# Appendicies



LeDeR

Annual Report 2021

Learning from lives and deaths

- People with a learning

disability and autistic people

# Contents

Appendices and supplementary material is available here as a separate document from the main report. (note, there are no appendix for chapter 3).

Foreword and general appendicies	1
Chapter 1	5
Chapter 2	9
Chapter 4	22
Chapter 5	27
Chapter 6	32

#### Appendix 0.1 - Foreword

#### LeDeR process and data

In the LeDeR report we use pseudonymised data which reflect different stages of the LeDeR review process.

LeDeR data quality checks
The web notification system contains
measures to prevent the submission of
deaths falling outside of the scope of
LeDeR.

Additional data quality checks have been performed by the LeDeR research team before any analyses were run. These included the exclusion of data containing obvious errors, such as repeated values or error messages, any duplicate records, and notifications without key basic information (date of death, date of birth or sex). Demographic information was extracted from the notification dataset because it contains information from a larger subset of people reported to LeDeR than the initial review and focused review datasets.

## More detail on changes in the review process for 2021

New notification forms were introduced in May 2021 alongside the new LeDeR online platform with new initial and focused review forms being introduced in summer 2021.

Before summer 2021 all people reported to LeDeR received a review consisting of between 59 and 65 questions. The most recent version of the questionnaire used prior to June 2021, referred to as the IR-10. contained 65 questions. The questionnaire was reduced during 2021 to 43 questions. Some of the questions were no longer included in the initial review form and moved to the focused review form, for example: the person's weight, long-term health conditions, medication history, the quality of care they received, reasonable adjustments and whether any evidence of best practise was identified.

Whilst like-for-like comparison between previous LeDeR review forms and the updated review forms was therefore not entirely possible, it was possible to collect information about some of these topics by analysing the textual inputs of the LeDeR reviews, for example from the pen portrait, which is a written summary that aims to provide insight into the person, their health and care needs, and the extent to which their needs were met.

Certain questions have been added to the initial review form, which may enable different forms of analysis in the future. These include questions about the deceased person's religion, whether the deceased person had children, or was pregnant. The version introduced in the summer of 2021 also contains more detail about Do Not Attempt Cardio-Pulmonary Resuscitation (DNACPR) documentation.

Significant changes have also been made to the more in-depth LeDeR reviews. Prior to the summer of 2021, reviewers were responsible for conducting multi-agency review meetings between the person's family, loved ones and representatives from any agencies that have been involved in supporting the individual. These were conducted for approximately 3.5% of the LeDeR cohort if there were concerns about the individual's care or it was felt that additional learning could result from a review, and later, for a limited number of specific indications. such as being aged 18 to 24.

From summer 2021 a new process for conducting more in-depth reviews was introduced, now known as 'focused reviews'. To complete a focused review, a reviewer or senior reviewer follows a web-based form accessed on the LeDeR system in the following circumstances: when there are concerns about the quality of care, opportunities for additional learning or if the person was from a minority ethnic group.

From February 2022, focused reviews will also be conducted if the individual has been subject to detention under the Mental Health Act or had been in prison in the previous 5 years.

The form follows a 'skip logic model' whereby the availability of certain questions is triggered by answers to others. For example, if the person has a history of aspiration pneumonia, a further set of questions about this condition will appear. The aim of the form is to increase the efficiency of conducting more in-depth reviews and make sure that only the most relevant topics are reviewed in detail.

#### Child death reviews

Child deaths are reviewed as part of a separate, mandatory process overseen by Child Death Overview Panels (CDOP), meaning some data that are routinely collected as part of the LeDeR process are unavailable for child deaths (e.g. quality of care data). CDOP data from children with a learning disability aged 4 years and older who died are included in the LeDeR dataset.

## Medical certificate of cause of death (MCCD)

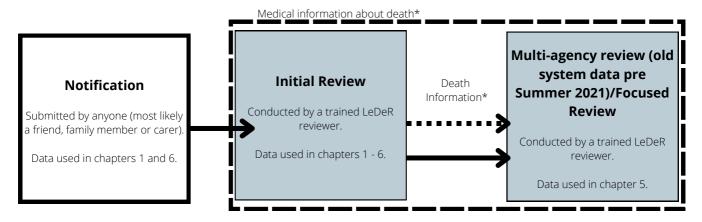
Once someone has died, a doctor involved in their care completes an MCCD which consists of two parts. Part one contains the sequence of events or conditions that led directly to the death. The underlying cause of death is defined as the disease or injury which initiated the chain of events leading directly to death. Other conditions which may have contributed to a death are provided in part two of the death certificate.

Once the MCCD has been completed, it is forwarded to the registry office to register the death. MCCD's are provided to LeDeR by the Office of National Statistics (ONS) using NHS numbers as identifiers. MCCD data are not always available from the ONS before an initial review is conducted. Some deaths are referred to a coroner which may delay the acquisition of information about a person's death. Referrals to a coroner happen in several different situations which include: if a doctor was not able to complete an MCCD, deaths with an unknown cause, suspicious deaths, or deaths which have occurred under state detention. See Appendix 2.2 for a full list of circumstances where a coroner's inquest should take place.

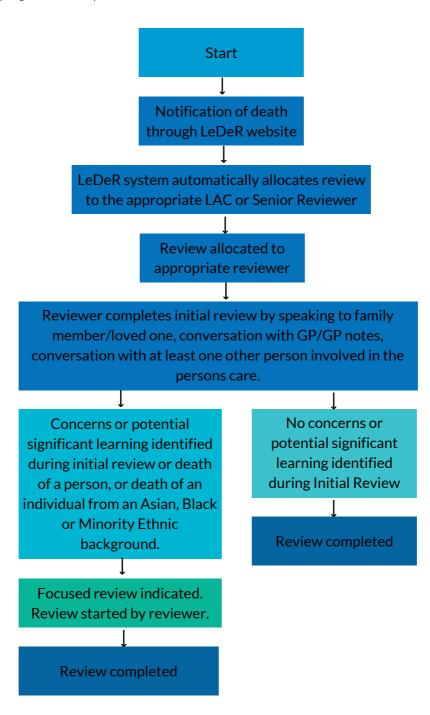
#### Data availability

The time it takes to process a notification, assign a reviewer, conduct an initial review and, where appropriate, a focused review means that some data are not available prior to the data extraction for inclusion in the annual report. Some deaths which occurred in 2021 may therefore not have been included in the analyses using the initial and focused review data. This may affect the numbers of deaths included in the latter part of the year. Data from 109 CDOP reviews and 1 focused review were available for children (aged 4-17) who died in 2021. On 31st December 2021, when the data used in this report were extracted, a lower proportion of reviews for children who died in 2021 were available in comparison to adults who died in 2021. MCCD data were also less available for the reviews that had been conducted for children in comparison to adults.

Appendix 0.2: Flow chart depicting how LeDeR data are used in the annual report.



Appendix 0.3: Flow chart depicting the LeDeR review process in 2021.



Appendix 0.4: Flow chart comapring the LeDeR review process in pre June 2021 and from June 2021.

Stage in process	Pre June 2021	From June 2021 onwards					
Notification	Made by anyone via web form embedded	Made by anyone via the www.leder.nhs.u k website.					
	in UoB website or telephone.	Phone support available for people unable to					
	50000000000000000000000000000000000000	complete online.					
	Notification included basic demographic	Notification includes basic demographic information					
	information, circumstances of the death,	circumstances of the death, contact details of the					
	contact details of the notifier.	notifier.					
		Notification processed through DSCRO to validate					
	100	information.					
	Notification allocated via web-based	Notification allocated via web-based platform to a					
	platform to the CCG (via the LAC).	senior reviewer for the ICS (or LAC if no senior					
		reviewer in place).					
7.0	LAC allocates case to a reviewer	Senior reviewer allocates case to a reviewer					
Initial review	Generic web form generated for each	Web form containing series of specific questions					
	review.	using skip logic generated for each review.					
	Reviewer contacts someone who knew	Reviewer contacts someone who knew the person					
	the person well to request involvement in	well to request involvement in review					
	review (family / friends).	(family/friends).					
	Information gathered from GP.	Information gathered from GP either by speaking to					
	(2.00)2 Marina	the GP or be looking at the clinical record.					
	Initial review form completed including	Initial review form completed including pen portrait.					
	pen portrait, and timeline.	19					
	Information gathered on medical history,	Questions in form dependent on the complexity of					
	treatment, quality of care and	the person who died and uses skip logic; therefore,					
	recommendations for all deaths.	some information not available on all deaths					
	Decision with LAC about whether a multi-	Reviewer (with input from senior reviewer if					
	agency review (MAR) is required.	needed) determine whether focused review is					
		needed.					
	A multi-agency review was conducted for	A focused review will be conducted for all deaths					
	all deaths where concerns had been	which meet any of the following criteria:					
	identified.	From a minority ethnic community.					
		Detained by the Criminal Justice system or					
		under Mental Health Act restriction in last 5					
		years.					
		All autistic people aged 18 and above.					
		<ul> <li>Local priorities for focused reviews.</li> </ul>					
		Learning from life or death of individual to					
		inform service improvements.					
		Request by a family member supported by the					
		reviewer.					
In depth review	Multi agency review (MAR)	Focused review					
	Form downloaded.	Web form section opens for completion using skip					
	35	logic.					
		More detailed review of medical history, care, and					
		treatment with specific lines of questioning for					
		specific morbidities such as cancer, bowel health,					
		epilepsy etc. Questions asked to depend on					
	A multi ogonov masting vice access of the	complexity of person who has died.					
	A multi-agency meeting was convened to	Areas of concern and areas of learning are identified					
	explore care in more detail and agree	by reviewer and shared with governance group.					
	whether on balance, the death could have	S1					
	been potentially avoided.	Governance group determine SMART actions to impact service improvement for the ICS.					
	Action plan developed	impact service improvement for the ics.					
	Action plan developed.	¥					

### Appendix 1 - Chapter 1

Appendix 1.1: Percentage of notifications by sex for 2018 - 2021, compared to ONS data from the general population<sup>1</sup>.

