant staff are aware of the Concordat	Concordat Event to which senior staff are invited following renewal of HR Excellence in Research Award and showcasing future actions	Attendance by senior management Discussion of actions Pledges to implement actions	December 2020 (CV)	CRSD	Event severely postponed by COVID-19, and held on June 15th 2022 Attended by entire range of colleagues from Principal and President to most junior researchers	The three most senior staff at King's all contributed as speakers or chairs. Approximately 100 attendees in person and online Attendees reported engagement and enthusiasm for implementing their learning Further evaluation will be carried out over the next 3 months.	See ECI1.1 above
ECI1.4	Ensure that all relevant staff are aware of the Concordat	Include regular item in the newsletter about most recent developments against the Concordat Concurrent updates on Concordat webpages	Open rate of newsletter item, minimum 5% of readership Number of hits on the Concordat website, coincident spike in views(unique visitors to website in excess of 10% of total research staff population)	Alternate months	CRSD	From August 2019 to August 2021 approximately 22 newsletter items addressed research culture or updates on related policies including the Technicians Commitment and the King's academic policy on research. 8 articles publicising the Research Staff Town Halls where some of these initiatives are discussed have also run. The open rate of the newsletter consistently averages at around 47%, indicating that the headline of the relevant article is viewed regularly. Data is not currently available to indicate the link between newsletter articles and website hits.	As the items leading with Researcher Concordat do not get opened the titles of articles have been changed to highlight specifically the activities that are being carried out rather than referencing the Concordat, with Concordat referencing potentially elsewhere in the article.	See ECI1.1 above





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ECI1.5	Ensure that all relevant staff are aware of the Concordat	Concordat principles to be woven into the Technicians' Commitment	Concordat principles present in the Technicians' Commitment	July 2021	Technical Network	This has been widely distributed via the technical network, work shops and remote away days.	Our Technicians Commitment Stage Two Self- Assessment and Action Plan for 2020-23 was highly praised by the Steering body made up of senior level funders	
ECI2.1	Ensure that institutional policies and practices relevant to researchers are inclusive, equitable and transparent, and are well-communicated to researchers and their managers	Institution to submit Athena SWAN Silver application Outcome to be advertised on Athena SWAN institutional webpages https://www.kcl.ac.uk/hr/diversity/gender-and- race-equality/kcl-as-awards.pdf	Award of Athena SWAN Silver status	November 2020	EDI	Award granted in 2021 https://www.kcl.ac.uk/hr/diversity/docs/pdf/finalised-athena-swan- action-plan-nov-2020-4-1.pdf	Multiple actions supporting the Athena SWAN principles	Actions that connect directly to future projects will continue to be reported under those items
ECI2.2	Ensure that institutional policies and practices relevant to researchers are inclusive, equitable and transparent, and are well-communicated to researchers and their managers	Institution to submit application for Race Equality Charter Mark		July 23rd 2020	EDI	Award granted in 2020 https://www.kcl.ac.uk/hr/diversity/gender-and- race-equality/kings-race-equality-action-plan-2020-2024.pdf	Charter principles	Actions that connect directly to future projects will continue to be reported under those items
ECI2.3	Ensure that institutional policies and practices relevant to researchers are inclusive, equitable and transparent, and are well-communicated to researchers and their managers	Code of conduct created to ensure behaviour is appropriate at events and courses. Code to be used at all events by CRSD. Similar codes to be rolled out across the university, to be piloted in faculties by (Natural & Mathematical Sciences) NMS and in role groups by the Technical Network. Depending on success of the two pilots, to then be rolled out to other faculties and	Inclusion of the code in event literature Adherence to the code	Inclusion for CRSD from Sept 2020 Inclusion by NMS and Tech Network from Jan 2021 Review Jan 2022	CRSD NMS	The CRSD has introduced a code of conduct for events and training. This has been expanded to include all of Research Talent and is made available to participants online here: https://www.kcl.ac.uk/study/doctoral-studies/doctoral- training/Booking-Information-Support/working-with-external-trainers. Pilots are yet to be implemented. This will probably require additional staff to deliver	There have been no reports of non-adherence. It is difficult to know if there has been a difference since a baseline was not established. This action will be continued.	Continue with action (ECI 2.1), addressing in the next staff survey
ECI2.4	Ensure that institutional policies and practices relevant to researchers are inclusive, equitable and transparent, and are well-communicated to researchers and their managers	Flexible Working Group to lead a university wide campaign and develop the interventions needed to enable a Flexible by Default culture for all roles. See also ECM5.3	Staff feel supported to work flexibly, through guidance, role models and line manager support, as reported in staff engagement survey. Criteria to be set when survey created HR capture informal and formal flexible arrangements.	January 2022 (CV)	HR Flexible Working Group Policy and Remuneration EDI OD	RIO The Flexible Working SharePoint site went live in September 2021 (https://emckdac.sharepoint.com/sites/FWG) and has been linked through from a range of HR pages to promote it. It was shared in a King's Essentials newsletter which led to a few people either signing up to join the FWG or emailing for more information. FWG fed into discussions as part of the Ways of Working project and still meets to push forward the flexible working agenda at King's, especially as we move to a hybrid model of working (as distinct from flexible working).	Interest has been generated. It is too early to say if this initiative has brought about actual change. There have been no staff surveys that would address the impact of this initiative as these have been delayed by COVID-19	Continue with action (ECI 2.2), addressing in the next staff survey and in Athena SWAN action plan
ECI2.5	Ensure that institutional policies and practices relevant to researchers are inclusive, equitable and transparent, and are well-communicated to researchers and their managers	Maintain pages on CRSD website that link to relevant policies	Pages up-to-date Usage data	Pages reviewed quarterly Usage data compiled for annual reporting round	CRSD HR	176 unique visits to 'Policies for you' between August 2019-July 2021; 304 unique visits to 'How we work' page with policy links; 292 unique visits to 'King's Research Culture' page in same period, after which the website was restructured Page views for 1 August 2021 - 20 April 2022 Policies for you: 91 How tofor research staff (includes policy links): 272 How we work: 188 Research Culture: 351	Pages are up-to-date and viewed sufficiently regularly to indicate interest and that the relevant information is being communicated	We will continue to maintain these webpages and advertise them where appropriate but as this has now become business as usual we will no longer include it in the action plan.
ECI2.6	Ensure that institutional policies and practices relevant to researchers are inclusive, equitable and transparent, and are well-communicated to researchers and their managers	Continue to build a more efficient reporting procedure via new HR system and Diversity & Inclusion data dashboard to record, e.g. make-up of recruitment panels See also E11.1	Improvement in reporting following adoption of new HR database. At least 90% of interview panels reported accurately other than for highest level recruitment. Faculty and HR records, including new HR system.	Recruitment phase of new HR database by December 2020	HR EDI	Significant work delivered and planned for HR Digital Recruitment, and EDI are contributing to a number of working groups to inform recommendations. Panel data requested as part of this work. All 9 faculties now have EDI committees.	While much progress has been made, reporting at the required level is still to be achieved	Action to be reported solely via Athena SWAN in future
ECI3.1	Promote good mental health and wellbeing through, for example, the effective management of workloads and people, and effective policies and practice for tackling discrimination, bullying and harassment, including providing appropriate support for those reporting issues	Promotion of Online Wellbeing Toolkit for research staff, initially developed for Staff Wellbeing Month but with wider usage anticipated Promotion of wellbeing hub https://internal.kcl.ac.uk/staff/experience/wellbein g/wellbeing1 See also ECR3.1	Number of enrolled users for Toolkit (51 to date, target 90) Completion rates for the course (target 45) Number of views of website and links therein (target 10% of research staff)	Quarterly	CRSD	To date 67 people have registered for this course of whom 59 were in the first year. Completion rates for 2021-2022 are zero and those for the previous academic year are no longer available.	This course fell into a gap between the person who set it up leaving and the new person arriving a year later because of COVID, plus it being self-directed and therefore not being as visible in our regular offering. There has been no follow up with participants so it is difficult to know if there has been any impact of this course. It has also not been advertised, which is potentially why there have been very few people enrolled in the last 12 months.	The course will monitored in future within our regular programme so considered business as usual.
ECI3.2	Promote good mental health and wellbeing through, for example, the effective management of workloads and people, and effective policies and practice for tackling discrimination, bullying and harassment, including providing appropriate support for those reporting issues	Wellbeing month (January) run annually, to include free events, seminars, discussions and sports activities and offers See also ECR3.2	Attendance numbers increase year-on-year as percentage of research staff Feedback on events - minimum of 70% satisfaction Measurable increase in usage and uptake of other organisational priorities including Service/volunteering and Kings Sport	Annually in January	OD King's Sport	A dedicated programme of support is offered via OD. This has included numerous additional webinars, guidance and bespoke sessions to support our community through the Covid-19 Pandemic. It has focussed on supporting staff at a challenging time, managing virtual teams. As the programme has changed significantly it is difficult to address whether attendance has increased for individual events, but it is high enough to be satisfied that a desirable service is being provided https://internal.kcl.ac.uk/staff/experience/wellbeing/index	Wellbeing activities offered by OD throughout the year rather than only during Wellbeing Month.	We will continue to offer these activities but as this has now become business as usual we will no longer include it in the action plan.