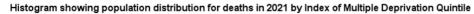
Children and adults combined.	2018	2019	2020	2021	General Population (2020)
Males	58%	57%	57%	56%	51%
Females	42%	43%	43%	44%	49%
Total No.	2,613	2,825	3,652	3,304	607,922
Adults (18+)					
Males	58%	57%	57%	56%	51%
Females	42%	43%	43%	44%	49%
Total No.	2,416	2,595	3,442	3,096	604,406
Children (4-17)					
Males	54%	57%	61%	50%	60%
Females	46%	43%	39%	50%	40%
Total No.	197	230	210	208	865

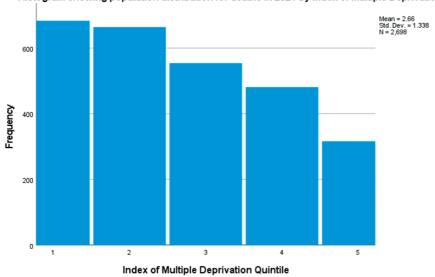
Appendix 1.2: Percentage of notifications by ethnicity for 2018 - 2021, compared to ONS data from the general population<sup>2</sup>

Children and adults	2018	2019	2020	2021	Average 2018- 2021	General Population (2017-2019)
Asian or Asian British	2%	1%	1%	3%	2%	2%
Black, Black British, Caribbean or African	2%	2%	2%	2%	2%	1%
Mixed ethnic group	3%	4%	5%	3%	4%	<1%
White	92%	91%	90%	91%	91%	96%
Other	1%	2%	2%	1%	1%	<1%
Total No.	2,528	2,745	3,522	3,104	11,899	2,884,015
Adults (18+)						
Asian or Asian British	2%	2%	2%	2%	2%	
Black, Black British, Caribbean or African	2%	2%	2%	2%	2%	
Mixed ethnic group	2%	3%	4%	2%	3%	
White	94%	94%	92%	93%	93%	
Other	1%	1%	2%	1%	1%	
Total No.	2,361	2,535	3,325	2,917	11,138	
Children (4-17)						
Asian or Asian British	4%	5%	6%	15%	7%	
Black, Black British, Caribbean or African	7%	7%	7%	7%	7%	
Mixed ethnic group	24%	19%	23%	9%	19%	
White	60%	60%	61%	67%	62%	
Other	5%	9%	4%	2%	5%	
Total No.	167	210	197	187	761	

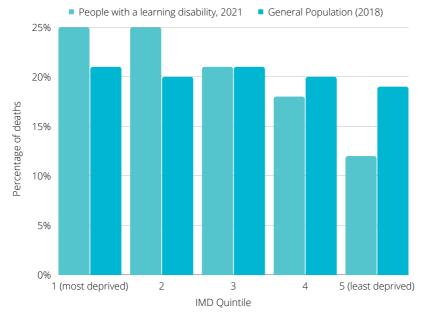
<sup>1.</sup> https://www.ons.gov.uk/releases/deathsregisteredinengland and wales 2020

Appendix 1.3: Population distribution by Index of Multiple Deprivation quintile for deaths that had initial review data in 2021.





Appendix 1.4: Percentage of deaths by IMD quintile for people with a learning disability (2021) and the general population (2018)<sup>3</sup>.



The following were defined as long term health conditions:

- Cancer
- Cardiovascular conditions
- Degenerative conditions
- Dementia
- Diabetes
- Deep vein thrombosis (DVT)
- Epilepsy
- Hypertension
- Kidney problems
- Mental health conditions
- Osteoporosis
- Respiratory conditions
- Sensory impairment
- Dysphagia

Some of these 'conditions' represent broader categories which are used to group a number of specific conditions. When completing initial reviews, reviewers could provide detail about the condition in a free text box. The following are selected examples from reviews of the specific conditions included within the categories:

- Cancer: breast cancer, bowel cancer, melanoma, leukeumia, prostate cancer, lung cancer
- Cardiovascular conditions: Atrial fibrillation, congestive cardiac disease, TIAs, myocardial ischaemia, heart failure, AV canal failure, cardiac arrest, arrhythmia
- **Degenerative conditions**: motor neurone disease, myotonic dystrophy, Parkinson's, 'degenerative disease', macular degeneration, Sanfilippo syndrome, Huntington's disease, Becker's muscular dystrophy, Duchenne muscular dystrophy
- **Kidney problems**: kidney disease, tumors affecting kidney function, kidney infection, absent kidney, kidney injury, kidney failure, obstructed kidney, kidney stones, 'kidney issues'
- Mental health conditions: anxiety, bipolar, psychosis, depression
- Respiratory conditions: asthma, COPD
- **Sensory impairment**: vision problems, hearing problems
- **Diabetes**: type 1 diabetes, type 2 diabetes

#### Appendix 2 - Chapter 2

Appendix 2.1: Place of death for children and adults who died in 2018, 2019, 2020 and 2021, and comparison data from the general

					General Population
Place of death	2018	2019	2020	2021	2019
Children and adults (aged 4 years and older)					
Hospital	60.4%	56.5%	59.0%	61.3%	46%
Usual place of residence	30.5%	33.2%	32.6%	33.3%	45%
Other	6.2%	6.2%	5.2%	4.1%	9%
Not recorded	3.0%	4.0%	3.2%	1.3%	
Total No.	2,537	2,724	3,444	2,662	517,909
Children (aged 4 – 17 years)					
Hospital	63.1%	64.4%	57.0%	51.4%	64%
Usual place of residence	22.4%	19.4%	27.9%	32.1%	23%
Other	12.9%	12.5%	11.7%	12.8%	4%
Not recorded	1.7%	3.7%	3.4%	3.7%	
Total No.	179	216	179	109	560
Adults (aged 18+)					
Hospital	60.1%	55.9%	59.1%	62.9%	46%
Usual place of residence	31.1%	34.4%	32.9%	30.8%	46%
Other	5.7%	5.7%	4.8%	4.8%	9%
Not recorded	3.1%	4.1%	3.2%	1.4%	
Total No.	2,358	2,583	3,364	2,553	517,349

Appendix 2.2: Circumstances in which a death should be reported to a coroner.

A death should be notified to a coroner in the following circumstances:

- Where the cause of death is unknown
- Where there was no attending registered medical practitioner and there was no other medical practitioner available to sign the cause of death.
- The registered medical practitioner suspects that the death has taken place while in custody or state detention (excluding those who died with a deprivation of liberty order in place).
- The identity of the deceased person is unknown

Deaths should also be reported if caused by:

- poisoning
- exposure to, or contact with a toxic substance
- the use of a medicinal product, the use of a controlled drug or psychoactive substance
- violence, trauma or injury
- self-harm
- neglect, including self-neglect
- undergoing any treatment or procedure of a medical or similar nature
- injury or disease related to any employment held by the person during the person's lifetime
- any other unnatural cause that does not fall within the above circumstance

Appendix 2.3.1: The most frequently reported ICD-10 chapter causes of death, by year of death, all ages.

	20	18	20	19	20	20	2021		
ICD-10 chapter	М	F	М	F	М	F	М	F	
Codes for special purposes (COVID-19)	0.07%	0.00%	0.00%	0.08%	23.13%	19.96%	22.12%	20.24%	
Diseases of the circulatory	16.34%	12.86%	13.66%	15.09%	12.02%	12.11%	15.25%	12.98%	
system	22.550/	22.220/	47.040/	22.222/	10.000/	10 (00)	44.4704	10.100/	
Diseases of the respiratory system	20.57%	20.32%	17.91%	20.03%	12.32%	13.63%	11.67%	12.43%	
Cancers	11.96%	14.82%	13.79%	13.50%	8.55%	11.01%	10.95%	12.07%	
Diseases of the nervous system	12.17%	12.02%	13.14%	11.99%	10.71%	8.60%	11.67%	10.25%	
Congenital malformations, deformations and chromosomal abnormalities	13.53%	12.95%	14.05%	13.75%	9.00%	10.32%	9.66%	10.53%	
Diseases of the digestive system	7.52%	6.34%	7.25%	5.28%	5.43%	4.75%	6.16%	5.72%	
Mental and behavioral disorders	3.90%	5.22%	3.99%	4.95%	3.02%	5.02%	3.15%	4.63%	
Endocrine, nutritional and metabolic diseases	2.53%	2.89%	1.96%	2.35%	1.86%	1.86%	1.93%	3.45%	
Diseases of the genitourinary system	1.98%	2.52%	2.16%	1.84%	2.31%	2.34%	2.22%	2.09%	
Certain infectious and parasitic diseases	1.30%	2.33%	1.90%	1.76%	0.96%	0.89%	2.00%	1.45%	
Diseases of the blood and blood- forming organs and certain disorders involving the immune mechanism	0.68%	0.84%	0.85%	1.26%	0.60%	0.89%	0.86%	0.91%	
External causes of morbidity and mortality	3.49%	2.33%	2.94%	2.18%	0.75%	0.89%	0.86%	0.73%	
Symptoms, signs and abnormal clinical and laboratory findings, not elsewhere classified	0.48%	0.75%	0.65%	0.75%	0.35%	0.62%	0.36%	1.09%	
Diseases of the musculoskeletal system and connective tissue	0.62%	0.75%	0.52%	0.75%	0.40%	0.41%	0.50%	0.82%	
Injury, poisoning and certain other consequences of external causes							0.36%	0.09%	
Diseases of the skin and subcutaneous tissue	0.34%	0.65%	0.39%	0.25%	0.30%	0.48%	0.21%	0.36%	
Certain conditions originating in the perinatal period	0.07%	0.00%	0.13%	0.17%	0.20%	0.21%	0.07%	0.18%	
Diseases of the eye and adnexa	0.07%	0.00%	0.00%	0.00%	0.00%	0.00%			

Appendix 2.3.2: The most frequently reported ICD-10 chapter causes of death, by year of death, 4-17-year-olds.

	20	18	20	)19	20	20	20	21
ICD-10 chapter	М	F	М	F	М	F	М	F
Codes for special purposes (COVID-19)	1.01%	0.00%	0.00%	0.00%	1.80%	7.35%	12.82%	4.35%
Diseases of the circulatory system	5.05%	7.50%	4.10%	3.19%	5.41%	4.41%	5.13%	6.52%
Diseases of the respiratory system	11.11%	15.00%	13.11%	19.15%	5.41%	11.76%	7.69%	0.00%
Cancers	8.08%	6.25%	4.92%	5.32%	1.80%	7.35%	12.82%	4.35%
Diseases of the nervous system	29.29%	23.75%	27.05%	21.28%	24.32%	19.12%	33.33%	21.74%
Congenital malformations, deformations and chromosomal								
abnormalities	8.08%	12.50%	9.84%	13.83%	10.81%	19.12%	10.26%	23.91%
Diseases of the digestive system	5.05%	1.25%	5.74%	4.26%	6.31%	2.94%	2.56%	6.52%
Mental and behavioral disorders	0.00%	3.75%	0.00%	2.13%	0.90%	1.47%		
Endocrine, nutritional and metabolic								
diseases	10.10%	6.25%	7.38%	7.45%	11.71%	4.41%	7.69%	19.57%
Diseases of the genitourinary system	0.00%	3.75%	0.00%	0.00%	0.90%	1.47%	0.00%	4.35%
Certain infectious and parasitic								
diseases	6.06%	7.50%	4.92%	4.26%	2.70%	1.47%	2.56%	2.17%
Diseases of the blood and blood- forming organs and certain disorders involving the immune mechanism	0.00%	0.00%	1.64%	0.00%	0.00%	0.00%	2.56%	0.00%
External causes of morbidity and mortality	4.04%	3.75%	2.46%	2.13%	0.90%	1.47%	2.56%	0.00%
Symptoms, signs and abnormal clinical and laboratory findings, not elsewhere classified	2.02%	0.00%	1.64%	0.00%	1.80%	0.00%	0.00%	2.17%
Diseases of the musculoskeletal system and connective tissue	2.02%	0.00%	0.82%	0.00%	1.80%	1.47%		
Injury, poisoning and certain other consequences of external causes								
Diseases of the skin and subcutaneous tissue								
Certain conditions originating in the perinatal period	0.00%	0.00%	1.64%	2.13%	1.80%	2.94%	0.00%	4.35%
Diseases of the eye and adnexa	1.01%	0.00%	0.00%	0.00%	0.00%	0.00%		

Appendix 2.3.3: The most frequently reported ICD-10 chapter causes of death, by year of death, 18-64-year-olds.