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	Promote good mental health and wellbeing through, for example, the effective management of workloads and people, and effective policies and practice for tackling discrimination, bullying and harassment, including providing appropriate support for those reporting issues	Address wellbeing in surveys and compare with previous to identify trends and inform actions to address the issue	Year-on-year increase being noted in survey activities, both in awareness of wellbeing initiatives and uptake in wellbeing provisions as percentage of research staff.	Annually or alternate years, depending on survey	OD CRSD Technical Network	58.5% report that they agree or strongly agree that they are satisfied with their work-life balance. 53% agree or strongly agree that King's promotes better mental health and well-being at work. Respondents account for approximately 15% of research staff. Compared to the CROS 2019 this represents a decrease. It is likely that the decrease could be attributed to COVID-19 as there had been an upward trend prior to 2021.	programmes initiated in response to COVID-19	We will continue to address wellbeing and mental health in surveys but as this has now become business as usual we will no longer include it in the action plan.
EC	Promote good mental health and wellbeing through, for example, the effective management of workloads and people, and effective policies and practice for tackling discrimination, bullying and harassment, including providing appropriate support for those reporting issues	Develop "Dignity at King's" Bullying, Harassment and Victimisation Policy - currently only a statement exists See also ECI3.6	Policy delivered, published and advertised Number of views of published policy (minimum 10% of research staff) Strict adherence to the policy - measures under review Anticipated increase in grievance and disciplinary reports	Policy publication December 2020 Adherence to policy measures agreed 2022	Now OD Was EDI HR	Policy delivered in December 2020 Senior management team and OD produced clear action plan, beginning with their own working practice Responsibility transferred to OD Processes starting to be put in place to address the issue, including through the corresponding Bullying & Harassment T&FG project (See ECI3.6). Faculties invited to share best practice.	The policy is now viewed as a starting point for a more comprehensive project to address bullying and harassment.	This action now incorporated into a major project to address this issue in the bullying and harassment section (ECI3.1)
EC	Promote good mental health and wellbeing through, for example, the effective management of workloads and people, and effective policies and practice for tackling discrimination, bullying and harassment, including providing appropriate support for those reporting issues	In February and March of 2020, FoLSM launched a piece of work that explored our working culture and environment, specifically focussing on bullying and harassment. Colleagues were invited to discuss perspectives and experiences of our organisational culture, and help identify good practice and opportunities to build a more inclusive culture where research staff and the rest of the Faculty can thrive. The data collected will inform a programme of activity to facilitate cultural change, including a tool to guide inclusive leadership, coaching and development for leadership, and a video podcast. These provisions will be implemented over the coming months. The programme will be trialled in FoLSM and rolled out in other faculties, starting with A&H and NMS	Executive Board and implemented; specific questions included in Faculty Staff Survey, and show positive perception.	December 2021 Ongoing in line with staff surveys	FolSM A&H NMES	Plan delayed by COVID but findings now incorporated into university wide approach to bullying and harassment	Contributed to evidence supporting the case that a university-wide response was necessary	This action now incorporated into a major project to address this issue in the bullying and harassment section (ECI3.1)
EC	Promote good mental health and wellbeing through, for example, the effective management of workloads and people, and effective policies and practice for tackling discrimination, bullying and harassment, including providing appropriate support for those reporting issues	Convene a Research Culture Research Culture TFG* working group to address Bullying, Harassment and Victimisation in relation to research staff Working group to work alongside central and faculty Athena SWAN and EDI teams Devise events, actions and/or policies to address the issue See also ECI3.4 and ECM5.1	Working group convened Research completed Actions planned Outcomes dependent on the nature of actions planned by the working group - could include attendance at events, reported improved relationships between research staff and managers	Group convened by 31st July 2020 Research Completed by October 2020 Actions planned by December 2020 Outcomes ongoing thereafter	Research Culture Research Culture TFG	Action delayed initially by COVID-19. Working Group convened and completed Stage 1 by April 2021. Paper published at https://internal.kd.ac.uk/croat/kings-research-culture, Results disemminated at Research Staff Town Hall meeting in April and via Research Staff Newsletter. Stage 2 completed by December 2021 and similarly disemminated and published. Research resulted in a number of recommendations to the university, most of which are being addressed by Senior Management.	Contributed to evidence supporting the case that a university-wide response was necessary	This action now incorporated into a major project to address this issue in the bullying and harassment section (ECI3.1)
EC	Promote good mental health and wellbeing through, for example, the effective management of workloads and people, and effective policies and practice for tackling discrimination, bullying and harassment, including providing appropriate support for those reporting issues	Updated from Athena SWAN action plan Tackle long hours culture through work life Enhanced workload allocation modelling, providing sufficient time for line management. Facilitate a cross-Facuty conversation around workload models to move towards more consistency at King's. Improve processes, systems and procedures to improve efficiency, reduce workarounds and reduce unnecessary work. Continue to develop and implement HRDS and realise efficiency benefits. Develop and implement systems, with better understanding of requirements, and recognition of change creates work and requires resource to implement. Align Athena Swan findings further into business planning between PS and Academic staff. Increase project management capacity.	Updated from Athena SWAN action plan Staff report better work life balance, as reflected in staff engagement survey, working 'extra time in the last 12 months' target 10% reduction each year, from baseline of 74% in 2017. Workload is felt to be more manageable. Reduction in proportin of staff who feel unable to cope with workload to 30% compared to 48% in 2017. Staff engagement survey shows carers feel increased 'King's provides good support to help me balance my work and personal commitments, up 3% each year. Baseline for all staff = 57% in 2017. 2020 Action plan entry Creation of Tiolkit Number of times accessed (minimum 5% of research staff) Responses in all staff survey	2022 Toolkit created by December 2021 Preliminary evaluation via in house all staff survey (CV)	Updated from Athena SWAN action plan A - SVP Ops, VP ED and VP Research R - Flexible working group, EDI, OD, People Partner teams	Wellbeing toolkit developed and now online. https://internal.kcl.ac.uk/staff/experience/wellbeing/toolkit Project delayed by COVID so implementation has been put back.	Currently no impact because of delays	Incorporated into Athena SWAN action plan under IWE9 so reporting from here onwards will be via that channel





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ECI4.1	Ensure that managers of researchers are effectively trained in relation to equality, diversity and including, wellbeing and mental health	Training for PIs/Managers of research staff. Attendance currently low. Continue to map out current training availability and topics covered, and ensure good practice and learning shared See also ECM1.1	Mapping exercises completed	Annually in line with action plan reporting period	OD	A number of dedicated Leadership, Learning and Development programmes are offered via OD. This includes digital skills, personal effectiveness webinars, management essentials webinars and specialist management and leadership programmes. Each hub has a separate focus highlighting key topics like wellbeing and resilience, managing teams, as well as supporting dedicated communities such as new people managers at King's and Heads of Department. Webinar participation for Organisational Development's Personal Effectiveness and Management Essentials for 2021-2022 reached 2498 people across 60 webinars (approx.) to date. Across the course of remainder of the academic year, that will be closer to 4000 people and 90 webinars.	Greater recognition of the lack of engagement by managers of research staff in this offering, leading to the initiation of highly bespoke training for those who manage research staff	Original action now considered business as usual so will not be carried forward, future project described in PCDM5.1
ECI4.2	Ensure that managers of researchers are effectively trained in relation to equality, diversity and including, wellbeing and mental health	Continue providing courses, e.g. Diversity Matters https://www.kcl.ac.uk/hr/diversity/Get- Involved/training, that address these issues and encourage greater attendance by sending out regular updates to managers twice yearly See also ECM1.1	Attendance at courses (minimum 5% of managers) Changes in relevant responses in surveys	Updates sent annually April and September Courses asynchronous All staff surveys are currently every other January (CV)	EDI OD CRSD	Between June 2020 - May 2022 (inclusive) there were 1544 attendees at the Staff Diversity Matters training and 544 attendees at the Diversity Matters Managers training. Our reporting systems do not specifically separate managers of research staff from other managers, however of a total of approximately 9,000 staff these numbers amount to significantly more than 5%. EDI are taking Diversity Matters Training to tender in May/June 2022 to ensure value for money and raise standard of EDI training. In April, EDI released online WorkRite training for EDI, providing a foundational level for all staff.	Diversity matters training attendance records indicates that this is valued by staff at King's. According to the KReSS there has been a slight decrease in the number of staff agreeing or strongly agreeing that King's is committed to equality, diversity and inclusion but as this is still at 77% of responders there does seem to be an overall positive viewpoint. Reports indicate that COVID has had a disproportionate effect on certain groups of people so this major factor must be taken into consideration. All staff survey not carried out over the period of this action plan.	
ECI4.3	Ensure that managers of researchers are effectively trained in relation to equality, diversity and including, wellbeing and mental health	Diversity Matters run asynchronously and made available to all staff at King's. Review in line with all staff surveys to ascertain continued appropriateness See also ECM1.1 and EM3	Data from attendance records at Diversity Matters courses (miniumum of 5% of new managers) Changes in relevant responses in surveys	Courses asynchronous All staff surveys are currently every other January (CV)	EDI with discussion at Faculties bi- annual meetings.	Diversity Matters has been moved online as a result of the pandemic. Attendance numbers are good enough to continue with the offering. See also ECI4.2 above	See ECI4.2 above	We will continue to offer these courses but as this has now become business as usual we will no longer include it in the action plan.
ECI4.4	Ensure that managers of researchers are effectively trained in relation to equality, diversity and including, wellbeing and mental health	KCL has increased its focus on all staff wellbeing, especially through Covid-19 and created a dedicated intranet page for wellbeing including a range of new and existing resources and links to support groups and services OD will continue to produce a monthly update including health, wellbeing and exercise topics and advertising of events and resources See also ECM3.1	Newsletter disseminated monthly Open rate of newsletter (minimum 10% open rate)	Monthly OD Update from March 2020	CRSD OD	The OD newsletter was paused in 2021 due to reframing of our comms approach. Instead a dedicated and tailored communications via yammer, OD mailing list and targeted emails have been preference to help share key message. Additionally, for our outreach for inductions, we started using the online newsletter platform Populo more to help provide greater information on open and click rates.	Campaign has proven useful in maintaining attendance at staff wellbeing events	We will continue to monitor advertising in this area but as this has now become business as usual we will no longer include it in the action plan.
ECI4.5	Ensure that managers of researchers are effectively trained in relation to equality, diversity and including, wellbeing and mental health	IoPPN current priorities can be found at https://www.kcl.ac.uk/ioppr/diversity-inclusion These include a faculty Code of Conduct that highlights the need to pay attention to all protected characteristics when considering the 7 points contained in the code. One point is 'Supporting early career researchers in their career development and progression by providing them with opportunities to establish themselves as independent researchers.'	Increase in number of signatories to the code, 182 to date Awareness and adherence assessed through King's and IoPPN surveys, the Diversity & Inclusion Achievements Logs, PDRs, exit surveys, focus groups, and other feedback exercises.	Annually in line with action plan reporting period	IoPPN Diversity & Inclusion	Currently 521 signatories on the website https://www.kcl.ac.uk/ioppn/diversity-inclusion/ioppn-code-of- conduct Improved level of engagement in departments through CDIALs (summary of good practice will be available later this year and CDIALs made available online) Explicit inclusion of CD&I In PDR and broader messaging about discussing workload and welbeing. New in 2021 is, bespoke messaging to researchers from Vice-Dean (Research) on importance of PDR	Increased awareness	Code will continue to be advertised but now considered business as usual so action will not be included in future plans
ECI5.1	Ensure that researchers and their managers are aware of, and act in accordance with, the highest standards of research integrity	Create an overarching College-level Research Integrity principles-based code of conduct, supported by faculty-specific guidance for researchers See also ECM2.1, ECM2.2 and ECR2.1	Code of Conduct created	December 2021	RGEI	RIO Development of code of good research conduct is ongoing but delayed.To be published Dec 2022.	For future evaluation	Taken forward in ECI5 and ECM2
ECI5.2	Ensure that researchers and their managers are aware of, and act in accordance with, the highest standards of research integrity	Creation of Research Integrity Champions in all 9 Faculties, to play a high-level advocacy role, promote Research Integrity within their areas, and advise on policy and procedure development. See also ECM2.1, ECM3.2 and ECM5.2	Engagement from the Champions leading to	Champions appointed by July 2021 RGEI attendance increased by July 2022	RGEI	Research Integrity Champions in place by September 2019. Research Integrity Champions role description was updated in December 2021 to allow for an alternative senior member of faculty to take on the role. Two faculties have appointed Research Integrity Champions other than the Vice Dean for Research. Attendance at meetings continues to be strong, and the Research Integrity Champions continue to advocate. This has led to increased training across all faculties. Where there have been changes to Vice Dean for Research, the incoming colleague has taken up the Research Integrity Champion role positively.	Enhanced visibility across the College, with invitations for training and to speak at faculty- wide events to promote research integrity increasing.	Taken forward in ECM3