	20	018	20	)19	20	20	20	21
ICD-10 chapter	М	F	М	F	М	F	М	F
Codes for special purposes (COVID-19)	0.00%	0.00%	0.00%	0.00%	20.84%	20.91%	20.52%	20.00%
Diseases of the circulatory system	15.13%	9.57%	12.53%	12.23%	12.92%	9.76%	14.58%	11.45%
Diseases of the respiratory system	16.54%	18.32%	14.20%	17.58%	8.61%	11.03%	9.81%	10.81%
Cancers	11.67%	16.50%	13.84%	14.98%	7.83%	10.90%	10.84%	12.74%
Diseases of the nervous system	14.36%	14.69%	16.71%	13.30%	13.31%	10.90%	14.06%	12.26%
Congenital malformations, deformations and chromosomal abnormalities	17.82%	17.99%	18.14%	19.11%	12.52%	14.32%	14.19%	14.52%
Diseases of the digestive system	8.33%	5.94%	6.09%	5.05%	6.56%	5.83%	6.58%	5.65%
Mental and behavioral disorders	1.92%	3.14%	2.98%	2.29%	1.86%	2.53%	1.29%	2.10%
Endocrine, nutritional and metabolic diseases	2.56%	2.48%	1.79%	2.45%	1.17%	1.77%	1.94%	3.55%
Diseases of the genitourinary system	1.67%	2.48%	1.79%	1.38%	1.76%	1.65%	1.68%	1.45%
Certain infectious and parasitic diseases	1.15%	1.49%	1.67%	1.53%	0.68%	0.51%	1.81%	1.45%
Diseases of the blood and blood- forming organs and certain disorders involving the immune mechanism	0.77%	0.99%	0.72%	1.68%	0.59%	1.14%	0.65%	1.45%
External causes of morbidity and mortality	4.36%	2.31%	3.46%	2.60%	0.78%	0.76%	0.90%	0.97%
Symptoms, signs and abnormal clinical and laboratory findings, not elsewhere classified	0.00%	0.00%	0.36%	0.31%	0.10%	0.00%	0.39%	0.32%
Diseases of the musculoskeletal system and connective tissue	0.26%	0.66%	0.60%	0.76%	0.49%	0.51%	0.52%	0.97%
Injury, poisoning and certain other consequences of external causes	0.51%	0.66%	0.36%	0.15%	0.20%	0.38%	0.13%	0.16%
Diseases of the skin and subcutaneous tissue	0.13%	0.00%	0.00%	0.00%	0.20%	0.13%	0.00%	0.16%
Certain conditions originating in the perinatal period	0.00%	0.00%	0.00%	0.00%	20.84%	20.91%	0.13%	0.00%

Appendix 2.3.4: The most frequently reported ICD-10 chapter causes of death, by year of death, people aged 65+.

	20	018	20	)19	20	20	2021		
ICD-10 chapter	M F M F M F M			М	F				
Codes for special purposes (COVID-19)	0.00%	0.00%	0.00%	0.22%	28.62%	20.13%	24.87%	22.25%	
Diseases of the circulatory system	19.86%	19.12%	17.37%	21.80%	11.80%	16.11%	16.81%	15.83%	
Diseases of the respiratory	27.57%	24.55%	24.39%	23.82%	17.64%	17.28%	14.41%	16.06%	
Cancers	13.01%	13.95%	15.61%	13.03%	10.28%	11.58%	10.98%	11.93%	
Diseases of the nervous system	6.34%	5.43%	4.91%	8.09%	5.84%	4.36%	7.03%	6.19%	
Congenital malformations, deformations and chromosomal abnormalities	8.73%	5.17%	8.95%	5.84%	4.56%	4.03%	3.60%	3.44%	
Diseases of the digestive system	6.85%	8.01%	9.30%	5.84%	3.97%	3.52%	5.83%	5.73%	
Mental and behavioral disorders	7.19%	8.79%	6.32%	9.44%	4.67%	8.72%	5.83%	8.72%	
Endocrine, nutritional and metabolic diseases	1.20%	2.84%	1.05%	1.12%	1.40%	1.68%	1.54%	1.61%	
Diseases of the genitourinary system	2.74%	2.33%	3.16%	2.92%	3.15%	3.36%	3.09%	2.75%	
Certain infectious and parasitic diseases	0.68%	2.58%	1.58%	1.57%	1.05%	1.34%	2.23%	1.38%	
Diseases of the blood and blood-forming organs and certain disorders involving the immune mechanism	0.68%	0.78%	0.88%	0.90%	0.70%	0.67%	1.03%	0.23%	
External causes of morbidity and mortality	2.23%	2.07%	2.28%	1.57%	0.70%	1.01%	0.69%	0.46%	
Symptoms, signs and abnormal clinical and laboratory findings, not elsewhere classified	0.86%	2.07%	0.88%	1.57%	0.47%	1.51%	0.34%	2.06%	
Diseases of the musculoskeletal system and connective tissue	0.86%	1.03%	0.35%	0.90%	0.12%	0.17%	0.51%	0.69%	
Injury, poisoning and certain other consequences of external causes	0.17%	0.78%	0.53%	0.45%	0.47%	0.67%	0.69%	0.00%	
Diseases of the skin and subcutaneous tissue	0.00%	0.00%	0.00%	0.22%	28.62%	20.13%	0.51%	0.69%	
Certain conditions originating in the perinatal period									
Diseases of the eye and adnexa									

Appendix 2.4: The most frequently reported respiratory causes of death for 2018 to 2021.

20	18		2019			20:	20		202	1	
Cause of death	No.	% of	Cause of death	No.	% of	Cause of death	No.	% of	Cause of death	No.	% of
		resp deaths			resp deaths			resp deaths			resp deaths
Pneumonia, organism unspecified	271	52.1	Pneumonia, organism unspecified	256	49.8	COVID	750	62.9	COVID-19	532	63.9
Pneumonitis due to solids and liquids	88	16.9	Pneumonitis due to solids and liquids	76		Pneumonia, Organism unspecified	211	17.7	Pneumonia, organism unspecified	176	21.2
Unspecified acute lower respiratory infection	39	7.5	Other chronic obstructive pulmonary disease	39		Pneumonitis due to solids and liquids	75	6.3	Other chronic obstructive pulmonary disease	29	3.5
Other chronic obstructive pulmonary disease	38	7.3	Unspecified acute lower respiratory infection	26		Unspecified acute lower respiratory infection	40	3.4	Pneumonitis due to solids and liquids	26	3.1
Asthma	20	3.8	Asthma	25		Other chronic obstructive pulmonary disease	35	2.9	Unspecified acute lower respiratory infection	24	2.9
						Asthma	22	1.8	Bronchiectasis	12	1.4
									Asthma	12	1.4

Appendix 2.5: The most frequently reported cancerous causes of death for 2018 to 2021.

201	18		2019			2020			202	General population (2016- 2018)		
Type of Cancers	No.		Type of Cancers	No.	% of cancer deaths	Type of Cancers	No.	% of cancer deaths	Type of Cancers	No.	% of cancer deaths	% of cancer deaths
Digestive	134	40.1	Digestive organs	126	33.8	Digestive	119	36.1	Digestive	99	34.6	-
organs Most common sub-cancers:			Most common sub-cancers:			organs Most common sub-cancers:			organs Most common sub-cancers:			
Colon and rectum	47	14.1	Colon and rectum	55	14.7	Colon and rectum	36	10.9	Colon and rectum	39	13.6	10
Oesophagus Pancreas	26 25	7.8 7.5	Oesophagus Pancreas	24 17	6.4 4.6	Oesophagus Pancreas	29 15	8.8 4.5	Oesophagus Pancreas	19 15	6.6 5.2	5 6
Lymphoid,	38		Lymphoid,	44	11.8	Lymphoid,	31	9.4	Lymphoid,	32	11.2	-
haematopoietic and related tissue			haematopoietic and related tissue	••	11.0	haematopoietic and related tissue			haematopoietic and related tissue		11.2	
Breast	26		Ill-defined,	43	11.5	Ill-defined,	31		Respiratory	24	8.4	-
			secondary and unspecified sites Most common sub category,cancers:			secondary and unspecified sites Most common sub-cancers:			and intrathoracic organs Most common sub-cancers:			
			Other and unspecified types of non-Hodgkin lymphoma	14	3.8	Other and unspecified types of non- Hodgkin lymphoma	9	2.7	Bronchus and lung	22	7.7	21
Respiratory and intrathoracic organs Most common sub-cancers: Bronchus and lung	24	7.2	Respiratory and intrathoracic organs  Most common sub-cancers: Bronchus and lung	<b>31</b> <i>25</i>	<b>8.3</b> 6.7	Breast	30	9.1	Breast	24	8.4	7
Female genital	22		Breast	27	7.2	Respiratory	27	8.2	Female genital	23	8.0	-
Most common sub-cancers:						and intrathoracic organs Most common sub-cancers:			Most common sub-cancers:			
Cervix and uterus	13	3.9				Bronchus and lung	27	8.2	Cervix and uterus	13	4.5	4
Ovary	8	2.4	Urinany tract	25	67	Eemale genital	25	7.4	Ovary	7	2.4	5
Ill-defined, secondary and unspecified sites Most common sub-cancers: Other and unspecified types of non- Hodgkin lymphoma	11	3.3	Urinary tract	25	6.7	Female genital organs  Most common sub-cancers: Cervix and uterus  Ovary	<ul><li>25</li><li>11</li><li>10</li></ul>	3.3	Urinary tract	22	7.7	-

Appendix 2.5: The most frequently reported cancerous causes of death for 2018 to 2021. (continued)

201	.8		2019	7		202	2020			2021			
Type of Cancers	No.	l	Type of Cancers	No.	% of cancer deaths	Type of Cancers	No.		Type of Cancers	No.	% of cancer deaths	% of cancer deaths	
Eye, brain and other parts of central nervous system	19	5.7	Female genital organs  Most common sub-cancers: Cervix and uterus  Ovary	25 14	<b>6.7</b> 3.8	Urinary tract	21	6.4	Ill-defined, secondary and unspecified sites Most common sub-cancers: Other and unspecified types of non- Hodgkin lymphoma	7	<b>7.3</b> 2.4	-	
Urinary tract	18	5.4	Male genital organs  Most common sub-cancers: Prostate	<b>17</b> <i>13</i>	<b>4.6</b> 3.5	Male genital organs Most common sub-cancers: Prostate	13	3.9	Eye, brain and other parts of central nervous system	16	5.6	-	
Male genital organs  Most common sub-cancers: Prostate	<b>15</b>	4.5 3.9	Eye, brain and other parts of central nervous system	13	3.5	Eye, brain and other parts of central nervous system	13	3.9	Male genital organs Most common sub-cancers: Prostate	15	5.2 3.8	13	

Appendix 2.6: Information about leading causes of death.

Which leading causes of death were presented in this report?

#### **Adults**

The top 8 leading causes of death for adults reported to LeDeR are presented for 2018 and 2019. The top 9 leading causes of death are presented for 2020 and 2021. The conditions are the same across all four years with the addition of COVID-19 in 2020 and 2021. These 8 or 9 leading causes are then examined in further detail across different age groups and sexes. Where other, more prominent leading causes have been found in different age groups and sexes, they are presented, providing that they surpassed the 2.30% threshold set by Epilepsy and status epilepticus, the 9th most common cause of death in 2021. This selection process meant that the percentage of deaths reported on for each year exceeded 55% on all analyses.

#### Children (aged 4 to 17)

A different approach was required for the analyses of the leading causes of death in children aged 4 to 17. This was because of lower rates of mortality within this age group and delays in data acquisition. In the analysis of children, data for a leading cause was presented if it accounted for more than 5 deaths each year.

Appendix 2.7.1: The most frequently reported leading causes of death, by year of death, all ages.

2018	}		2019			2020	0		2021		
Leading cause of death	No.	%	Leading cause of death	No.	%	Leading cause of death	No.	%	Leading cause of death	No.	%
Children and ad	ults (	aged 4	.+)			L					
Congenital malformations, deformations and chromosomal abnormalities	337	13.28	Congenital malformations, deformations and chromosomal abnormalities	378	13.88	COVID-19	750 2	21.78%	COVID-19	532	19.98%
Cancers	332	13.09	Cancers	373	13.69	Cancers	329	9.55	Cancers	286	10.74%
Influenza and pneumonia	290	11.43	Influenza and pneumonia	279	10.24	Congenital malformations, deformations and chromosomal abnormalities	328	9.52	Congenital malformations, deformations and chromosomal abnormalities	251	9.43%
Ischaemic heart diseases	129	5.08	Cerebrovascular diseases	130	4.77		223	6.48		176	6.61%
Cerebral palsy and other paralytic syndromes	126	4.97	Cerebral palsy and other paralytic syndromes	125		Cerebral palsy and other paralytic syndromes	143	4.15	Cerebral palsy and other paralytic syndromes	119	4.47%
	r109	4.30	Ischaemic heart diseases	122	4.48		130	3.77	Ischaemic heart diseases	119	4.47%
Dementia and Alzheimer disease	101	3.98	Epilepsy and status epilepticus	121		Cerebrovascular diseases	·122	3.54	Cerebrovascular diseases	108	4.06%
Epilepsy and status epilepticus	84	3.31	Dementia and Alzheimer disease	106	3.89	Dementia and Alzheimer disease	116	3.37	Dementia and Alzheimer disease	86	3.23%
, ,						Epilepsy and status epilepticus	83	2.41	Epilepsy and status epilepticus	62	2.33%

Appendix 2.7.2: The most frequently reported leading causes of death, by year of death (4- to 17-year-olds).

2018			2019	7		202	0		2021		
Leading cause of death	No.	%	Leading cause of death	No.	%	Leading cause of death	No.	%	Leading cause of death	No.	%
Children (aged 4-	17)					<u> </u>			<u>I</u>		
Congenital malformations, deformations and chromosomal abnormalities	18	10.06	Congenital malformations, deformations and chromosomal abnormalities	25	11.57	Congenital malformations, deformations and chromosomal abnormalities	24	13.41	Congenital malformations, deformations and chromosomal abnormalities	15	13.76%
Cerebral palsy and other paralytic syndromes	16	8.94	Cerebral palsy and other paralytic syndromes	23	10.65	Cerebral palsy and other paralytic syndromes	16	8.94	Cerebral palsy and other paralytic syndromes	13	11.93%
Influenza and pneumonia	15	8.38	Influenza and pneumonia	21	9.72	Epilepsy and status epilepticus	10	5.59	Cancers	7	6.42%
Cancers	13	7.26	Epilepsy and status epilepticus	16	7.41	Cancers	7	3.91	COVID-19	7	6.42%
Epilepsy and status epilepticus	13	7.26	Cancers	11	5.09	COVID-19	7	3.91			
Acute respiratory infections other than influenza and pneumonia	6	3.35	Septicaemia	7	3.24	Influenza and pneumonia	6	3.35			
Septicaemia	6	3.35	Acute respiratory infections other than influenza and pneumonia		2.31						
Cerebrovascular diseases	5	2.79									

Appendix 2.7.3: The most frequently reported leading causes of death, by year of death (18- to 64-year-olds).

2018			2019			2020			2021			
Leading cause of death	No.	%	Leading cause of death	No.	%	Leading cause of death	No.	%	Leading cause of death	No.	%	
Adults (aged 18-	64)											
Congenital malformations, deformations and chromosomal abnormalities	248	17.88	Congenital malformations, deformations and chromosomal abnormalities	276	18.49	COVID-19	378	20.85	COVID-19	283	18.93%	
Cancers	189	13.63	l .			malformations, deformations and chromosomal abnormalities			Congenital malformations, deformations and chromosomal abnormalities	200	13.38%	
Influenza and pneumonia	146	10.53	Influenza and pneumonia	126	8.44	Cancers	165	9.10	Cancers	163	10.90%	
Cerebral palsy and other paralytic syndromes	92	6.63	Epilepsy and status epilepticus	90	6.03	Cerebral palsy and other paralytic syndromes	104	5.74	Cerebral palsy and other paralytic syndromes	83	5.55%	
Epilepsy and status epilepticus	57	4.11	Cerebral palsy and other paralytic syndromes	82	5.49	Influenza and pneumonia	99	5.46	Influenza and pneumonia	80	5.35%	
Ischaemic heart diseases	55	3.97	Ischaemic heart diseases	56	3.75	Epilepsy and status epilepticus	61	3.36	Ischaemic heart diseases	62	4.15%	
Cerebrovascular diseases	43	3.10	Cerebrovascular diseases	54	3.62		59	3.25	Epilepsy and status epilepticus	49	3.28%	
Dementia and Alzheimer disease	33	2.38	Chronic lower respiratory diseases	37	2.48	Cerebrovascular diseases	54	2.98		47	3.14%	
			Dementia and Alzheimer disease	29	1.94	Appendicitis, hernia and intestinal obstruction	42	2.32	Appendicitis, hernia and intestinal obstruction	33	2.21%	
				<b>4</b> 7		Dementia and Alzheimer disease	36	1.99	Dementia and Alzheimer disease	22	1.47%	

Appendix 2.7.4: The most frequently reported leading causes of death, by year of death (people aged 65+).

2018			2019			2020	)		2021			
Leading cause of death	No.	%	Leading cause of death	No.	%	Leading cause of death	No.	%	Leading cause of death	No.	%	
Older adults (age	ed 65	5+)										
Cancers	130	13.39	Cancers	147	14.48	COVID-19	365	25.14	COVID-19	242	22.87%	
Influenza and pneumonia	129	13.29	Influenza and pneumonia	132	13.00	Cancers	157	10.81	Cancers	116	10.96%	
Ischaemic heart diseases	74	7.62	Dementia and Alzheimer disease	77	7.59	Influenza and pneumonia	118	8.13	Influenza and pneumonia	94	8.88%	
Congenital malformations, deformations and chromosomal abnormalities	71	7.31	Congenital malformations, deformations and chromosomal abnormalities	77	7.59	Dementia and Alzheimer disease	80	5.51	Dementia and Alzheimer disease	64	6.05%	
Dementia and Alzheimer disease	68	7.00	Cerebrovascular diseases	72	7.09	Ischaemic heart diseases	71	4.89	Cerebrovascular diseases	60	5.67%	
Cerebrovascular diseases	61	6.28	Ischaemic heart diseases	66	6.50	Cerebrovascular diseases	66	4.55	Ischaemic heart diseases	57	5.39%	
Chronic lower respiratory diseases	39	4.02	Chronic lower respiratory diseases	46	4.53	Congenital malformations, deformations and chromosomal abnormalities	63	4.34	Congenital malformations, deformations and chromosomal abnormalities	36	3.40%	
Diseases of the urinary system	25	2.57	Diseases of the urinary system	30	2.96	Diseases of the urinary system	46	3.17	Chronic lower respiratory diseases	31	2.93%	
Epilepsy and status epilepticus	14	1.44	Epilepsy and status epilepticus	15	1.48	Chronic lower respiratory diseases			Diseases of the urinary system			
						Epilepsy and status epilepticus	12	0.83	Epilepsy and status epilepticus	10	0.95%	

Appendix 2.8.1: Most common leading causes of death in males

05:0			25:5			2000				14		
2018			2019			2020			202			General population
eading cause of leath	No.	%	Leading cause of death	No.	%	Leading cause of death	No.	%	Leading cause of death	No.	%	2018
Adults and children (	(age 4	years an	d over)									
Congenital malformations, deformations and chromosomal abnormalities	198	13.5	Congenital malformations, deformations and chromosomal abnormalities	215	14.1	COVID-19	460	23.1	COVID-19	309	20.70%	
Cancers	174	11.9	Cancers	211	13.8	Congenital malformations, deformations and chromosomal abnormalities	178	9.0	Cancers	153	10.25%	
nfluenza and oneumonia	162	11.1	Influenza and pneumonia	138	9.0	Cancers	170	8.6	Congenital malformations, deformations and chromosomal abnormalities	135	9.04%	
schaemic heart diseases	92	6.3	Ischaemic heart diseases	79	5.2	Influenza and pneumonia	132	6.6	Influenza and pneumonia	96	6.43%	5%
Cerebral palsy and other paralytic syndromes	73	5.0	Cerebral palsy and other paralytic syndromes	75	4.9	Cerebral palsy and other paralytic syndromes	95	4.8	Ischaemic heart diseases	81	5.43%	13.2%
Cerebrovascular diseases	66	4.5	Epilepsy and status epilepticus	72	4.7	Ischaemic heart diseases	88	4.4	Cerebral palsy and other paralytic syndromes	66	4.42%	
Dementia and Alzheimer disease	52	3.6	Cerebrovascular diseases	63	4.1	Cerebrovascular diseases	62	3.1	Cerebrovascular diseases	56	3.75%	
Epilepsy and status epilepticus	50	3.4	Dementia and Alzheimer disease	54	3.5	Dementia and Alzheimer disease	55	2.8	Dementia and Alzheimer disease	44	2.95%	8.9%
Chronic lower respiratory diseases	46	3.1	Chronic lower respiratory diseases	52	3.4	Chronic lower respiratory diseases	46	2.3	Appendicitis, hernia and intestinal	37	2.48%	
			Appendicitis, hernia and intestinal	36	2.4				Chronic lower respiratory diseases	34	2.28%	6%
Children (age 4-17 y												
Epilepsy and status epilepticus	10	10.1	Cerebral palsy and other paralytic syndromes	15	12.3	Cerebral palsy and other paralytic syndromes	11	9.9	Cerebral palsy and other paralytic syndromes	6	12.00%	
Cerebral palsy and other paralytic syndromes	9	9.1	Congenital malformations, deformations and chromosomal abnormalities	12	9.8	Congenital malformations, deformations and chromosomal abnormalities	11	9.9	COVID-19	5	10.00%	
Cancers	8	8.1	Epilepsy and status epilepticus	11	9.0	Epilepsy and status epilepticus	6	5.4	Cancers	5	10.00%	
nfluenza and oneumonia	8	8.1	Influenza and pneumonia	10	8.2							
Congenital malformations, deformations and chromosomal abnormalities	8	8.1	Cancers	6	4.9							