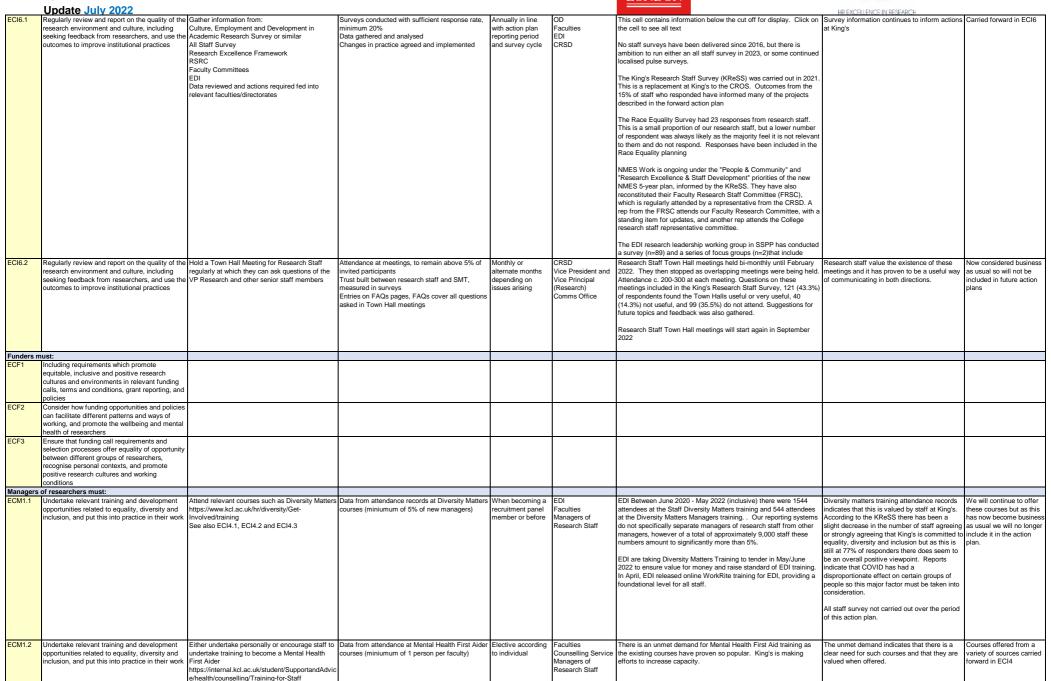


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ECI	5.3	Ensure that researchers and their managers are aware of, and act in accordance with, the highest standards of research integrity	Creation of Research Integrity Advisors to be in place in all faculties Hold termly meetings between Faculty advisors and RGEI to understand issues reported to them. Provide support with training See also ECM2.1 and ECM 5.2	Number of enquiries of research directed to advisors. Currently zero as new initiative so any enquires inidicate success Meetings between advisors and RGEI completed with desired outcomes Increased attendance at training across KCL, minimum 5% increase in attendance overall	Advisors appointed by Sept 2020 Meetings termly		training requests contin Research Integrity Advi comms pack. Turnover process. A new process allow for self-nominatio nomination still requires Research Integrity Offic		Increased awareness of research integrity standards and support	Research Integrity Advisers now in place and appointment considered business as usual so action will not be included in future plans
ECI	-	Ensure that researchers and their managers are aware of, and act in accordance with, the highest standards of research integrity	Training and guidance in Research Governance, Research Ethics, and Research Integrity available to all researchers. Development of online resources. See also ECM2.1 and ECM 2.2	Creation of online material and figures related to uptake; attendance and engagement in training sessions. Minimumm engagement level 5% of target audience.	December 2021	RGEI	for all RGEI to help coo ensure that all those en training to support their	ppment. Online training request form created rdinate training across three areas and to gaged in research are aware of possible research practices. In place by April 2021. lendees in Jan 2020 vs 28 in March 2021.	Increased understanding in some areas, but more work needed to implement this action completely	Taken forward in ECR2 and ECR4, ECI5 and ECM2
ECI		Ensure that researchers and their managers are aware of, and act in accordance with, the highest standards of research integrity	KORGI is an action-oriented committee composed of an interdisciplinary mix of mainly senior academics and experienced research staff at KCL. Its aim is to push for changes in policies and procedures to promote transparent, accessible and reproducible research, focusing on three key engines for change. First, raising awareness about the benefits of open research practices for improving the quality of research practices, including the development of training and guidance, building infrastructure to allow for open research, and establishing appropriate incentives in policies and procedures. Finally, facilitating reforms at a Faculty and College level. https://www.kcl.ac.uk/research/kings-open- research.group-initiative-korgi See also ECR5.2	Formulate actions based on recent survey data which assessed knowledge and practice of open research at KCL. Facilitate the adoption of hiring and promotion criteria that incorporate open research practices Instigate discussions regarding an institutional publishing model based on the UCL press Establish Open Research Award, an initiative to help remove financial incentive barriers to practicing open research Develop open and accessible materials to teach and raise awareness of open research Establish a revenue stream	Survey outcomes published August 2020 All other actions will be completed by November 2021	KORGI	Conference, with intern Marcus Manufò, Amy C participants. The survey data are stil published Other publications inclu A three-step guide for a and open research has An archive of job offers statement from the app IoPPN has now created https://internal.kcl.ac.uk tteeRIC/ResearchInnc universities to create a (https://osf.io/kggez/) IoPPN researchers hav universities to create a (https://osf.io/kggez/) IoPPN researchers hav universities to create a (https://osf.io/kggez/) IoPPN ERP, provides Reproducible, Open an to four institutions and H Network.	sted the first King's Open Research ational leaders in the field speaking (e.g. r/ben and Dorothy Bishop) attracting >1000 I available but the outcomes have yet to be de: cademics wishing to support reproducible been published at https://psyarxiv.com/jyfr// that require or suggest an open sicence licants https://os.ii/7/jbn// an open science award //oppn/rand/ResearchandInnovationCommi vation-Committee and worked with other national primer for UKRN e championed open science by establishing o raise awareness and influence practice Science Club started by Westwood (an xamples of good practice and training in d Transparent Research. It is now franchised as partnered with the UK Reproducibility DRGI have mostly now left King's. The iability without the original team.	KORGI had an important impact on the IoPPN and the careers of those who started it. The IoPPN will continue to work on the aims of KORGI, although not under the direction of this group.	those delivering no longer
ECI		Ensure that researchers and their managers are aware of, and act in accordance with, the highest standards of research integrity	 Ensure appropriate dissemination of the college's underpinning principles and policies on DORA via an internal-facing webpage / e-hub). Deliver on mandatory priorities such as a Statement of Commitment for the college-wide Implementation of DORA, which is a requirement of the Wellcome Trust. See also ECM2.1 and ECR5.2 	visits and timed engagement with content related to DORA.	 By Dec. 2020 By April 2021 and periodically, as required. By April 2021 and periodically, as required. By Jan. 2021 	1a. RSD (Research Strategy Delivery) 1b. RSD / IT 1c. HR Faculties OD CDT 2. RSD	them left King's, which has created a commitm against the second part	ut on hold when the person responsible for Jelayed the project. In the meantime King's ent to fair and responsible assessment of this action as described at search/assets/pdf/kings-commitment-to-fair- ment.pdf	Minimal as progress has been delayed	Put on hold until such time as resources allow
ECI		Ensure that researchers and their managers are aware of, and act in accordance with, the highest standards of research integrity	Further develop and evaluate King's Internal Talent Database (stayatkings.cc.uk) aimed at providing interim employment for staff between contracts.	PIs posting jobs, minimum of 3 jobs posted per year Research staff posting their qualifications, minimum of 5% of those reaching contract termination with no subsequent employment Partnerships formed - minimum of 5% of posted jobs	Relaunch by September 2020 Evaluation by September 2021	CRSD		of the database we discovered that very few and the cost of doing so outweighed the	impact below the effort/financial output	This project has been ended with no further actions against it, although alternative methods to address researcher career precarity are being evaluated