Appendix 2.8.2: Most common leading causes of death in females

Females												General populatio
201	18		201	9		2020	)		202	21		2018
eading cause of death	No.	%	Leading cause of death	No.	%	Leading cause of death	No.	%	Leading cause of death	No.	%	%
Adults and childre	n (age 4	and over	·)									
Cancers	158	14.7	Congenital malformations, deformations and chromosomal abnormalities	163	13.7	COVID-19	290	20.0	COVID-19	223	19.1	-
Congenital malformations, deformations and chromosomal abnormalities	139	13.0	Cancers	161	13.5	Cancers	159	10.9	Cancers	133	11.4	-
nfluenza and oneumonia	128	11.9	Influenza and pneumonia	141	11.8	Congenital malformations, deformations and chromosomal abnormalities	150	10.3	Congenital malformations, deformations and chromosomal abnormalities	116	9.9	-
Cerebral palsy and other paralytic syndromes	53	4.9	Cerebrovascular diseases	67	5.6	Influenza and pneumonia	91	6.3	Influenza and pneumonia	80	6.8	5.9%
Dementia and Alzheimer disease	49	4.6	Dementia and Alzheimer disease	52	4.4	Dementia and Alzheimer disease	61	4.2	Cerebrovascular diseases	52	4.5	6.5%
Cerebrovascular diseases	43	4.0	Cerebral palsy and other paralytic syndromes	50	4.2	Cerebrovascular diseases	60	4.1	Cerebral palsy and other paralytic syndromes	51	4.4	-
schaemic heart diseases	36	3.4	Epilepsy and status epilepticus	49	4.1	Cerebral palsy and other paralytic syndromes	48	3.3	Dementia and Alzheimer disease	42	3.6	16.7%
Epilepsy and status	s 34	3.2	Ischaemic heart diseases	43	3.6	Ischaemic heart diseases	42	2.9	Ischaemic heart diseases	38	3.3	7.5%
Diseases of the urinary system	27	2.5	Chronic lower respiratory diseases	31	2.6	Epilepsy and status epilepticus	39	2.7	Epilepsy and status epilepticus	28	2.4	-
Children (age 4-17	)											
Congenital malformations, deformations and chromosomal abnormalities	10	12.5	Congenital malformations, deformations and chromosomal abnormalities	13	13.8	Congenital malformations, deformations and chromosomal abnormalities	13	19.1	Congenital malformations, deformations and chromosomal abnormalities	11	18.6	
Cerebral palsy and other paralytic syndromes	7	8.8	Influenza and pneumonia	11	11.7	Cancers	5	7.4	Cerebral palsy and other paralytic syndromes	7	11.9	
nfluenza and oneumonia	7	8.8	Cerebral palsy and other paralytic syndromes	8	8.5	Cerebral palsy and other paralytic syndromes	5	7.4				
Cerebrovascular diseases	5	6.3	Cancers	5	5.3	COVID-19	5	7.4				
Cancers	5	6.3	Epilepsy and status epilepticus	5	5.3							
Adults (age 18-64)												
Congenital malformations, deformations and chromosomal abnormalities	109	18.0	Congenital malformations, deformations and chromosomal abnormalities	124	19.0	COVID-19	165	20.9	COVID-19	159	19.0	

Appendix 2.8.2: Most common leading causes of death in females (continued)

Appendix 2.8.2:	Most	commo	n leading causes o	от аеа	ith in fer	naies (continued)						
Cancers	99	16.3	Cancers	98	15.0	Congenital malformations, deformations and chromosomal abnormalities	113	14.3	Congenital malformations, deformations and chromosomal abnormalities	110	13.1	
Influenza and pneumonia	72	11.9	Influenza and pneumonia	67	10.2	Cancers	85	10.8	Cancers	84	10.0	
Cerebral palsy and other paralytic syndromes	41	6.8	Epilepsy and status epilepticus	34	5.2	Influenza and pneumonia	47	6.0	Cerebral palsy and other paralytic syndromes	47	5.6	
Epilepsy and statu epilepticus	s 24	4.0	Cerebral palsy and other paralytic syndromes	30	4.6	Cerebral palsy and other paralytic syndromes	36	4.6	Influenza and pneumonia	43	5.1	
Cerebrovascular diseases	16	2.6	Cerebrovascular diseases	22	3.4	Epilepsy and status epilepticus	30	3.8	Ischaemic heart diseases	42	5.0	
Dementia and Alzheimer disease	16	2.6	Ischaemic heart diseases	20	3.1	Cerebrovascular diseases	21	2.7	Cerebrovascular diseases	27	3.2	
Diseases of the urinary system	15	2.5	Chronic lower respiratory diseases	16	2.5				Epilepsy and status epilepticus	26	3.1	
Appendicitis, hernia and intestinal	14	2.3							Appendicitis, hernia and intestinal obstruction	22	2.6	
Older adults (age 6			I			T			I			
Cancers	54	14.0	Influenza and pneumonia	63	14.2	COVID-19	120	20.1	COVID-19	97	21.5	
Influenza and pneumonia	49	12.7	Cancers	58	13.0	Cancers	69	11.6	Cancers	52	11.5	
Dementia and Alzheimer disease	33	8.5	Cerebrovascular diseases	43	9.7	Dementia and Alzheimer disease	44	7.4	Influenza and pneumonia	43	9.5	
Ischaemic heart diseases	25	6.5	Dementia and Alzheimer disease	41	9.2	Influenza and pneumonia	40	6.7	Dementia and Alzheimer disease	31	6.9	
Cerebrovascular diseases	22	5.7	Congenital malformations, deformations and chromosomal abnormalities	26	5.8	Cerebrovascular diseases	38	6.4	Cerebrovascular diseases	31	6.9	
Congenital malformations, deformations and chromosomal abnormalities	20	5.7	Ischaemic heart diseases	23	5.2	Ischaemic heart diseases	26	4.4	Ischaemic heart diseases	18	4.0	
Chronic lower respiratory diseases	12	3.1	Chronic lower respiratory diseases	15	3.4	Congenital malformations, deformations and chromosomal abnormalities	24	4.0	Congenital malformations, deformations and chromosomal abnormalities	15	3.3	
Acute respiratory infections other than influenza and pneumonia		2.6	Cerebral palsy and other paralytic syndromes	12	2.7	Diseases of the urinary system	20	3.4	Chronic lower respiratory diseases	12	2.7	
Appendicitis, hernia and intestinal	9	2.3				Acute respiratory infections other than influenza and pneumonia	17	2.9	Diseases of the urinary system	12	2.7	
						Chronic lower respiratory diseases	15	2.5				
						1			1			

### Appendix 4 - Chapter 4

Appendix 4.1. Summary of demographic, clinical, and social care variables for those whose age at death was age 18 and older.

Variable	Level	Total (n=2,584)
Sex (n, %)	Male	1,445 (56%)
	Female	1,111 (43%)
	Unrecorded	28 (1%)
Ethnicity (n, %)	Asian or Asian British	44 (2%)
	Black, Black British,	47 (20/)
	Caribbean or African	47 (2%)
	Mixed ethnic group	61 (2%)
	White	2,158 (84%)
	Other	18 (1%)
	Unrecorded	256 (10%)
Region of England (n, %)	Midlands	513 (20%)
	South East	435 (17%)
	North East	428 (17%)
	North West	347 (13%)
	East of England	323 (12%)
	South West	288 (11%)
	London	249 (10%)
	Unrecorded	1 (0%)
Place of death (n; %)	Hospital	1,592 (62%)
	Usual residence	864 (33%)
	Other	94 (4%)
	Unrecorded	34 (1%)
Epilepsy (n, %)	No	727 (28%)
	Yes	355 (14%)
	Unrecorded	1,502 (58%)
Cardiovascular conditions (n, %)	No	729 (28%)
	Yes	353 (14%)
	Unrecorded	1,502 (58%)
Mental health conditions (n, %)	No	729 (28%)
	Yes	353 (14%)
	Unrecorded	1,502 (58%)
Sensory impairment (n, %)	No	815 (32%)
	Yes	267 (10%)
	Unrecorded	1,502 (58%)
Dysphagia (n, %)	No	834 (32%)
	Yes	248 (10%)
	Unrecorded	1,502 (58%)
Dementia (n, %)	No	852 (33%)
	Yes	230 (9%)
	Unrecorded	1,502 (58%)
Kidney problems (n, %)	No	890 (34%)
	Yes	192 (7%)
	Unrecorded	1,502 (58%)
Diabetes (n, %)	No	918 (36%)
	Yes	164 (6%)
	Unrecorded	1,502 (58%)
Respiratory conditions (n, %)	No	931 (36%)
	Yes	151 (6%)
	Unrecorded	1,502 (58%)

Appendix 4.1. Summary of demographic, clinical, and social care variables for those whose age at death was age 18 and older. (continued)

Hypertension (n, %)	No	947 (37%)
	Yes	135 (5%)
	Unrecorded	1,502 (58%)
Osteoporosis (n, %)	No	1,017 (39%)
	Yes	65 (3%)
	Unrecorded	1,502 (58%)
Cancer (n, %)	No	1,020 (39%)
	Yes	62 (2%)
	Unrecorded	1,502 (58%)
Degenerative conditions (n, %)	No	1,036 (40%)
	Yes	46 (2%)
	Unrecorded	1,502 (58%)
DVT (n, %)	No	1,044 (40%)
	Yes	38 (1%)
	Unrecorded	1,502 (58%)
Annual health check (in past 12 months; n, %	6) * No	182 (7%)
	Yes	537 (21%)
	Unrecorded	1,865 (72%)
Care package met needs (n, %)	No	102 (4%)
	Yes	656 (25%)
	Unrecorded	1,826 (71%)
Deprivation of liberty safeguards (n, %)	No	581 (22%)
	Applied for	32 (1%)
	Approved	89 (3%)
	Unrecorded	1,882 (73%)
Out of area placement (n, %)	No	413 (16%)
	Yes	27 (1%)
	Unrecorded	2,144 (83%)
Quality of care rating* (n, %)	1	10 (0%)
	2	50 (2%)
	3	148 (6%)
	4	198 (8%)
	5	311 (12%)
	6	34 (1%)
	Unrecorded	1,833 (71%)

 $<sup>^{*}</sup>$ annual health checks are currently only targeted at those aged 14+

<sup>\*1=</sup>Care fell short of expected good practice and this contributed to the cause of death; 2=Care fell short of expected good practice and this significantly impacted on the person's wellbeing and/or had the potential to contribute to the cause of death; 3=Care fell short of expected good practice and this did impact of the person's wellbeing but did not contribute to the cause of death; 4=Satisfactory care (it fell short of expected good practice in some areas but this did not significantly impact on the person's wellbeing); 5=Good care (it met expected good practice); 6=Excellent care (it exceeded good practice).

 $Appendix\,4.2.1\,Results\,of\,Cox\,proportional\,hazards\,model\,investigating\,the\,effects\,of\,predictor\,variables\,on\,time\,to\,death.$ 

			Unadjusted			Adjusted	
Predictor variable	Level	Hazard ratio	95% confidence interval	p-value	Hazard ratio	95% confidence interval	p-value
Sex	Male	1	-	-	1	-	-
	Female	0.94	0.87, 1.02	0.03	0.96	0.88, 1.04	0.33
Ethnicity	Asian or Asian British	1.85	1.37, 2.49		1.88	1.39, 2.55	
	Black, Black British, Caribbean or African	3.26	2.43, 4.36	<0.001	3.37	2.49, 4.57	<0.001
	Mixed ethnic						
	group	2.64	2.04, 3.41		2.61	2.01, 3.40	
	White	1	-		1	-	
	Other	0.86	0.53, 1.38		0.79	0.48, 1.32	
Region of	London	1	-	-	1	-	-
England							
	South West	0.88	0.74, 1.04		1.03	0.86, 1.24	_
	South East	0.94	0.80, 1.10		1.11	0.93, 1.31	
	Midlands	1.01	0.87, 1.18		1.13	0.96, 1.33	_
	East of			0.07			0.25
	England	0.85	0.72, 1.01		0.99	0.82, 1.18	_
	North West	0.90	0.76, 1.05		1.05	0.88, 1.25	
	North East	1.02	0.87, 1.19		1.17	0.99, 1.39	
Place of death	Hospital	1	-	-	1	-	-
	Usual residence	0.86	0.80, 0.94	<0.001	0.83	0.76, 0.91	<0.001
	Other	1.47	1.19, 1.81		1.36	1.08, 1.71	
Cancer	No	1	-	-	1	-	-
	Yes	0.84	0.65, 1.09	0.18	0.80	0.61, 1.05	0.11
Cardiovascular conditions	No	1	-	-	1	-	-
	Yes	0.71	0.62, 0.81	< 0.001	0.73	0.63, 0.84	< 0.001
Degenerative conditions	No	1	-	-	1	-	-
	Yes	1.03	0.76, 1.38	0.86	1.10	0.81, 1.50	0.54
Dementia	No	1	-	-	1	-	-
	Yes	0.83	0.72, 0.96	0.01	0.88	0.75, 1.03	0.11
Diabetes	No	1	-	-	1	_	-
	Yes	0.81	0.68, 0.95	0.01	0.96	0.80, 1.15	0.63
DVT	No	1	-	-	1	-	-
	Yes	1.30	0.94, 1.79	0.12	1.37	0.98, 1.91	0.07
Dysphagia	No	1	-	-	1	-	-
	Yes	0.87	0.75, 1.00	0.05	0.87	0.75, 1.01	0.06
Epilepsy	No	1	-	-	1	-	-
	Yes	1.45	1.28, 1.65	<0.001	1.47	1.28, 1.69	<0.001
Hypertension	No	1	-	-	1	-	-
	Yes	0.66	0.55, 0.79	<0.001	0.68	0.56, 0.83	<0.001
Kidney problems		1	-	-	1	-	-
	Yes	0.74	0.63, 0.86	< 0.001	0.83	0.70, 0.98	0.03

Appendix 4.2.1 Results of Cox proportional hazards model investigating the effects of predictor variables on time to death. (continued)

_				-			_
Mental health conditions	No	1	-	-	1	-	-
	Yes	0.81	0.71, 0.92	< 0.01	0.85	0.74, 0.97	0.01
Osteoporosis	No	1	-	-	1	-	-
	Yes	0.81	0.63, 1.04	0.09	0.82	0.63, 1.07	0.15
Respiratory conditions	No	1	-	-	1	-	-
	Yes	0.93	0.78, 1.10	0.39	0.97	0.81, 1.17	0.76
Sensory impairment	No	1	-	-	1	-	-
	Yes	0.91	0.80, 1.05	0.21	0.91	0.78, 1.05	0.19
Annual health check (in past 12 months)	No	1	-	-	1	-	-
	Yes	0.97	0.82, 1.15	0.75	0.93	0.77, 1.13	0.48
Care package met needs	No	1	-	-	1	-	-
	Yes	0.94	0.77, 1.16	0.59	1.00	0.77, 1.30	>0.99
Deprivation of liberty safeguards	No	1	-	-	1	-	-
	Applied for	1.05	0.74, 1.51	0.72	1.34	0.87, 2.04	0.05
	Approved	0.92	0.74, 1.15	0.72	0.94	0.72, 1.23	0.35
Out of area placement	No	1	-	-	1	-	-
	Yes	0.90	0.61, 1.33	0.60	0.94	0.59, 1.48	0.34
Quality of care rating	6	1	-	-	1	-	-
	5	0.85	0.60, 1.22		0.76	0.50, 1.16	
	4	0.83	0.57, 1.19		0.74	0.48, 1.14	
	3	0.92	0.63, 1.33	0.47	0.86	0.55, 1.36	0.29
	2	1.10	0.71, 1.70		0.99	0.59, 1.67	
	1	0.73	0.36, 1.45		0.56	0.25, 1.22	

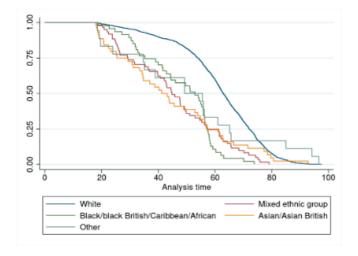
Appendix 4.2.2 Results of Cox proportional hazards model investigating the effects of predictor variables on time to death. (continued)

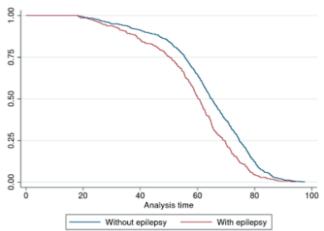
The estimated effects of the predictor variables were broadly similar between the unadjusted and adjusted analyses (see Appendix 2.2.1). The modelling provided no evidence that the hazard of death was lower for females than males (adjusted hazard ratio 0.96; 95% CI 0.88, 1.04). It suggested that the hazard of death was much greater for people of Black, Black British, Caribbean or African (hazard ratio 3.37; 95% CI 2.49, 4.57) and mixed ethnic group (hazard ratio 2.61; 95% CI 2.01, 3.40) compared to white ethnicity. Kaplan-Meier survival curves by levels of ethnicity are shown in Appendix 4.2.3.

There was evidence that hazard of time to death was greater for people with epilepsy compared to those without (hazard ratio 1.47; 95% CI 1.28, 1.69; see Appendix 2.2.1). Kaplan-Meier survival curves for those with and without epilepsy are shown in Appendix 4.2.3. There was weak evidence that hazard of time to death was greater for those with deep vein thrombosis compared to those without (hazard ratio 1.37; 95% CI 0.98, 1.91). Some conditions such as cancer, cardiovascular conditions, dementia, hypertension, kidney problems, mental health conditions, osteoporosis and dysphagia were associated with lower hazard of time to death.

However, it should be noted that the seeming reduction in hazard of death associated with these conditions may be related to the fact that the prevalence of many of these increases with age. There was no evidence that social and care variables were associated with time to death.

Appendix 4.2.3 Kaplan-Meier plots by ethnicity (left panel) and epilepsy status (right panel).





## Appendix 5 - Chapter 5

Appendix 5.1: Summary of demographic, clinical, and social care variables by whether the death was classified as avoidable or not.

Variable	Level	Causes of death was not avoidable (n=1,222)	Cause of death was avoidable (n=1,170)	Total (n=2,392)
Sex (n, %)	Male	655 (54%)	675 (58%)	1,330 (56%)
	Female	555 (45%)	485 (41%)	1,040 (43%)
	Unrecorded	12 (1%)	10 (1%)	22 (1%)
Age at death (mean; SD)		61.2 (20.1)	57.0 (14.4)	59.1 (17.7)
Age group (n; %)	4-17 years old	59 (5%)	23 (2%)	82 (3%)
	18-24 years old	48 (4%)	29 (2%)	77 (3%)
	25-49 years old	179 (15%)	218 (19%)	397 (17%)
	50-64 years old	343 (28%)	510 (44%)	853 (36%)
	65+ years old	590 (48%)	390 (33%)	980 (41%)
	Unrecorded	3 (0%)	0 (0%)	3 (0%)
Ethnicity (n, %)	White	1,020 (83%)	960 (82%)	1,980 (83%)
	Mixed ethnic group	22 (2%)	45 (4%)	67 (3%)
	Black, Black British, African, Caribbean	18 (1%)	27 (2%)	45 (2%)
	Asian or Asian British	20 (2%)	20 (2%)	40 (2%)
	Other	6 (0%)	11 (1%)	17 (1%)
	Unrecorded	136 (11%)	107 (9%)	243 (10%)
Region of England (n, %)	London	118 (10%)	109 (9%)	227 (9%)
	South West	153 (13%)	110 (9%)	263 (11%)
	South East	215 (18%)	199 (17%)	414 (17%)
	Midlands	220 (18%)	251 (21%)	471 (20%)
	East of England	153 (13%)	144 (12%)	297 (12%)
	North West	148 (12%)	167 (14%)	315 (13%)
	North East	214 (18%)	190 (16%)	404 (17%)
	Unrecorded	1 (0%)	0 (0%)	1 (0%)
Place of death (n; %)	Hospital	708 (58%)	766 (65%)	1,474 (62%)
	Usual residence	449 (37%)	348 (30%)	797 (33%)
	Other	45 (4%)	43 (4%)	88 (4%)
	Unrecorded	20 (2%)	13 (1%)	33 (1%)
Cancer (n, %)	No	517 (42%)	451 (39%)	968 (40%)
, , ,	Yes	22 (2%)	39 (3%)	61 (3%)
	Unrecorded	683 (56%)	680 (58%)	1,363 (57%)
Cardiovascular conditions (n, %)	No	362 (30%)	336 (29%)	698 (29%)
, , ,	Yes	177 (14%)	154 (13%)	331 (14%)
	Unrecorded	683 (56%)	680 (58%)	1,363 (57%)
Degenerative conditions (n, %)	No	510 (42%)	477 (41%)	987 (41%)
	Yes	29 (2%)	13 (1%)	42 (2%)
	Unrecorded	683 (56%)	680 (58%)	1,363 (57%)
Dementia (n, %)	No	397 (32%)	411 (35%)	808 (34%)
	Yes	142 (12%)	79 (7%)	221 (9%)
	Unrecorded	683 (56%)	680 (58%)	1,363 (57%)
Diabetes (n, %)	No	465 (38%)	407 (35%)	872 (36%)
	Yes	74 (6%)	83 (7%)	157 (7%)
	Unrecorded	683 (56%)	680 (58%)	1,363 (57%)

Appendix 5.1: Summary of demographic, clinical, and social care variables by whether the death was classified as avoidable or not. (continued)

DVT (n, %)	No	520 (43%)	471 (40%)	991 (41%)
	Yes	19 (2%)	19 (2%)	38 (2%)
	Unrecorded	683 (56%)	680 (58%)	1,363 (57%)
Epilepsy (n, %)	No	354 (29%)	330 (28%)	684 (29%)
	Yes	185 (15%)	160 (14%)	345 (14%)
	Unrecorded	683 (56%)	680 (58%)	1,363 (57%)
Hypertension (n, %)	No	480 (39%)	421 (36%)	901 (38%)
	Yes	59 (5%)	69 (6%)	128 (5%)
	Unrecorded	683 (56%)	680 (58%)	1,363 (57%)
Kidney problems (n, %)	No	432 (35%)	413 (35%)	845 (35%)
•	Yes	107 (9%)	77 (7%)	184 (8%)
	Unrecorded	683 (56%)	680 (58%)	1,363 (57%)
Mental health conditions (n, %)	No	369 (30%)	329 (28%)	698 (29%)
	Yes	170 (14%)	161 (14%)	331 (14%)
	Unrecorded	683 (56%)	680 (58%)	1,363 (57%)
Osteoporosis (n, %)	No	499 (41%)	468 (40%)	967 (40%)
	Yes	40 (3%)	22 (2%)	62 (3%)
	Unrecorded	683 (56%)	680 (58%)	1,363 (57%)
Respiratory conditions (n, %)		472 (39%)	409 (35%)	881 (37%)
	Yes	67 (5%)	81 (7%)	148 (6%)
	Unrecorded	683 (56%)	680 (58%)	1,363 (57%)
Sensory impairment (n, %)	No	401 (33%)	378 (32%)	779 (33%)
	Yes	138 (11%)	112 (10%)	250 (10%)
	Unrecorded	683 (56%)	680 (58%)	1,363 (57%)
Dysphagia (n, %)	No	378 (31%)	410 (35%)	788 (33%)
	Yes	161 (13%)	80 (7%)	241 (10%)
	Unrecorded	683 (56%)	680 (58%)	1,363 (57%)
Annual health check (in past 12 months; n, %)	No	73 (6%)	89 (8%)	162 (7%)
	Yes	186 (15%)	296 (25%)	482 (20%)
	Unrecorded	963 (79%)	785 (67%)	1,748 (73%)
Care package met needs (n, %)	No	39 (3%)	44 (4%)	83 (3%)
	Yes	247 (20%)	358 (31%)	605 (25%)
	Unrecorded	936 (77%)	768 (66%)	1,704 (71%)
Deprivation of liberty safeguards (n, %)	No	209 (17%)	318 (27%)	517 (22%)
J (24) 224	Applied for	14 (1%)	14 (1%)	28 (1%)
	Approved	39 (3%)	41 (4%)	80 (3%)
	Unrecorded	960 (79%)	797 (68%)	1,757 (73%)
Out of area placement (n, %)		132 (11%)	252 (22%)	384 (16%)
	Yes	11 (1%)	14 (1%)	25 (1%)
	Unrecorded	1079 (88%)	904 (77%)	1,983 (83%)

Appendix 5.1: Summary of demographic, clinical, and social care variables by whether the death was classified as avoidable or not. (continued)

Quality of care rating* (n, %)	1	4 (0%)	1 (0%)	5 (0%)
	2	18 (1%)	22 (2%)	40 (2%)
	3	62 (5%)	73 (6%)	135 (6%)
	4	66 (5%)	113 (10%)	179 (7%)
	5	119 (10%)	168 (14%)	287 (12%)
	6	13 (1%)	20 (2%)	33 (1%)
	Unrecorded	940 (77%)	773 (66%)	1,713 (72%)

<sup>\*1=</sup>Care fell short of expected good practice and this contributed to the cause of death; 2=Care fell short of expected good practice and this significantly impacted on the person's wellbeing and/or had the potential to contribute to the cause of death; 3=Care fell short of expected good practice and this did impact of the person's wellbeing but did not contribute to the cause of death; 4=Satisfactory care (it fell short of expected good practice in some areas but this did not significantly impact on the person's wellbeing); 5=Good care (it met expected good practice); 6=Excellent care (it exceeded good practice).