Action plan (template from HR Excellence in Research Award) August 2020 to July

2022



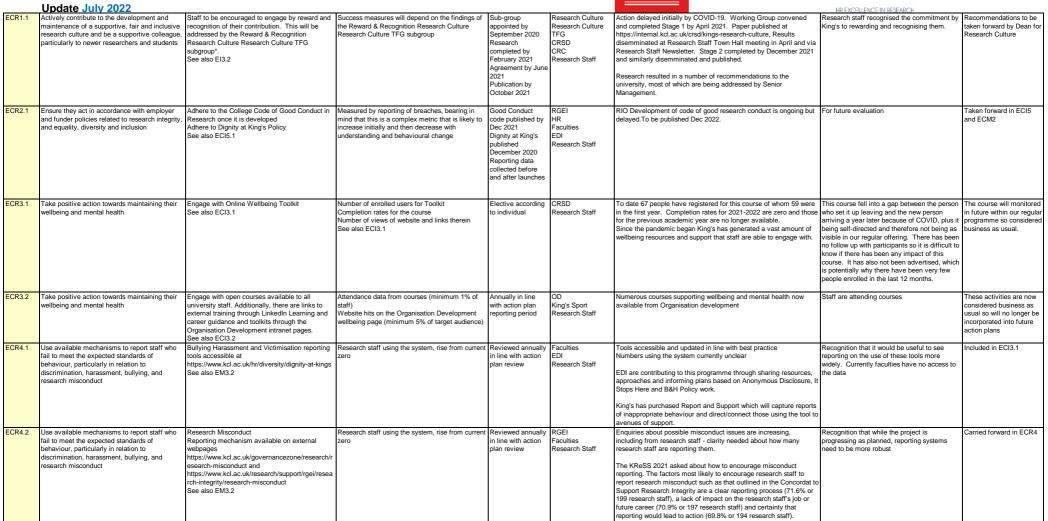






	Update July 2022						HR EXCELLENCE IN RESEARCH	
ECM2.1	Ensure that they and their researchers act in accordance with the highest standards of research integrity and professional conduct	Adhere to the College Code of Good Conduct in Research once it is developed https://www.kcl.ac.uk/research/support/rgei/resea rch-integrity Faculty Integrity Champion and Advisors to encourage compliance and attendance at training events See also ECI5.1, 5.2, 5.3, 5.4, 5.6 and ECR4.2	Code developed and published Number of cases reported each year - this is a complex measurement as there are several influencing factors. We may initially see an increase with increasing awareness and confidence to report but longer term would expect a decrease as adherence increases	Code developed by December 2021 Reporting annually in line with review	RGEI Faculties Managers of Research Staff	College Code in development. Better lines of communication between faculties and RGEI to be established to support the reporting in the annual statement. Until the College specific code of conduct in research is launched, researchers are still required to adhere to the UKRIO code and the King's framework, both of which are published on the RGEI webpage. Research Integrity Champions and Advisers working towards greater awareness and in some faculties setting up bespoke websites Too early to be able to say anything useful about the number of cases reported.	It is difficult to measure impact without numbers of cases but anecdotally awareness of research integrity is improving. In the KReSS, carried out in the summer of 2021, 72% of research staff reported that their manager's commitments to support research integrity were either high or very high.	Continued in ECI5/ECM
ECM2.2	Ensure that they and their researchers act in accordance with the highest standards of research integrity and professional conduct	A&H required to familiarise themselves with KCL	All external funding applications in A&H made with signed agreement Other faculties to have decided whether or not to follow the same route	In line with grant application deadlines Other faculties by December 2021	A&H Other faculties Managers of Research Staff	A&H We now have Research integrity advisors (both academic and professional services) in each cluster (school) who can advise colleagues and who can assess where necessary that research integrity rules are followed. Data not available to assess compliance with linking funding applications to research integrity training and changes and consequent gaps in leadership in A&H have resulted in a delay and revision of this project. The initiative is being considered in other faculties in the absence of evidence	Funding applicants in A&H familiar with the statements Process needed to roll out to other faculties, suggested via Worktribe	This project is currently on hold and may be resumed in future following gathering and assessment of evidence
ECM3.1	Promote a healthy working environment that supports researchers' wellbeing and mental health, including reporting and addressing incidents of discrimination, bullying and harassment, and poor research integrity	We anticipate that approaches to this other than setting up reporting systems will emerge from the Research Culture Research Culture TFG actions as an initial stage of addressing a complex issue See also ECI3.6 and ECI4.4	Approaches identified by the Research Culture Research Culture TFGs Future actions being put in motion	July 2021	Research Culture Research Culture TFG Faculties Managers of Research Staff	Comprehensive university-wide plan to address bullying and harassment arisen from the work of the Task & Finish Group on this topic	Research staff recognise that King's is keen to address bullying and harassment	Carried forward in ECI3
ECM3.2	Promote a healthy working environment that supports researchers' wellbeing and mental health, including reporting and addressing incidents of discrimination, bullying and harassment, and poor research integrity	Increase visibility of existing reporting system for allegations of research misconduct through training and interventions of research integrity advisors See also ECI5.2, ECI5.4, ECM4.1 and ECM4.2	Nature and quantity of reports of perceived breaches of integrity. We may initially see an increase with increasing awareness and confidence to report but longer term would expect a decrease as adherence increases	Annually in line with action plan reporting period	RGEI Managers of Research Staff	RIO Reporting is increasing, suggesting greater awareness through staff and also through training provision. Champions and advisors are in place in all faculties. Research Integrity Advisers are crucial as a visible point of contact for anyone with concerns.	Greater awareness of support mechanisms available and confidence to use them	Carried forward in ECI3
ECM4.1	Consider fully, in accordance with statutory rights and institutional policies, flexible working requests and other appropriate arrangements to support researchers	FoLSM: implement recommendations from Cultural Change Initiative; implementing a disputes resolution model, which comprises 3 elements: 1) our Confidential Advisors (already implemented); 2) internal 'light touch' mediators to resolve small workplace disputes/challenges; and 3) more streamlined access to external mediators. Overall aim of model is to resolve workplace disputes quickly and build staff capability around dialogue/conflict management.	Recommendations implemented Workplace disputes reduced. Any reduction will be considered a success	Implementation by July 2021 Reduction in disputes by July 2022	FoLSM Managers of Research Staff	FoLSM have developed the resources e.g. handbook, role descriptor for the internal disputes advisors (light touch mediators) and plan to recruit the first cohort next month. Confidential advisors are in place and their work is ongoing.	Dispute numbers not measured and responses from the KReSS indicate that there is no change in the perception of disputes, but it is possibly too early in the process to be able to tell.	Action continuing but will not be included in future action plans as it comes under the future plans around Bullying and Harassment. See ECI3.
ECM5.1	development aimed at creating a more positive research environment and culture within their institution	Take up roles within Research Culture Research Culture TFGs See also ECI3.6, EI3.1 and EI3.2	Roles being taken up Contributions to group activities made	Appointment by September 2020 Contributions thereafter	Research Culture Research Culture TFGs Faculties Managers of Research Staff	Managers of research staff from A&H, FoDOCS, FoLSM, IoPPN, KBS, NMES and SSPP (7 of 9 faculties) participated in Research Culture projects to varying degrees, according to workload	The projects had input from managers of research staff, who gained a better perspective of the challenges research staff face and the contributions they can make, in addition to generating useful evidence for future projects	Carried forward in ECI3.1
ECM5.2	Engage with opportunities to contribute to policy development aimed at creating a more positive research environment and culture within their institution	Advisor role See also ECI5.2 and ECI5.3	Roles being taken up Contributions to policy making made (from participation in related discussions, i.e. attendance at relevant meetings etc.)	Champions appointed by July 2021 Contributions thereafter	Faculties RGEI Managers of Research Staff	Champions appointed in all faculties Managers of research staff who have taken up roles as Research Integrity Champions are able to comment on an contribute to policy/procedure changes/developments at bimonthly meetings.		Research Integrity Champions and Advisers are now firmly in place so this action is concluded and will not be carried forward.
ECM5.3	Engage with opportunities to contribute to policy development aimed at creating a more positive research environment and culture within their institution	Engage with Flexible Working Group regarding "Flexible by Default Culture" See also ECI2.4	Staff feel supported to work flexibly, through guidance, role models and line manager support, as reported in staff engagement survey. Criteria to be set when survey created HR capture informal and formal flexible arrangements.	January 2022 (CV)	Managers of Research Staff	Faculties report engagement of managers with flexible working and feeding back information to Schools Survey not yet carried out but planned for spring 2023	Faculties are beginning to take the initiative of creating bespoke resources for themselves to address how flexible working would be suit their research areas	Taken forward in Athena SWAN action plan, LD4, LD6, LD13, RS1, RS3, IWE3, IWE9, IMC3





Action plan (template from HR Excellence in Research Award) August 2020 to July 2022

Update July 2022

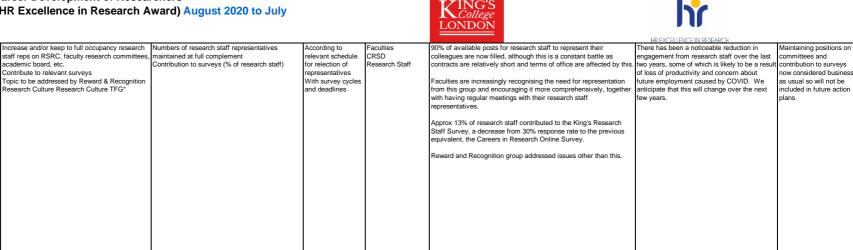
institution

Consider opportunities to contribute to policy

research environment and culture within their

development aimed at creating a more positive

ECR5.1



	research environment and culture within their institution	Contribute to other policy-making groups, including Flexible Working Group, see ECI2.4 Research Culture Research Culture TFG Bullying, Harassment & Victimisation, see ECI3.6 Research Culture Research Culture TFG Careers, see EI3.1 Research Culture Research Culture TFG Reward & Recognition, see EI3.2 KORGI, see ECI5.5	Numbers of research staff representatives attending policy development forums and events (minimum 10 reps attending per year)	Elective according to individual	Faculties CRSD Research Staff	Eight research staff serve on the Research Culture Working Groups and one on the Task & Finish Group, drawn from almost all of the faculties Flexible Working Group currently is a majority of professional services and some academic staff members. Research staff may be included in future While there was significant input from research staff into KORGI it is no longer be functional as those leading it have now left King's. Research staff may still be contributing under other guises to the initiatives they instigated Faculty networks in the process of or need to be updated to ensure there are representatives who can be called on when needed.	Other members of the working groups felt that the presence of research staff made the issues come alive and encouraged them to engage more enthusiastically. Policies that may arise are that much richer for including the research staff voice	included routinely when similar projects are run. Action now considered business as usual and will
Employme	ent							
Institution								
	researchers, using fair and inclusive selection and appointment practices	improve significantly the quality of workforce	functional Power BI dashboards and analytics rolled out Extension of digital services completed	Recruitment phase by December 2020 Power BI dashboards by autumn 2020 Digital services by December 2023	Services Design & Improvement HR Faculties EDI	Recruitment processes within the system were delayed but are now completed. Power BI training being rolled out with limited data being available	As yet unknown	Project will continue although will not be reported in future action plans
	researchers, using fair and inclusive selection and appointment practices	Equality Charter Mark. Scope feasibility of name-blind shortlisting.	data is captured through recruitment processes. Success rates from application to appointed are regularly monitored and actions designed and taken.	July 2022	HR OD EDI Line managers	Significant development on the recruitment pages have been made as a result of the recruitment module implementation. Managed by the HR recruitment team, specialised support has been updated on the HR webpages and embedded within PeopleXD. This support is linked to navigating the HR system and best practice recruitment process. Additionally, OD have delivered dedicated webinar support around recruitment via the Management Essential Hub. Actions incorporated into Athena SWAN action plan	Too early in the project to be able to assess impact	Continued in Athena SWAN action plan under RS1





	Update July 2022							HR EXCELLENCE IN RESEARCH	
EI2.1	Provide an effective induction, ensuring that researchers are integrated into the community and are aware of policies and practices relevant to their position	programmes to include: Clarity about staff performance Expectations regarding "Our Principles in Action", a set of principles relating to how we work with each other Support provided for training, development and career planning Staff networks and representation Checklist of required activities e.g. fire safety	Programme devised Comprehensive information available on faculty websites Attendance numbers at events, minimum of 10% of new staff	Programme by July 2021 Information available by January 2022 Attendance at events reviewed annually in line with action plan review		of which penetrate to dep targetted at research sta Committee attempted to to be delivered at faculty		There is greater recognition that inductions play an important part in the experience of research staff.	While this varies considerable from one faculty to the next there is now a business as usual element to this action so it will not be included in future action plans, other than in FoDOCS, ECI6.2, and SSPP, EI2.2, where specific new initiatives are being trialed.
EI3.1	Provide clear and transparent merit-based recognition, reward and promotion pathways that recognise the full range of researchers' contributions and the diversity of personal circumstances	Research Culture TFG convened to address 3 priority projects. Research Culture TFG to appoint a sub-group to identify and document career options and promotional prospects within the university* See also ECM5.1 and ECR5.2	Sub-group appointed Career mapping carried out Promotion options highlighted Information documented and published to coincide with PDR season	Sub-group appointed by September 2020 Mapping and options by February 2021 Publication April 2021	CRSD CRC	and completed Stage 1 t https://internal.kcl.ac.uk/ disemminated at Resear Research Staff Newslett and similarly disemminat Research resulted in a n	y COVID-19. Working Group convened oy April 2021. Paper published at crsd/kings-research-culture, Results ch Staff Town Hall meeting in April and via er. Stage 2 completed by December 2021 ted and published. umber of recommendations to the a are being addressed by Senior	Contributed to evidence supporting the case that a university-wide response was necessary	This action now incorporated into a major project to address careers for research staff, starting with EI6, a review of the use of Fixed-Term Contracts, PCDM5, a new and comprehensive programme for managers, and PCDL5, the generation of a significant resource bank of careers beyond academia.
EI3.2	Provide clear and transparent merit-based recognition, reward and promotion pathways that recognise the full range of researchers' contributions and the diversity of personal circumstances	Research Culture TFG convened to address 3 priority projects. Research Culture TFG to appoint a sub-group to identify appropriate, desirable and feasible reward and recognition processes other than promotion* See also ECM5.1, ECR1.1 and ECR5.2	Sub-group appointed Research completed Agreement reached within faculties Information documented and published to coincide with start of academic year	Sub-group appointed by September 2020 Research completed by February 2021 Agreement by June 2021 Publication by October 2021	CRSD CRC	and completed Stage 1 t https://internal.kcl.ac.uk/ disemminated at Resear Research Staff Newslett and similarly disemminal Research resulted in a n	y COVID-19. Working Group convened yy April 2021. Paper published at crad/kings-research-culture, Results ch Staff Town Hall meeting in April and via er. Stage 2 completed by December 2021 ted and published. umber of recommendations to the n are being addressed by Senior	Contributed to evidence supporting the case that a university-wide response was necessary	Outcomes from this project mainly await input from the newly formed Research Culture Committee but one has been initiated around recognition for PGR supervision described in EI3.2
EI3.3	Provide clear and transparent merit-based recognition, reward and promotion pathways that recognise the full range of researchers' contributions and the diversity of personal circumstances	A&H to explore setting up a "Career Development Fund", intended in part to mitigate against adverse effects of COVID 19 and its consequences (lack of access to resources; additional caring responsibilities; increased teaching and assessment duties) on research career profiles. If approved, application will be competitive	Decision reached about the fund If approved, number of applications made - target of minimum 1 application per available award Statements of impact for recipients	Decision by January 2021 Fund set up by April 2021 Applications and funding decisions by June 2021	A&H RMID	scheme reserved for EC career development and to training) but also offer improve their grant writin	ant scheme includes a designated sub Rs which provides not only funding for progression (from conference attendance s ECRs the support and feedback to g skills which can form an important first I funding (for fellowships, project grants	Applications being made	Now considered business as usual so will not be carried forward
E13.4	Provide clear and transparent merit-based recognition, reward and promotion pathways that recognise the full range of researchers' contributions and the diversity of personal circumstances	FoLSM to work with Vice Dean Research and Impact and Executive Board to develop and implement a pathway model to retain research staff.	Decision reached Mechanism in place Increased retention of research staff	December 2020 December 2021 December 2022	FoLSM		within FoLSM awaiting developments in sity and availability of resource	N/A	This action to be considered in a major project to address careers for research staff, starting with EI6, a review of the use of Fixed-Term Contracts, PCDM5, a new and comprehensive programme for managers, and PCDI5, the generation of a significant resource bank of careers beyond academia.
EI3.5	Provide clear and transparent merit-based recognition, reward and promotion pathways that recognise the full range of researchers' contributions and the diversity of personal circumstances	FoLSM Identifying and implementing support for research staff on fixed-term contracts who go on parental leave	Support mechanisms agreed Any increased retention of individuals on paternity and maternity leave Any increase in outputs from individuals who have taken parental leave	outputs by	FoLSM	Parental leave now cove	erred by central Parents and Carers Fund	Staff better able to accommodate parental leave	Project completed so will not be carried forward
EI3.6	Provide clear and transparent meril-based recognition, reward and promotion pathways that recognise the full range of researchers' contributions and the diversity of personal circumstances	FoLSM Recruiting PhD student to conduct research into contextual challenges, which adversely impact career progression for women in clinical academia (e.g. use of fixed-term contracts).		July 2022	FoLSM	Ongoing raised as part o	f Athena Swan. Report due later in 2022	Project progressing as planned	Project almost completed so will not be carried forward





	Update July 2022					HR EXCELLENCE IN RESEARCH				
EI4.1	Provide effective line and project management training opportunities for managers of researchers, heads of department and equivalent	Focus on providing leadership workshops rather than specifically research staff issues. Increase attendance by PIs at leadership training courses by 50% over the next two years A flexible, modular self-directed Leadership Passport has also been offered to all managers and leaders to increase learning access and participation. See also ECM1	Data on attendance at training courses. Target increase 50% over the next two years.	Annually in line with action plan reporting period	OD	Project Management training and support is available via our on- line offering Linked In Learning. Colleagues can access this training in a timely manner. OD can also offers information of external partners if colleagues want to access this training. EDI have created Dignity at Work policy and support the cultural change and increase of line managers capacity and skills to support staff inclusively. The Leadership Passport continues to offer broader training. Finally, a dedicated programme is underway to promote positive workplace initiatives and address issues such as bullying and harassment. This includes launching Active Bystander training for the whole organisation and aligning with our Personal Effectiveness Learning Hub. Attendance numbers are unavailable as the collection process lacks clarity. Anecdotally engagement is still low	Research staff manager engagement with leadership training continues to be undervalued.	A new approach of co- creation of manager training is being carried forward, described in PCDM5		
EI4.2	Provide effective line and project management training opportunities for managers of researchers, heads of department and equivalent	Encourage line managers and directors to receive 360 feedback to review their management performance. Encourage teams to consider Belbin tools for team reflection. Use of Belbin assessment and reflection tool to emphasize team roles and capabilities, to be trialled with SMT, EDI Networks. Coaching on Demand (matching a manager to a coach at a point of need) has been added to the OD Leadership offer in 2020. See also ECM1	Uptake of Principles in Action 360 from 320 since 2019 to 450 in 2020. Belbin team assessment used twice a year with a variety of teams. More than Mentoring scheme feedback demonstrates greater capacity and understanding of inclusive working practices. Coaching partnerships taken up, minimum of 10 per year	2024	HR OD EDI	More than Mentoring run each year, 2022 cohort to be announced in May/June. Belbin delivered to staff networks by EDI Academic promotions informed by 360 feedback Tools provided but useage data is not available	impact ´	Project now considered business as usual so will not be included in future action plans		
EI5.1	Ensure that excellent people management is championed throughout the organisation and embedded in institutional culture, through annual appraisals, transparent promotion criteria, and workload allocation	PDR training has continued, including adapting to an online alternative to ensure ongoing access to learning resources during the Covid-19 pandemic. A PDR review in Autumn 2020 will ensure ongoing development including the needs of Research staff Recommendations to improve PDR for the coming year were made to SMT in Dec 2019 included greater effort required to expand the quantity and quality of Research PDRs, which was supported by SMT. See also ECM4		April 2021 September 2021 Annually thereafter	Faculties OD	Further PDR resources have been created to support a streamlined PDR Form. In addition, dedicated webinars have once again been created and offered for both managers and staff. Additional training also provided in some faculties. As agreed through SMT, there will again be no PDR roll up exercise until we are in a position to organisationally deliver this activity electronically. As reported from results of the KReSS in 2021, the greatest pecentage of research staff participated in PDRs since surveying began.	Reports of usefulness of PDRs from the 2021 KReSS indicate that there was a decrease in this metric from previous CROS results. It is not clear what is causing this downturn, although it is tempting to speculate that the pandemic contributed.	PDR engagement is now considered business as usual so will not be reported on in future		
E15.2	Ensure that excellent people management is championed throughout the organisation and embedded in institutional culture, through annual appraisals, transparent promotion criteria, and workload allocation	King's has developed a Behavioural Competency Framework - Our Principles in Action - which will be used to support a more rigorous and inclusive approach to interviewing and selecting candidates not only based on qualifications but on skills and a more rounded view of individuals. NMES to pilot the creation of a guidance document to be issued to recruitment panels before each recruitment akin to existing document relating to postgraduate research student recruitment https://internal.kcl.ac.uk/NMS/Staff/HR/PGR- Interview-Guidelines/PGR-Interview-guidelines Other faculties to consider adopting this approach	Creation of document Use by recruitment panels Feedback from users	December 2020 April 2021 from April 2021 from April 2021	NMS Other faculties	NMES reviewed the need for separate guidance and it was felt that the guidance now provided by HR is sufficiently robust and multiple different documents was unnecessary.		Project concluded so will not be carried forward		
EI5.3	Ensure that excellent people management is championed throughout the organisation and embedded in institutional culture, through annual appresiasls, transparent promotion criteria, and workload allocation	Enhance workload allocation models in faculties to provide sufficient time for line management. Facilitate a cross faculty conversation on workload allocation models to move towards more consistency at KCL. ECM1	Workload is felt to be more manageable. Reduction in proportion of staff who feel unable to cope with workload to 40%, compared to 48% in 2017.	Deadlines to be agreed when Covid planning minimised (CV)	Senior Management Team HR OD EDI	Workload Allocation Model Working Group convened, although this was delayed by the pandemic. Project ongoing. 70% of respondents to the KReSS either agreed or agreed strongly that they were treated fairly when it came to their workload. There were no questions in the KReSS about the ability to cope with the workload and the all staff survey has been postponed.	Too early in the project to be able to assess impact	Incorporated into Athena SWAN action plan under IWE9 so reporting from here onwards will be via that channel		





	Update July 2022							HR EXCELLENCE IN DESEADCH	
EI6.1		KCL has recently updated its policy on fixed-term	Research staff employed by KCL beyond 4 years	Reviewed annually	HR	All indications are that r	esearch staff are moved onto open	Research staff who have been employed at	This action contributes to
	example through more effective redeployment	contracts, making a commitment to reduce	moved onto open contracts	in line with action	Vice-Principal &		ars of continuous service.	King's for 4 years or more are on open	a major project on the use
	processes and greater use of open-ended	reliance on short-term contracts. Externally-		plan review (CV)	Vice-President	The work with the Russ	ell Group was led by the King's Vice-	contracts	of Fixed-Term Contracts
	contracts, and report on progress	funded fixed-term research contracts should			(Education)	Principal and Vice-Pres	ident (Education) who has since left. It was		and will be incorporated
		correspond with the duration of the funding for			. ,	handed on to the new D	ean for Research Culture who is building up		into that action in future.
		the position in question (which may or may not					to be able to contribute. Internally, King's		See El6.
		correspond with the total length of the grant). In					ect to minimise its use of fixed-term		
		the case of a multi-year grant, all appointments				contracts.			
		should ideally be for a minimum of two years,							
		except where a clear rationale exists as to why a							
		shorter appointment is necessary or appropriate.							
		Ensure compliance with this policy							
		Review and create further policy in collaboration							
		with other Russell Group universities regarding							
		contract length of externally funded contracts							
		See also ECM2							
EI6.2	Seek to improve job security for researchers, for	Further develop and evaluate King's Internal	Database used for redeployment processes	Evaluation ongoing	CRSD		of the database we discovered that very few	impact below the effort/financial output	This project has been
	example through more effective redeployment	Talent Database (stayatkings.co.uk) aimed at	Annual numbers of jobs posted, research staff	(CV)	нк		and the cost of doing so outweighed the		ended with no further
	processes and greater use of open-ended	providing interim employment for staff between	uploading profiles and positions filled. See also			benefits.			actions against it,
	contracts, and report on progress	contracts.	EM2						although alternative
		See also ECI5.7							methods to address
									researcher career
									precarity are being
									evaluated
EI7.1	Consider researchers and their managers as	Research staff representation on all faculty	All positions filled	In line with	Faculties	00% of available posts	or research staff to represent their	There has been a noticeable reduction in	Maintaining positions on
E17.1	key stakeholders within the institution and	research committees and Academic Board.	Air positions filled	committee and	CRSD		d, although this is a constant battle as	engagement from research staff over the last	committees and
	provide them with formal opportunities to	Research staff representatives in all faculties.		working group	Senior Management		short and terms of office are affected by this.		
	engage with relevant organisational policy and	RSRC representation on CRC.		deadlines	Team	contracts are relatively	short and terms of once are affected by this.	of loss of productivity and concern about	now considered business
	decision-making	RSRC being the point of contact for research		deddinies	ream	Faculties are increasing	ly recognising the need for representation	future employment caused by COVID. We	as usual so will not be
	decision making	staff input					ouraging it more comprehensively, together	anticipate that this will change over the next	included in future action
		stan input					tings with their research staff	few years.	plans
						representatives.			F
						Approx 13% of research	staff contributed to the King's Research		
							e from 30% response rate to the previous		
							in Research Online Survey.		
						Reward and Recognition	n group addressed issues other than this.		
Funders r									
EF1	Include requirements which support the								
	improvement of working conditions for								1
	researchers, in relevant funding calls, terms and								1
	conditions, grant reporting, and policies								
EF2	Review the impact of relevant funding call				ł				
	requirements on researchers' employment,								
	particularly in relation to career progression and								
	lack of job security								
EF3	Support institutions to develop policies and								
	frameworks to promote sustainable employment								
	arrangements and enhance job security, and								
	provide opportunities for career progression								
EF4	Consider the balance of their relevant funding			1	1				
	streams in providing access to research funding								
	and its impact at all career levels								
	L								
Managers	of researchers must:								