Appendix 5.2: Results of logistic regression analyses of predictor variables on avoidable causes of death.

			Unadjusted	1		Adjusted		
Predictor variable		Odds ratio	95% confidence interval	p-value	Odds ratio	95% confidence interval	p-value	
Sex	Male	1	-	-	1	-	-	
	Female	0.85	0.72, 1.00	0.05	0.86	0.72, 1.03	0.09	
Age at death group	4-17 years old	1	-	-	1	-	-	
	old	1.55	0.80, 3.02		1.27	0.57, 2.83		
	25-49 years old	3.12	1.86, 5.26	10.004	3.45	1.80, 6.60	<0.001	
	50-64 years old	3.81	2.31, 6.29	<0.001	4.54	2.40, 8.59		
	65+ years old	1.70	1.03, 2.79		2.06	1.09, 3.89		
Ethnicity	White	1	-	-	1	-	-	
	Mixed ethnic group	2.17	1.30, 3.65		2.55	1.45, 4.51		
	Black, Black British, Caribbean or African	1.59	0.87, 2.91	0.02	1.66	0.86, 3.22	0.01	
	Asian or Asian British		0.57, 1.99		1.15	0.59, 2.23		
	Other	1.95	0.72, 5.29		2.51	0.84, 7.48		
Region of England	London	1	-	-	1	-	-	
		0.78	0.54, 1.11		0.95	0.63, 1.42		
	South East	1.00	0.72, 1.39		1.22	0.85, 1.76		
	Midlands	1.24	0.90, 1.70		1.47	1.03, 2.10		
	East of England	1.02	0.72, 1.44	0.07	1.35	0.92, 2.00	0.09	
	_	1.22	0.87, 1.72		1.45	0.99, 2.13		
	North East	0.96	0.69, 1.33	7	1.16	0.81, 1.68		
Place of death	Hospital	1	-	-	1	-	-	
	Usual residence	0.72	0.60, 0.85	<0.001	0.76	0.63, 0.92	0.02	
	Other	0.88	0.57, 1.36		0.87	0.54, 1.42		
Cancer	No	1	-	-	1	-	-	
	Yes	2.03	1.19, 3.48	0.01	2.04	1.12, 3.71	0.02	

Appendix 5.2.1: Results of logistic regression analyses of predictor variables on avoidable causes of death. (continued)

Appendix 5.2.1: Result		ession anal	yses of predictor va	ariables on avo	idable causes of	death. (continued	)
Cardiovascular conditions	No	1	-	-	1	-	-
	Yes	0.94	0.72, 1.22	0.63	0.99	0.73, 1.33	0.94
Degenerative onditions	No	1	-	-	1	-	-
	Yes	0.48	0.25, 0.93	0.03	0.57	0.28, 1.18	0.13
Dementia	No	1	-	-	1	_	-
	Yes	0.54	0.40, 0.73	< 0.001	0.55	0.39, 0.78	< 0.01
Diabetes	No	1	-	-	1	-	-
	Yes	1.28	0.91, 1.80	0.15	1.01	0.68, 1.50	0.96
)VT	No	1	-	_	1	_	-
	Yes	1.10	0.58, 2.11	0.77	1.05	0.51, 2.15	0.90
pilepsy	No	1	-	_	1	-	-
	Yes	0.93	0.72, 1.20	0.57	1.06	0.79, 1.43	0.69
lypertension	No	1	-	-	1	-	-
	Yes	1.33	0.92, 1.93	0.13	1.38	0.90, 2.13	0.14
(idney problems	No	1	-	-	1	-	-
	Yes	0.75	0.55, 1.04	80.0	0.76	0.53, 1.09	0.14
Mental health conditions	No	1	-	-	1	-	-
	Yes	1.06	0.82, 1.38	0.65	1.11	0.83, 1.49	0.47
Osteoporosis	No	1	-	-	1	-	-
	Yes	0.59	0.34, 1.00	0.05	0.63	0.35, 1.12	0.12
Respiratory onditions	No	1	-	-	1	-	-
	Yes	1.40	0.98, 1.98	0.06	1.43	0.97, 2.12	0.07
ensory mpairment	No	1	-	-	1	-	-
	Yes	0.86	0.65, 1.15	0.31	0.96	0.70, 1.32	0.80
Dysphagia	No	1	-	-	1	-	-
	Yes	0.46	0.34, 0.62	< 0.001	0.49	0.35, 0.69	< 0.001
Annual health heck (in past 12 nonths)	No	1	-	-	1	-	-
·	Yes	1.31	0.91, 1.87	0.15	1.28	0.84, 1.96	0.25
Care package met needs		1	-	-	1	-	-
	Yes	1.28	0.81, 2.04	0.29	1.46	0.81, 2.64	0.21
Deprivation of berty safeguards	No	1	-	-	1	-	-
. 5	Applied for	0.66	0.31, 1.41	0.00	0.50	0.19, 1.28	0.00
	Approved	0.69	0.43, 1.11	0.20	0.81	0.45, 1.46	0.30
Out of area placement	No	1	-	-	1	-	-
	Yes	0.67	0.29, 1.51	0.33	1.11	0.39, 3.21	0.84
Quality of care ating*	6	1	-	-	1	-	-
	5	0.92	0.44, 1.92		0.79	0.33, 1.90	
	4	1.11	0.52, 2.38		0.98	0.39, 2.46	
	3	0.77	0.35, 1.66	0.38	0.67	0.25, 1.75	0.47
	2	0.79	0.31, 2.03		0.63	0.20, 1.94	
	1	0.16	0.02, 1.62		0.14	0.01, 1.65	

<sup>\*\*1=</sup>Care fell short of expected good practice and this contributed to the cause of death; 2=Care fell short of expected good practice and this significantly impact on the person's wellbeing and/or had the potential to contribute to the cause of death; 3=Care fell short of expected good practice and this did impact of the person's wellbeing but did not contribute to the cause of death; 4=Satisfactory care (it fell short of expected good practice in some areas but this did not significantly impact on the person's wellbeing); 5=Good care (it met expected good practice); 6=Excellent care (it exceeded good practice).

Appendix 5.2.2: Results of logistic regression analyses of predictor variables on avoidable causes of death - Forest plots

Predictor variable and level		Effect (95% CI)	
Cancer (ref. group; no) Yes		2.04 (1.12, 3.71)	
cardiovascular disease (ref. group: no) res		0.99 (0.73, 1.33)	
regenerative disease (ref. group: no) res	•	0.57 (0.28, 1.18)	
Pementia (ref. group: no) Yes	<b>-</b>	0.55 (0.39, 0.78)	
Diabetes (ref. group: no) Yes		1.01 (0.68, 1.50)	
Deep vein thrombosis (ref. group: no) Yes		1.05 (0.51, 2.15)	
Dysphagia (ref. group: no) Yes	<b>-</b>	0.49 (0.35, 0.69)	
Epilepsy (ref. group: no) Yes	-	1.06 (0.79, 1.43)	
Hyperlension (ref. group: no) Yes		1.38 (0.90, 2.13)	
Kidney disease (ref. group: no) Yes	•	0.76 (0.53, 1.09)	
Mental health illness (ref. group: no) Yes	-	1.11 (0.83, 1.49)	
Osteoporosis (ref. group: no) Yes	•	0.63 (0.35, 1.12)	
Respiratory disease (ref. group: no) Yes		1.43 (0.97, 2.12)	
	1		
	+	0.96 (0.70, 1.32)	
		0.96 (0.70, 1.32)	
Yes		<del></del>	Effect (95% CI)
Predictor variable and level		<del></del>	Effect (95% CI)
Sensory condition (ref. group: no) Yes  Predictor variable and level  Annual health check (in past 12 months; ref. grouy		<del></del>	
Predictor variable and level  Annual health check (in past 12 months; ref. grow		<del></del>	
Predictor variable and level  Annual health check (in past 12 months; ref. grouy: no)  Care package met needs (ref. group: no)		<del></del>	1.28 (0.84, 1.96
Predictor variable and level  Annual health check (in past 12 months; ref. grouy: no)  Care package met needs (ref. group: no)		<del></del>	1.28 (0.84, 1.96
Predictor variable and level  Annual health check (in past 12 months; ref. grou  Yes  Care package met needs (ref. group: no)	up: no)	<del></del>	1.28 (0.84, 1.96
Predictor variable and level  Annual health check (in past 12 months; ref. grouy  Yes  Care package met needs (ref. group: no)  Yes  Deprivation of liberty safeguards (ref. group: no)	up: no)	<del></del>	1.28 (0.84, 1.96 1.46 (0.81, 2.64
Predictor variable and level  Annual health check (in past 12 months; ref. grouy: no)  Yes  Care package met needs (ref. group: no)  Yes  Deprivation of liberty safeguards (ref. group: no)  Applied for	up: no)	<del></del>	1.28 (0.84, 1.96 1.46 (0.81, 2.64 0.50 (0.19, 1.28
Predictor variable and level  Annual health check (in past 12 months; ref. grouy: no)  Yes  Care package met needs (ref. group: no)  Yes  Deprivation of liberty safeguards (ref. group: no)  Applied for  Approved	up: no)	<del></del>	1.28 (0.84, 1.96 1.46 (0.81, 2.64 0.50 (0.19, 1.28
Predictor variable and level  Annual health check (in past 12 months; ref. grouy  Yes  Care package met needs (ref. group: no)  Yes  Deprivation of liberty safeguards (ref. group: no)  Applied for  Approved  Out of area placement (ref. group: no)	up: no)	<del></del>	1.28 (0.84, 1.96 1.46 (0.81, 2.64 0.50 (0.19, 1.28 0.81 (0.45, 1.46
Predictor variable and level  Annual health check (in past 12 months; ref. grouy: no)  Yes  Care package met needs (ref. group: no)  Yes  Deprivation of liberty safeguards (ref. group: no)  Applied for  Approved  Out of area placement (ref. group: no)	up: no)	<del></del>	1.28 (0.84, 1.96 1.46 (0.81, 2.64 0.50 (0.19, 1.28 0.81 (0.45, 1.46
Predictor variable and level  Annual health check (in past 12 months; ref. groutes  Care package met needs (ref. group: no)  (res  Deprivation of liberty safeguards (ref. group: no)  Applied for  Approved  Dut of area placement (ref. group: no)  (res	up: no)	<del></del>	1.28 (0.84, 1.96 1.46 (0.81, 2.64 0.50 (0.19, 1.28 0.81 (0.45, 1.46
Predictor variable and level  Annual health check (in past 12 months; ref. groufes  Care package met needs (ref. group: no)  Yes  Deprivation of liberty safeguards (ref. group: no)  Applied for  Approved  Dut of area placement (ref. group: no)  Yes  Quality of care rating (ref. group: 6)	up: no)	<del></del>	1.28 (0.84, 1.96 1.46 (0.81, 2.64 0.50 (0.19, 1.28 0.81 (0.45, 1.46 1.11 (0.39, 3.21
Predictor variable and level  Annual health check (in past 12 months; ref. groutes  Care package met needs (ref. group: no)  Yes  Deprivation of liberty safeguards (ref. group: no)  Applied for  Approved  Dut of area placement (ref. group: no)  Yes  Quality of care rating (ref. group: 6)	up: no)	<del></del>	1.28 (0.84, 1.96 1.46 (0.81, 2.64 0.50 (0.19, 1.28 0.81 (0.45, 1.46 1.11 (0.39, 3.21 0.79 (0.33, 1.90
Predictor variable and level  Annual health check (in past 12 months; ref. grouy  Yes  Care package met needs (ref. group: no)  Yes  Deprivation of liberty safeguards (ref. group: no)  Applied for  Approved  Out of area placement (ref. group: no)  Yes  Quality of care rating (ref. group: 6)  5	up: no)	<del></del>	1.28 (0.84, 1.96 1.46 (0.81, 2.64 0.50 (0.19, 1.28 0.81 (0.45, 1.46 1.11 (0.39, 3.21 0.79 (0.33, 1.90 0.98 (0.39, 2.46 0.67 (0.25, 1.75
Predictor variable and level  Annual health check (in past 12 months; ref. grou	up: no)	<del></del>	1.28 (0.84, 1.96 1.46 (0.81, 2.64 0.50 (0.19, 1.28 0.81 (0.45, 1.46 1.11 (0.39, 3.21 0.79 (0.33, 1.90 0.98 (0.39, 2.46

#### Appendix 6 - Chapter 6

Appendix 6.0: Methodological considerations for Chapter 6

#### Methodological considerations

We have used several related datasets in this analysis. To show the changes due to deaths with COVID-19 over time, and to calculate the proportion of excess deaths for comparison with the general population, we used deaths notified to NHSE, which contained data on deaths since 2018. In this dataset, COVID-19 diagnosis was recorded by the notifier. Using notified rather than reviewed deaths helped to overcome several issues – firstly, it addressed the delays in reporting associated with reviewing deaths, and secondly, it allowed us to use data from 2018 – 2021 with no bias due to a change in the way that deaths were reviewed during 2021.

There are however some limitations to using notified deaths. We have ensured that all duplications were removed, and that only people with a confirmed learning disability have been included. However, a diagnosis of COVID-19 reported by notifiers may be inaccurate in some cases; to overcome this limitation, we defined the COVID-19 group as deaths in which COVID-19 was reported as a "certain" diagnosis, rather than as a "possible" or "unknown" diagnosis.

To consider the factors associated with deaths due to COVID-19, we used data on deaths that occurred during 2021 and had initial review data as well as data from death certificates. COVID-19 deaths in this analysis were defined as those that had this diagnosis designated as underlying cause of death on the death certificate. However, it does not include cases where COVID-19 may have contributed to the death of the person but was not deemed to be the main cause of death, and furthermore, it does not include deaths that did not have death certificate data, or that did not have initial review data. This means that this analysis may underestimate the full impact of COVID-19 particularly during the last few months of 2021; however, in adjusted analyses, this is very unlikely to change the findings.

Both the datasets that were used had a limited number of variables available for analysis. Specifically, the notification dataset did not include data on co-morbid diagnoses (also called long-term health conditions) or medication. Furthermore, the switch during 2021 to the new way of recording data meant that co-morbid diagnoses were not recorded for all the deaths included in the initial reviews, and unrecorded data varied during the year (see earlier chapters for more details). We therefore had to use data on a limited number of long-term health conditions that was extracted by coders from free text (see Appendix 1.5). This may have underestimated the conditions people may have had during life.

#### Estimating excess deaths and comparison with general population data

We followed the methodology used by the ONS to calculate the numbers of excess deaths for 2020 and 2021. This is based on calculating an expected number of deaths by month, based on data from preceding years. ONS based their calculation on the average number of deaths by month using 5 years of observations before the pandemic (2015 - 2019), while we were only able to use data for people with learning disability for the 2 years preceding the pandemic (2018 - 2019). However, the overall difference between these years were relatively small, with 7.8% more deaths notified to LeDeR during 2019 compared to 2018. Another difference is that ONS reports death by date of registration, while we used date of death. Although there may be delays in deaths being reported to ONS, deaths registered within one week of death in 2020 was 75.2%, and deaths registered within two weeks was 89.1%. Furthermore, deaths due to COVID-19 were typically reported quickly - in 2020, 86.5% of deaths due to coronavirus (COVID-19) were registered within one week (seven days or fewer)<sup>1</sup>. Since we compared deaths by month rather than by week, the impact of the difference in reporting date methodology is likely to be small.

Excess deaths are expressed as a %, calculated as the number of excess deaths over the number of expected deaths for the relevant group or period in 2018/2019. We calculated binomial 95% confidence intervals for the % excess deaths.

#### Factors associated with COVID-19 as underlying cause of death:

We first compared factors that may be associated with COVID-19 as underlying cause of death using univariable statistical tests (Chi-Square Test, Student-T test, and Mann-Whitney, as appropriate). We then undertook a logistic regression to compare factors associated with having COVID-19 recorded as underlying cause of death or not, and included age, sex, region, season, ethnicity, place of death and long-term conditions as predictors in an "enter" procedure.

COVID-19 deaths during 2021 (based on underlying cause of death - MCCD)

Table 6.1: Comparison of deaths due to COVID-19 and non-COVID 19 causes of death

	Non-COVID-19 (n; %) 1997 (78.9)	COVID-19 (n; % 534 (21.1
Age* in years - mean (SD)	58.49 (18.12)	60.98 (15.85
Sex		
Male	1093 (77.8)	311 (22.2
Female	883 (79.8)	224 (20.2
Region*		
East of England	216 (68.6)	99 (31.4)
London	177 (72.5)	67 (27.5)
North-East	360 (85.1)	63 (14.9)
Midlands	390 (78.6)	106 (21.4
North-West	278 (81.5)	63 (18.5)
Season*		
Spring	576 (94.6)	33 (5.4
Summer	527 (95.6)	24 (4.4
Autumn	414 (91.4)	39 (8.6
Winter#	481 (52.2)	440 (47.8
Ethnicity*		
white	1649 (78.7)	446 (21.3
mixed ethnicity	36 (52.2)	33 (47.8
black/ Caribbean/ black	38 (74.5)	13 (25.5
British	30 (74.3)	15 (25.5
asian/ asian British	38 (82.6)	8 (17.4
Other ethnicity	12 (60.0)	8 (40.0
<u>Other</u> ethnicity	12 (00.0)	0 (40.0
Place of Death*		/
Hospital	1146 (73.0)	423 (27.0
Usual residence	740 (88.2)	99 (11.8
Other ( <u>e.g.</u> friend's home)	85 (91.4)	8 (8.6
Long torm conditions.		
Long-term conditions+ Cancer	56 (6.8)	7 (2.8
Cardiovascular disease*	289 (35.1)	7 (2.d 55 (22.4
	42 (5.1)	55 (22.4 <5 (1.6
Degenerative disease Dementia		
	165 (20.0)	60 (24.4
Diabetes	124 (15.1)	37 (15.0
DVT	30 (3.6)	9 (3.7
Dysphagia	199 (24.2)	47 (19.:
Epilepsy	279 (33.9)	79 (32.1
Hypertension	102 (12.4)	30 (12.2
Kidney disease	141 (17.1)	47 (19.:
Mental health conditions	255 (31.0)	89 (36.2
Osteoporosis	50 (6.1)	15 (6.3
Respiratory	111 (13.5)	38 (15.4
Sensory conditions	194 (23.6)	70 (28.5

<sup>+</sup> total number with data on long-term conditions = 1069, of whom 246 had COVID-19 as underlying cause of death

Table 6.2: Binary logistic regression of having COVID-19 as underlying cause of death on death certificate

							95% CI for OR	95% CI for OR
	В	S.E.	Wald	df	Sig.	OR	(lowest)	(highest)
Region (reference is South-West)			12.052	6	.061			, ,
East of England	1.098	.468	5.494	1	.019	2.998	1.197	7.507
London	.481	.479	1.008	1	.315			
North-East	.020	.445	.002	1	.965			
Midlands	.286	.428	.447	1	.504		.575	
North-West	006	.451	.000	1	.989	.994	.410	2.408
South-East	.491	.431	1.301	1	.254	1.634	.703	3.801
Place of death (reference – Usual residence)			32.267	2	<.001			
Hospital	1.271	.226	31.551	1	<.001	3.566	2.288	5.557
Other	.371	.729	.259	1	.611			
Age at death (in years)	.024	.007	12.634	1	<.001	1.024		
Sex (reference is male)	.184	.198	.864	1	.353	1.202	.816	1.770
Ethnicity (reference is white/ white British)			5.567	4	.234			
mixed ethnicity	.444	.719	.382	1	.537	1.560	.381	6.386
black/ Caribbean/ black British	234	.703	.111	1	.739	.791	.200	3.137
asjan, or asjan, British	.592	.920	.414	1	.520	1.808	.298	10.979
other	1.828	.843	4.706	1	.030	6.223	1.193	32.465
Season (reference is Spring)			187.116	3	<.001			
Summer	164	.450	.133	1	.715	.849	.351	2.051
Autumn	.620	.528	1.377	1	.241	1.859	.660	5.236
Winter	3.231	.287	126.321	1	<.001	25.304	14.405	44.452
Long-term conditions (reference is not having the condition)								
Cancer	-1.325	.492	7.247	1	.007	.266	.101	.697
Cardiovascular conditions	998	.235	18.020	1	<.000	.369	.232	.584
Degenerative diseases	-1.238	.657	3.552	1	.059	.290	.080	1.051
Dementia	.578	.246	5.499	1	.019	1.782	1.099	2.888
Diabetes	291	.293	.989	1	.320	.748	.421	1.326
DVT	.455	.550	.684	1	.408	1.576	.536	4.630
Epilepsy	.111	.214	.270	1	.604	1.118	.735	1.700
Hypertension	142	.319	.199	1	.655	.867	.464	1.620
Kidney disease	.259	.264	.964	1	.326	1.296	.772	2.174
Mental health	.126	.211	.358	1	.550	1.135	.750	1.717
conditions								
Osteoporosis	061	.395	.024	1	.876	.940	.434	
Respiratory	013	.278	.002	1	.962	.987	.573	
Sensory impairments	.124	.223	.307	1	.579		.731	1.753
Dysphagia	086	.249	.119	1	.730	.918		1.496
Constant	-5.566	.648	73.720	1	<.001	.004		

# End