	Update July 2022							HR EXCELLENCE IN RESEARCH		
EM1	Undertake relevant training and development opportunities so that they can manage researchers effectively and fulfil their duty of care	Engage with courses and other activities offered See also EI4.1, EI4.2 and EI5.3	Improved responses by research staff regarding management issues in national and internal surveys Reduction in complaints of bullying and harassment and other malpractice that research staff refuse to log formally for concerns about reprisal See also El4.1, El4.2 and El5.3	Elective according to individual	Faculties OD EDI Managers of Research Staff	opportunities for managers of		Research staff continue to level poor managment accusations at their managers, as described in the KReSS. For example, the proportion of research staff reporting they had directly experienced or witnessed unfair or disrespectful treatment by a colleague/s at King's that has had an impact on them increased to 35% in 2021 from 30% in 2019. Not all these cases will be managers as perpetrators, but managers have responsibility.	The constant battle trying to get managers to engage has led to us co- creating an option with the managers of research staff. Action taken forward in PDCM5	
EM2	Familiarise themselves, and work in accordance with, relevant employment legislation and codes of practice, institutional policies, and the terms and conditions of grant funding	Engage with courses and other activities offered See also El4.1, El4.2, El5.3, El6.1 and El6.2	Improved familiarity assessed in staff surveys See also EI4.1, EI4.2, EI5.3, EI6.1 and EI6.2	Elective according to individual	Faculties HR Pre- & Post-Award Managers of Research Staff	not yet been agreed in terms of of survey Faculties report that in some in the manager population but th by professional services staff	of dates and regularity as well as type instances there is good knowledge in nat this is more commonly dealt with	Managers may continue to be resistent to this, seeing it as a role more appropriate to professional services colleagues.	Item not specifically carried forward but see PCDM5 in future action plan	
EM3	Commit to, and evidence, the inclusive, equitable and transparent recruitment, promotion and reward of researchers	See actions of Research Culture TFG in El3.2 above Attend Diversity Matters	Outcomes from Research Culture TFG Attendance numbers at Diversity Matters See EI3.2	In line with Research Culture TFG activities and reviewed annualy in line with review period	Faculties HR Managers of Research Staff	and completed Stage ¹ by Ap https://internal.kcl.ac.uk/crsd/h disemminated at Research St Research Staff Newsletter. St and similarly disemminated ar Research resulted in a numbe university, most of which are b Management. Between June 2020 - May 202 attendees at the Staff Diversit at the Diversity Matters Manag do not specifically separate m managers, however of a total numbers amount to significant EDI are taking Diversity Matte 2022 to ensure value for mom In April, EDI released online V foundational level for all staff.	kings-research-culture, Results taff Town Hall meeting in April and via tage 2 completed by December 2021 and published. or of recommendations to the being addressed by Senior 22 (inclusive) there were 1544 ty Matters training and 544 attendees gers training. Our reporting systems lanagers of research staff from other of approximately 9,000 staff these tily more than 5%. ars Training to tender in May/June ey and raise standard of EDI training. WorkRite training for EDI, providing a	Contributed to evidence supporting the case that a university-wide response was necessary. Diversity matters training attendance records indicates that this is valued by staff at King's. According to the KReSS there has been a slight decrease in the number of staff agreeing or strongly agreeing that King's is committed to equality, diversity and inclusion but as this is still at 77% of responders there does seem to be an overall positive viewpoint. Reports indicate that COVID has had a disproportionate effect on certain groups of people so this major factor must be taken into consideration.	EI3.2 We will continue to offer these courses but as this has now become business as usual we will no longer include it in the action plan.	
EM4	Actively engage in regular constructive performance management with their researchers	2019 rollup shows 20% increase in research PDR coverage (1355 researchers reviewed in 2018-19 appraisal year compared with 1076 in 2017-18 year). OD will run a PDR Review in Autumn 2020 to better quality staff experiences of PDR (including Researchers) and use the insights to further develop PDR practice See also E15.1		Autumn 2020 Spring 2021	OD HR Faculties Managers of Research Staff	makes it difficult to compare v to have reduced uptake. There College continue to operate a future is that PDR are automa our HR System For 2022, there were once ag and webinar support for both t summer. Of those reporting in the KRet previous 2 years, up from 62%	and 2021 as a result of Covid 19. This with previous years as Covid 19. This with previous years as Covid is likely e has been no official review as the light touch model. The ambition in the ated and likely delivered via/aligned to ain dedicated and updated resources the reviewer and reviewee across the SS, 77% said they had a PDR in the % in 2019. When asked about the nager in the PDR of those who had er engaged or very engaged with 20%	This project is on hold with others now taking priority, meaning that it is unlikely to be continued in the near future.	Not carried forward	
EM5	Engage with opportunities to contribute to relevant policy development within their institution	Participate in Departmental and Faculty Research Committees or equivalent Participate in college-wide policy-making groups See also ECI5.6, E13.1, EI3.2, PCDI1.1 and PCDR1.2	Recording of participation in PDR process	Participation ongoing Reviewed in PDR during summer	Faculties HR EDI CRSD Managers of Research Staff	Until an online form is availab participation across the univer Where participation is monitor for managers of research staff in some faculties. Good representation of manage	ble it will be difficult to record such	Contribution from managers to the Research Culture TFGs enriched the process because of their viewpoint and growing appreciation of the issues.	While not carried forward we anticipate that future participation may be encouraged by the learning from PCDM5	
Research	ners must:									
ER1	Ensure that they work in accordance with, institutional policies, procedures and employment legislation, as well as the requirements of their funder Understand their reporting obligations and	See ER4 See ER4			Research Staff Research Staff					
	responsibilities	555 Z.M.			1.000 aron otan					





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ER3	Positively engage with performance management discussions and reviews with their managers	See ER4			Research Staff			
ER4	Recognise and act on their role as key stakeholders within their institution and the wider academic community	Research staff often do not see themselves as key stakeholders as their tenure in this role is usually short-lived. KCL must first recognise them as key stakeholders by recognision of their contribution. This will be addressed by the Reward & Recognition Research Culture TFG*, action EI3.2 See also EI7.1, PCDI1.1 and PCDR1.2	See El3.2	See EI3.2	Research Staff	9 research staff participated in Research Culture projects to varying degrees, according to workload Papers for Phase 1 published at https://internal.kcl.ac.uk/crsd/kings- research-culture, Results disemminated at Research Staff Town Hall meeting in April and via Research Staff Newsletter. Stage 2 completed by December 2021 and similarly disemminated and published. Research resulted in a number of recommendations to the university, most of which are being addressed by Senior Management.	Research staff recognised the commitment by King's to rewarding and recognising them. They are awaiting an output from the project	Recommendations to be taken forward by Dean for Research Culture
	nal and Career Development							
Institution PCDI1.1	s must: Provide opportunities, structured support, encouragement and time for researchers to engage in a minimum of 10 days professional development pro rata per year, recognising that researchers will pursue careers across a wide range of employment sectors	will enable research staff and their managers to	Focus groups and workshops organised Attendance of research staff and their managers at focus groups and workshops Agreement on what can be included in the 10 days Information posted on CRSD website with links from faculty websites Uptake of 10 days, addressed using biennial surveys	October 2020 December 2020 Website material by March 2021 Surveys (CEDARS and/or in house) according to national and internal cycles	CRSD Faculties	Project delayed by Covid and staff shortages. Focus Groups and a facilitated workshop conducted in July 2021, organised by the CRSD and using an independent higher education consultant. Attended by research staff and the managers of research staff. Report and findings delivered September 2021 Relevant questions in KReSS 2021 provided additional input Project now on hold because of manpower issues		As this project is now on hold it will not be taken into the next action plan until such time as a review indicates that it should be.
PCDI1.2	Provide opportunities, structured support, encouragement and time for researchers to engage in a minimum of 10 days professional development pro rata per year, recognising that researchers will pursue careers across a wide range of employment sectors	FoDOCS drafting policy 1.Advocating that researchers take advantage of the 10 days per year to undertake training and to introduce a monitoring system to measure this (see PDCI6.1). All line managers will be informed that the 10 days training is mandatory and that it will be measured 2.Advertising training opportunities and supporting research staff to attend relevant training opportunities that benefit their development Other faculties to consider using the same approach, initially A&H and NMES	Policy drafted Managers informed Faculty-led and central research staff training and support advertised System used Managers enable 10 days	December 2020 January 2021 January 2021 July 2021 and annually thereafter	FoDOCS Other faculties	Definition of 10 days training incorporated into FoDOCS induction document and draft researcher-supervisor agreement. This is being piloted starting 2022. Other faculties are highlighting the opportunity to varying degrees.	respondents (218 research staff) were unaware of King's commitment that researchers may spend up to 10 days per year on professional development. 71% of respondents (200 research staff) indicated that they were likely to spend more time on professional development as a result of this new knowledge. Despite actions like these	While this is an important task there is currently no resource to address this project centrally. Faculties are recognising it more so they are starting to deliver locally. Consequently the action will not be carried forward to the next action plan
	Provide opportunities, structured support, encouragement and time for researchers to engage in a minimum of 10 days professional development pro rata per year, recognising that researchers will pursue careers across a wide range of employment sectors	Support research staff to gain professional accreditation from the Science Council See also PCDM2.1 and PCDR1.3	Attendance at training sessions Number of people gaining accreditation, target is 50 per year	Annually in line with action plan reporting period	Technical Network	Workshops were oversubscribed and received excellent reviews 124 technical staff have been professionally registered Workshops, structured training sessions are being designed, Technical Managers are very aware and supportive of the 10 days development time and ensure all technical staff use them wisely. Also working with the Kings Academy to allow access to the HE fellowship programme. It will also be disussed in the PDR and promoted via the technical network	Technical staff engaging with the accreditation process well, recognising its value. King's has recognised the value and continues to support applications financially	usual so will not be carried forward as a separate item.
PCDI1.4	Provide opportunities, structured support, encouragement and time for researchers to engage in a minimum of 10 days professional development pro rata per year, recognising that researchers will pursue careers across a wide range of employment sectors	Careers & Employability to launch online system that research staff can use to engage with their services and plan their careers. System includes ability to book appointments online, to receive regular updates regarding service and to engage with online tools to assess stage of career readiness See also PCDR2.1	System roll-out Research staff engaging with system as demonstrated by usage data - target minimum 5% of research staff	Roll-out August 2020 Usage data analysed annually, in review of action plan	Careers & Employability CRSD	KC+E Update - staff registration on online booking system now fully working and data has been collected and analysed September to December 2021. 245 Research staff bookings in appointments or events for one term show that target is likely to be achieved. VLE pages (KEATS) have been updated and enhanced but are still unable to show staff useage separately (this will never be possible).	Proving to be a useful system to support research staff in their career planning and progression	Project completed so will not be carried forward



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	Update July 2022							
PCDI2.1	Provide training, structured support, and time for managers to engage in meaningful career development reviews with their researchers	See El3.1 and El5.1	See EI3.1 and EI5.1	See EI3.1 and EI5.1	Faculties OD CRSD	College continue to operate a light touch model. The ambition in the future is that PDR are automated and likely delivered via/aligned to our HR System. For 2022, there are once again dedicated and updated resources and webinar support for both the reviewer and reviewee across the summer. Further PDR resources have been created to support a streamlined PDR Form. In addition, dedicated webinars have once again been created and offered for both managers and staff. Additional training also provided in some faculties. As agreed through SMT, there will again be no PDR roll up exercise until we are in a position to organisationally deliver this activity electronically. As reported form results of the KReSS in 2021, the greatest pecentage of research staff participated in PDR since surveying	KReSS indicate that there was a decrease in this metric from previous CROS results. It is not clear what is causing this downturn,	PDR engagement is now considered business as usual so will not be reported on in future
PCDI3.1	Ensure that researchers have access to professional advice on career management, across a breadth of careers	See PCDI1.4 and PCDR2.1	See PCDI1.4 and PCDR2.1	See PCDI1.4 and PCDR2.1	Careers & Employability	began. Dedicated careers consultant team has existed for 15 years. It is now 2.3 FTE (expanded in Summer 2021 from 2.0 FTE) and resources reviewed and upgraded routinely. Podcast and Twitter engagement increasing. Podcast has over 13,000 downloads and Twitter account has grown to over 1600 followers.	Careers Team routinely receive positive feedback from those who use their services	Our longstanding careers team can now be considered business as usual and will no longer be included as an action point, although see PCDI3.
PCDI4.1	Provide researchers with opportunities, and time, to develop their research identity and broader leadership skills	by CRSD with additional programmes being added according to circumstances.	Courses added to portfolio, number dependent on currently unknown budget and currently unknown personnel Online courses developed according to currently unknown ability to attend in person	Reviewed annually in review of action plan	CRSD	Programme of courses delivered as intended. Participation increased from 722 in 2019-2020 to 980 in 2020-21 to 1781 in 2021- 22. Moving to online delivery opened up new possibilities for the programme, most notably the opportunity to collaborate with other universities on a range of courses. This includes Networking (8 universities), WriteFest (18 institutions, 14 workshops, retreats, or other events) and Leadership in Action (9 institutions)	Programme routinely receives good feedback from those who attend. Inter-university collaborative programme provides opportunities for researchers to meet their counterparts from across the nation and gain new insight into their respective experience of the HEI environment.	The professional development programme is now sufficiently embedded that it can be considered to be business as usual and will not be carried forward
PCDI4.2	Provide researchers with opportunities, and time, to develop their research identity and broader leadership skills	FoLSM working to review and relaunch their Research Staff Network. The Research Staff Network supports post docs and research assistants along their career trajectories and ensures that the research staff community participates in decision making at the Faculty level.	Network relaunched Research staff reps active at faculty (Faculty Research Committee and university (RSRC) level	December 2020	FoLSM Faculty Lead (Development, Diversity & Culture Change); Faculty Research Manager; Research Staff Network Committee	FoLSM Research Staff Network relaunch begun after delays caused by loss of staff to deliver. Representatives currently being sought. Those who are in post are very active. As with recruitment of research staff representatives in other areas there is reluctance to come forward, possibly caused by COVID.	greater encouragment to participate and a structure to support them	Maintaining representation from the research staff community is an ongoing project that is now well established so will not be taken forward to a future action plan.
PCDI5.1	Recognise that moving between, and working across, employment sectors can bring benefits to research and researchers, and support opportunities for researchers to experience this	Continue to provide careers events and online resources addressing careers that are not being an academic See also PCDR2.1	Continuation of programme Availability of staff to deliver programme following Covid-19-related cuts	Reviewed annually in review of action plan	Careers & Employability CRSD	KC+E update: Session held online Spring term on working in museums and galleries, publishing and communications and consultancy. Overall attendance was 130 of which 16 were staff.	Research staff continue to aspire to a career in HE-teaching and research, according to responses in the KReSS, although numbers are gradually falling. Careers beyond academia are still the poor relation for many, although others now embrace it.	This specific project is now business as usual but see PDCI5
	Monitor, and report on, the engagement of researchers and their managers with professional development activities, and researcher career development reviews		Platform options researched Platform generated Plict of platform Roll-out to all research staff Evaluation, following PDR season Target usage minimum of 5% of research staff	October 2020 March 2021 May 2021 September 2021 September 2022	Faculties OD CRSD	Following a delay in starting the project caused by COVID and staff changes evaluation of the cost and usefulness of a bespoke platform indicates that it would not be cost effective. Researchers to be encouraged to use the version within the course booking system instead. Campaign to alert researchers to the existence of this system to be delivered	Cost saving for better use of funds and project management time elsewhere.	Project completed so will not be carried forward
Funders n								
PCDF1	Incorporate specific professional development requirements in relevant funding calls, terms and conditions, grant reporting, and policies. This should include researchers' engagement in a minimum of 10 days' professional development pro rata per year, and evidence of effective career development planning							
PCDF2	Embed the Concordat Principles and researcher development into research assessment strategies and processes							





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	Jpdate July 2022							
PCDF3	Acknowledge that a large proportion of the researchers they fund will move on to careers beyond academia, and consider how they can encourage and support this within their remit							
Managers	of researchers must:							
PCDM1.1	Engage in regular career development discussions with their researchers, including holding a career development review at least annually	HR system to actively monitor the PDR process Faculties to ensure both line managers and research starf are aware of the need to include training and development needs in the PDR document. See also PCDI6.1	Accurate reporting of PDRs occurring Research staff reporting PDR fit for purpose in national and internal surveys	Annually in line with PDR cycle	Faculties OD Managers of Research Staff	HR System not yet ready to monitor PDR process Some faculties are creating their own systems to record PDR uptake. According to the 2021 KReSS the value of the PDR to research staff has decreased in every category since 2019 with the drop for leading to development being the greatest of 13%.	In the absence of this system faculties continue to make their own modifications to the PDR form and accurate monitoring is not possible.	PDRs are business as usual so these actions will not be taken forward
	Engage in regular career development discussions with their researchers, including holding a career development review at least annually	The Managers toolkit is designed to support both academic and professional services managers, in managing their teams effectively, as well as their own development. Promoted and managed via our Learning and Development platform, the toolkit allows managers to connect to further development opportunities such as mentoring and coaching to help with their own career conversations. https://internal.kcl.ac.uk/hr/od/managertoolkit	Downloads and Website hits of the toolkit, target minimum 5% of managers. Uptake and attendance details of external coaching support. Breakdown of number of researchers entering into mentoring programme(s).	in line with action plan review	OD Managers of Research Staff	The management toolkit has been developed into the management essentials hub. So far there has been over 1000 participants who have engaged with around 30 webinars. Across 2020-2021 there were 2084 visit to the learning and development OD webpages.	Staff are using the tools provided, although according the KReSS there is still room for improvement in management capability	The manager training from Organisation Development has been provided for many years and is now business as usual so will not be carried forward.
PCDM2.1	Support researchers in exploring and preparing for a diversity of careers, for example, through the use of mentors and careers professionals, training, and secondments	Promotion of careers services and resources through @kcldo1thing Twitter account, Faculty Newsletters from Careers Service, careers membership of Faculty committees All channels of communication from careers service communicate regularly with managers and staff. Monthly bespoke researcher careers newsletter, Twitter account with 1000+ followers and all specialist careers consultants sit on relevant faculty committees. See also PCDI1.1, PCDI1.3 and PCDI6.1	Regular communications messages sent out according to actions list Research staff attending events, 20% on average of all available places to be filled as a minimum	According to schedule for communication method	Careers & Employability CRSD Managers of Research Staff	KC+E update: Monthly newsletter has been sent out and Twitter account maintained and growing. The Twitter account is now highlighting more job opportunities and employers of interest to research staff through the use of Twitter lists.	Research staff more aware of the offering to them from the Careers Team.	The work of the Careers Team is now business as usual, but see PCDI3.
PCDM2.2	Support researchers in exploring and preparing for a diversity of careers, for example, through the use of mentors and careers professionals, training, and secondments	All managers or departments where not possible for managers to provide a statement on their webpage or other location to the effect that they support their research staff and PhD students in their career choice, whatever that may be.	Percentage of managers with this information posted, target minimum in first round 5%	First round by July 2021, ongoing thereafter	Faculties CRSD Managers of Research Staff	Not well publicised and monitoring process needs to be put in place. Project stalled and needs to be invigorated	N/A	Carried forward in PCDM2.3
PCDM2.3	Support researchers in exploring and preparing for a diversity of careers, for example, through the use of mentors and careers professionals, training, and secondments	NEW ACTION included in plan since 2021 King's Future Leaders, funded by a grant from the FLF Plus Funds with the support of Vitae, will create a toolkit to support managers of research staff to champion the career development and progression of Early Career Researchers. This toolkit will be piloted at King's and two other universites and is intended to be rolled out across the UK after development via Vitae and the FLF Network.	 Development of a toolkit (including implementation guide) to support institutions and ECR line managers that is generalisable nationally (evidenced by production of the toolkit) 2. Uptake of toolkit by UKRI FLFs in their roles as ECR line managers (monitoring through future FLF networking meetings). Incorporation of toolkit into local processes e.g., performance development review, induction for new line managers (through asking for reports from FLFs on uptake in their institutions). Dissemination of mapping and toolkit to other institution through publications, FLFs and Vitae (recording of downloads from Vitae website). 	1. May 2022 2. Launch of Pilot May 2022 3. October 2022 4. October 2022	Katie Sheehan Andrew Guise	Fnal version of the toolkit (following input from Vitae), mapped to HR Excellence in Research/Researcher Concordat, launched in early May, June for a manuscript submission, and August for Vitae webpage.	Evaluation to be delivered	Carried forward in PCDM4





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PCDM3.1	Allocate a minimum of 10 days pro rata, per year, for their researchers to engage with professional development, supporting researchers to balance the delivery of their research and their own professional development	Defining what comes within the category of professional development (see PCDI1.1), development of a platform to record professional development activities (see PCDI6.1) will support this.	Research staff reports of days spent on professional development in surveys. Numbers will be dependent on outcome of PCDI6.1	Annually in line with internal and national surveys	Managers of Research Staff	Project delayed by Covid and staff shortages. Focus Groups and a facilitated workshop conducted in July 2021, organised by the CRSD and using an independent higher education consultant. Attended by research staff and the managers of research staff. Report and findings delivered September 2021 Relevant questions in KReSS 2021 provided additional input Project now on hold because of manpower issues Following a delay in starting the project to create a platform caused by COVID and staff changes evaluation of the cost and usefulness of a bespoke platform indicates that it would not be cost effective. Researchers to be encouraged to use the version within the course booking system instead. Campaign to alert researchers to the existence of this system to be delivered	N/A	As this project is now on hold it will not be taken into the next action plan until such time as a review indicates that it should be. Project completed so will not be carried forward
PCDM4.1	Identify opportunities, and allow time (in addition to the 10 days professional development allowance), for their researchers to develop their research identify and broader leadership skills, and provide appropriate credit and recognition for their endeavours	Managers to engage in the process of defining what these activities might be See also PCDI1.1	See PCDI1.1	See PCDI1.1	Managers of Research Staff	Managers of research staff contributed to a focus group and workshop on this topic. Outcomes being compiled into a report delivered in September 2021. See PCDM3.1 above.	Input from managers ensures that future projects are realistic	Project on hold so will not be taken forward until the next action plan until such time as a review indicates that it should be
PCDM5.1	Engage in leadership and management training to enhance their personal effectiveness, and to promote a positive attitude to professional development	A&H to pilot creating a series of podcasts and coupling them with group discussions between managers of research staff using skilled facilitators to encourage fruitful discussion	Managers reflecting on their relationship with their managees as ascertained in pre- and post-event surveys following Kirkpatrick process Outcomes in wider staff surveys	Planning of podcasts by September 2020, creation by December 2020, remainder by August 2021 First discussion groups by December 2020 with others to follow alternate months in 2021/22	A&H Managers of Research Staff	Project put on hold following change of management and ill health of leadership	N/A	Project on hold so will not be taken forward until the next action plan until such time as a review indicates that it should be
Researche	rs must:							
	Take ownership of their career, identifying opportunities to work towards career goals, including engaging in a minimum of 10 days professional development pro rata per year	According to the CROS, most research staff do feel they take ownership, but they don't necessarily engage with professional development or are aware that they are doing this. Having a definition of what this is (see PCDI1.1) may help.	See PCDI1.1	See PCDI1.1	Research Staff	Project delayed by Covid and staff shortages. Focus Groups and a facilitated workshop conducted in July 2021, organised by the CRSD and using an independent higher education consultant. Attended by research staff and the managers of research staff. Report and findings delivered September 2021 Relevant questions in KReSS 2021 provided additional input	N/A	As this project is now on hold it will not be taken into the next action plan until such time as a review indicates that it should be.
PCDR1.2	Take ownership of their career, identifying opportunities to work towards career goals, including engaging in a minimum of 10 days professional development pro rata per year	FoLSM working to review and relaunch their Research Staff Network. The Research Staff Network supports post docs and research assistants along their career trajectories and ensures that the research staff community participates in decision making at the Faculty level. See also ER4	Network relaunched Research staff reps active at faculty (Faculty Research Committee and university (RSRC) level	December 2020	Research Staff FoLSM	FoLSM Research Staff Network relaunch begun after delays caused by loss of staff to deliver. Representatives currently being sought. Those who are in post are very active. As with recruitment of research staff representatives in other areas there is reluctance to come forward, possibly caused by COVID.	Faculty recognition that research staff need greater encouragment to participate and a structure to support them	Maintaining representation from the research staff community is an ongoing project that is now well established so will not be taken forward to a future action plan.
PCDR1.3	Take ownership of their career, identifying opportunities to work towards career goals, including engaging in a minimum of 10 days professional development pro rata per year	Staff supported to gain professional accreditation from the Science Council See also PCDI1.3	Attendance at training sessions Number of people gaining accreditation, target is 50 per year	Elective, according to individual. Reviewed annually, in review of action plan	Technical Network Research Staff	Workshops were oversubscribed and received excellent reviews More than 100 technical staff each year have been professionally registered Workshops, structured training sessions are being designed, Technical Managers are very aware and supportive of the 10 days development time and ensure all technical staff use them wisely. Also working with the Kings Academy to allow access to the HE fellowship programme. It will also be disussed in the PDR and promoted via the technical network	Technical staff engaging with the accreditation process well, recognising its value. King's has recognised the value and continues to support applications financially	usual so will not be
	Explore and prepare for a range of employment options across different sectors, such as by making use of mentors, careers professionals, training and secondments	comprehensive offering. See also PCDI3.1, PCDI4.1 and PCDR5.1	Appointments with careers professionals Attendance at events around range of employment options Target, to maintain minimum of current figures	Annually, in review of action plan		See PCDI3.1, PCDI4.1 and PCDR5.1	See PCDI3.1, PCDI4.1 and PCDR5.1	See PCDI3.1, PCDI4.1 and PCDR5.1
PCDR3.1	Maintain an up-to-date professional career development plan and build a portfolio of evidence demonstrating their experience, that can be used to support job applications	To use when developed, the online tool described in PCDI6.1	Engagement with online tool. See PCDI6.1	Elective according to individual	Research Staff	See PCDI6.1	See PCDI6.1	See PCDI6.1



	Concordat to Support the Career Development of Researchers Action plan (template from HR Excellence in Research Award) August 2020 to July 2022					KING'S LONDON			
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CDR4.1	Positively engage in career development reviews with their managers	Research staff make use of available resources to support PDR conversations https://internal.kd.ac.uk/csd/Professional- Development-Options-Resources/preparing-for- your-pdr	Data on use of resources to increase by 20% on previous reporting period Responses to relevant surveys	Annually, in review of action plan Surveys national or internal on normal cycles or on demand	Faculties	Research staff are making use of the 'Preparing for your PDR' on the CRSD internal webpages. It is the second most visited page after the courses page, with 1138 unique visitors between August 2019-July 2021 Resources continue to be availabe on CRSD internal pages. Programme of professional development courses has included 'Getting the Most Out of Your PDR' in 2020-21 and 2021-22. Some Faculties are signposting and/or producing their own resources	Reports of usefulness of PDRs from the 2021 KReSS indicate that there was a decrease in this metric from previous CROS results. It is not clear what is causing this downturn, although it is tempting to speculate that the pandemic contributed.	This work is now busines: as usual so will not be reported in future action plans	
	Seek out, and engage with, opportunities to develop their research identity and broader leadership skills	Take up role of research staff representative or related See also ER4 and ECR5.1	Numbers of research staff representatives, minimum 1 per faculty in RSRC	Dependent on representative panel	Faculties CRSD Research Staff	90% of available posts for research staff to represent their colleagues are now filled, although this is a constant battle as contracts are relatively short and terms of office are affected by this 10 Research staff attended a listening group with the new Principal and President that highlighted the impact of career precarity on their lived experience and heavily influenced his stance on Fixed-term Contracts of abolishing them where possible. Faculties are increasingly recognising the need for representation from this group and encouraging it more comprehensively, together with having regular meetings with their research staff representatives. Approx 13% of research staff contributed to the King's Research Staff Survey, a decrease from 30% response rate to the previous equivalent, the Careers in Research Online Survey. Reward and Recognition group addressed issues other than this.	of loss of productivity and concern about future employment caused by COVID. We	Maintaining positions on committees and contribution to surveys now considered business as usual so will not be included in future action plans	
	Consider opportunities to develop their awareness and experience of the wider research system through, for example, knowledge exchange, policy development, public engagement and commercialisation	Research staff to make use of existing comprehensive offering, routinely advertised in weekly research staff newsletter	Responses to relevant surveys	Surveys national or internal on normal cycles or on demand	Faculties CRSD Entrepreneurship Institute Policy Institute Research Staff	Responses to the KReSS indicate a desire to undertake training in these areas and that the majority have not engaged in training but would like to. When it comes to putting the training into practice generally more than half of those who had attended training have had the opportunity to do so. Faculties indicate that they encourage uptake although monitoring is not possible.	For those who take up this opportunity there will be a subset for whom the experience provides an extra boost to a future career, either in the academic environment or using skills learnt and contacts made to gain access to careers beyond being an academic	Now considered business as usual so will not be included in future action plans	
	*Aligns with the new Research Strategy		ļ		1				
	8 87	luals whose primary responsibility is to conduct rese	earch and who are employed specifically for this pu	roose by a higher edu	cation institution or re	esearch institute. The primary audience is research staff, e.g. postdoct	oral researchers.		
						Id be postgraduate researchers; staff on teaching and research, or tea			
	clinicians; professional support staff; technicians	S.							
		ly by COVID-19 Pandemic. Dates provided may be	impacted by this event, or actions may need to ch	ange depending on c	ontinuing developme	nts			
	Abreviations								
	A&H BAME	Arts & Humanities			FTC HR	Fixed-Term Contract			
	COVID-19	Black, Asian and Minority Ethnic Coronavirus-induced Disease 2019		1	IoPPN	Human Resources Institute of Psychiatry, Psychology & Neuroscience			
	CRC	College Research Committee			KCL	King's College London			
	CROS	Careers in Research Online Survey			KORGI	The King's Open Research Group Initiative			
	CRSD	Centre for Research Staff Development			KReSS	King's Research Staff Survey - supercedes the CROS			
	CRSD OG	Centre for Research Staff Development			NMES	Natural, Mathematical & Engineering Sciences			
		Oversight Group							
	CV D&I	Coronavirus Diversity & Inclusion			OD PDR	Organisation Development (team within HR) Performance and Development Review (KCL's appraisal system)			
	DORA	San Francisco Declaration on Research Achievement			PI	Principal Investigator			
					REC	Race Equality Charter			
	EDI	Equality Diversity & Inclusion							
	EDI FNFNM&PC (also NMPC) FoDOCS	Equality Diversity & Inclusion Florence Nightingale Faculty of Nursing, Midwifery & Palliative Care Faculty of Dentistry, Oral & Craniofacial Surgery			RGEI	Research Governance, Ethics & Integrity Research Staff Representative Committee			